

Institutional Animal Care and Use Committee

Incident Report

Date of Initiation	Date(s) of incident	Method of Discovery	Date of IACUC Chair Notification	IACUC Case #
11/4/2021	January 2, 2019 through September 10, 2019	Animal Concern	11/4/2021	2021-023-AC
Principal Investigator/Respondent		Department/Member Affiliation		
Dr. Peter Nghiem		ALRSCH		
Date Principal Investigator/Respondent Notified of Incident Investigation Initiation		Date Associate Dean Notified of Incident Investigation		
11/10/2021		11/10/2021		
Incident Description				
<p>On November 4, 2021 a request for information from OLAW was received by the IO regarding allegations of potential noncompliance with PHS Policy brought forward against TAMU by PETA in relation to a dog identified as "Pee Wee". In the letter from PETA, originally submitted to the USDA-APHIS-Animal Care, it stated that <i>"There were no indications that Pee Wee's poor appetite was investigated or treated."</i> OLAW in its communication with the IO, has requested the IACUC consider if this was a valid allegation and if the issue should have been reported to OLAW. Additionally, OLAW requested that a description of any reportable occurrences and any corrective/preventative actions regarding this allegation be included in the IO's response.</p> <p>Upon receiving notification of this issue from the IO, the IACUC Chair appointed a subcommittee to investigate the allegation with the following charge:</p> <ul style="list-style-type: none"> Review husbandry and clinical care records related to the alleged occurrences (January 2, 2019 through September 10, 2019) and interview care and health staff responsible for general care of Pee Wee and the DMD colony as a whole. Review the approved animal use protocol (AUP) and identify any non-compliances as identified from the above review that should have initiated an IACUC investigation. Identify any non-compliance with PHS Policy that should have been reported to OLAW. <p>No findings were identified regarding the care of Pee Wee or the MD colony as a whole that would have prompted an IACUC investigation or a subsequent report to governing bodies including USDA or OLAW.</p>				

Subcommittee Investigation

Charge to Subcommittee: A subcommittee appointed by the IACUC Chair was assigned on November 5, 2021 to investigate an animal concern received on November 4, 2021 relating to the animal care and use program. One concern was listed in the original report, as outlined above. The subcommittee, based on input from the IACUC Chair, established the scope of the inquiry and identified individuals to interview.

Subcommittee members as appointed by the IACUC Chair	
Dr. Robert Rose (Attending Veterinarian), Clinical Veterinarian (IACUC Member)	
Categorization of Issue(s)	No findings
Other	
Date Subcommittee Investigation Concluded / Report Completed	November 11, 2021

1. Lack of evidence supporting allegation that "Pee Wee's poor appetite was investigated or treated"**1.1. Animal Records Review:** Performed by Dr. Robert Rose (Attending Veterinarian) and a Clinical Veterinarian (IACUC Member)

1.1.1. Outcome: Facility and health records provided information regarding animal daily, monthly, and annual care, as well as health checks and documented veterinary care.

1.1.2. Findings:

1.1.2.1. Pee Wee was fed a highly nutritious (as per applicable National Research Council guidelines), calorie dense dog food twice daily that exceeded his daily requirements based on his weight and body condition.

1.1.2.2. Documentation reviewed shows that Pee Wee was given health tech exams, weight checks, body condition scores, and baths monthly, and a full examination by a clinical veterinarian annually.

1.1.2.3. Health records show that Pee Wee was consistently judged to be in good health with a body weight within a normal range for his breed type and size (22.6 to 24.2 kg).

1.1.2.4. Health observations were conducted twice daily by care staff.

1.1.2.5. Recorded observations did indicate that Pee Wee did not always consume his entire meal

1.1.2.6. Records reviewed showed that when significant disinterest in food (leaving more than ¾ of the offered food meal) was noted for three feedings, a clinical veterinarian was notified and Pee Wee was examined. Veterinary recommendations were implemented to mitigate inappetence.

1.1.2.7. No potential non-compliance was identified with either husbandry or clinical care provided

1.2. AUP Review: Performed by Dr. Robert Rose (Attending Veterinarian) and a Clinical Veterinarian (IACUC Member)

1.2.1. Outcome: Review of the approved AUP applicable during the time in question provided information regarding monitoring and recording procedures utilized to evaluate the animals and criteria used to determine when an animal should be removed from the study.

1.2.2. Findings:

1.2.2.1. The AUP outlined established signs used by the investigative and veterinary staff to judge deteriorating health of a DMD affected dog.

1.2.2.2. No potential non-compliance with these outlined procedures was identified

1.3. Individuals interviewed with date: Division Coordinator (1), Division Coordinator (2), and Clinical Veterinarian (with oversight of dog colony for time span reviewed) met with the Attending Veterinarian on 11/8/2021

1.3.1. Outcome: The interviewed individuals provided additional information regarding animal daily care and documentation required and procedures followed when issues are noted.

1.3.2. Findings:

1.3.2.1. TAMU DMD colony investigative staff, caretakers and health staff have well established strategies to manage the colony

1.3.2.2. No potential non-compliance was identified with the AUP, husbandry or clinical care provided

1.4. Compliance History Records Review: Performed by Dr. Robert Rose (Attending Veterinarian)

1.4.1. Outcome: Review of federal oversight compliance reports and semiannual IACUC facility inspection reports from the time in question to date provided information regarding the status of the animals and facility during inspections.

1.4.2. Findings:

1.4.2.1. No non-compliance or significant findings were identified during IACUC facility inspections in 2019, 2020 or 2021

1.4.2.2. No DMD colony concerns were identified by the USDA VMO during an area inspection in September 2019

1.4.2.3. No DMD colony concerns were identified by the USDA VMO during a focused USDA inspection of the DMD colony in November 2019

1.4.2.4. No DMD colony concerns were identified by the USDA VMO during a focused USDA inspection of the DMD colony in August 2020

1.4.2.5. No DMD colony concerns were identified by the USDA VMO during an area inspection in July 2021

- 1.5. **Root cause:** N/A
 1.6. **Suggested corrective actions:** None
 1.7. **Suggested categorization:** No findings

IACUC Review and Notifications

Date of IACUC Review	November 18, 2021	Date Report Submitted to IO	November 19, 2021
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Item #	Categorization of Issue	Repeat Incident/Noncompliance
1	No findings	No
Other		
External Reporting Obligation(s)		Report to OLAW from the IO must be made by December 2, 2021 as outlined in the letter received from OLAW on November 4, 2021.

IACUC Recommendations/Requirements	
1. Accept Subcommittee report and close. No corrective actions needed.	
Date of PI Notification of IACUC Recommendations/Requirements	11/18/2021
Date of Department Chair/Dean Notification of Outcome of IACUC Review	11/18/2021

Chair Signature	DocuSigned by:  1BABD9B67E5F410...	Date	11/20/2021 17:12:42 CST
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