



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 6, 2022

Re: Animal Welfare Assurance
#A3354-01 (OLAW Case 2H)

[REDACTED]
Executive Director of Regulatory Support
Institutional Official
State University of New York - University of Buffalo
[REDACTED]
Buffalo, NY 14260

Dear [REDACTED]

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 19, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University at Buffalo, the State University of New York following up on an initial April 18, 2022 notification by email.

According to the information provided, this Office understands that the University at Buffalo Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: the conduct of animal activities without prior IACUC review and approval. The final report states that cannulation procedures were performed on animals, but the procedure was not described in the protocol. The incident was self-reported by the Principal Investigator (PI), and the PI stated the cannulation procedure was not transferred into the protocol during the triennial submission. In response to the incident, the PI stated they would review the entire protocol to ensure all procedures were present and outlined in the protocol.

On March 21, 2022, the IACUC reviewed the incident and the PI's corrective action plan and required the following additional corrective actions:

- The PI must submit a letter detailing how they will ensure appropriate oversight to ensure the noncompliance does not occur again.
- The PI must determine the number of animals that underwent the unapproved procedure.

On April 18, 2022, the IACUC reviewed the PI's revised plan and noted that 45 rats underwent the cannulation procedure. Per the report, the committee accepted the PI's corrective action plan and explanation of events and required no additional measures to be taken.

It is noted that this research is supported by NIH funding. Based on its assessment of this explanation, OLAW understands that the University at Buffalo, the State University of New York has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,
Jacquelyn T.
Tubbs -S

Digitally signed by Jacquelyn T.
Tubbs -S
Date: 2022.06.06 10:30:23 -04'00'

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact