



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

May 5, 2021

Re: Animal Welfare Assurance
#A3021-01 (OLAW Case 4R)

Ms. Jennifer Lassner
Senior Assistant Vice President for Research
The University of Iowa
Office for the Vice President for Research
2660 University Capitol Centre
Iowa City, Iowa 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 26, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Iowa Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to institutional policies. The final report states on March 29, 2021, a lab combined adult male mice into cages, which is not in accordance with the IACUC policy on Housing of Social Species. It is further stated one mouse was found dead and the remaining animals did not have any visible fight wounds. The lab decided to euthanize the animals. Per the report one cage was marked for fasting, but its described use in the protocol did not include male mice. In response, the IACUC Training and Compliance Manager discussed the matter with the principal investigator (PI). The corrective action plan included:

- Retraining on the IACUC policy and protocol review for approved procedures.
- Recommendation was made to the PI to amend the protocol to include all proposed uses for male mice.

It is noted that this research is supported by PHS funds. Based on its assessment of this explanation, OLAW understands that the University of Iowa has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T. Tubbs Digitally signed by Jacquelyn T. Tubbs -S
Date: 2021.05.05 07:22:05 -04'00'

Jacquelyn T. Tubbs, DVM, DACLAM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

IOWA

**Office of the Vice
President for Research**

University of Iowa
2660 University Capitol Centre
Iowa City, Iowa 52242
319-335-2119
research.uiowa.edu

April 26, 2021

Axel V. Wolff, MS, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Wolff:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is (b) (4).

March 29, 2021: A lab was found to have combined adult male mice into cages (two cages of four mice each). This was not in accordance with the approved IACUC Policy on Housing of Social Species. One mouse was found dead in a cage, but none of the remaining mice had any observable fight wounds. The lab elected to euthanize all mice. One cage had been marked for fasting and although the fasting procedure was approved, its described use in the Animal Protocol did not include male mice.

The IACUC Office was promptly notified. The IACUC Training and Compliance Manager discussed the matter with the PI. Retraining on the IACUC policy and protocol review for approved procedures was performed, and recommendation made to the PI to amend the protocol to include all proposed uses for male mice.

April 14, 2021: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the event and believe it was appropriately managed and fully addressed.
If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

Jennifer Lassner
Institutional Official
Associate Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, April 28, 2021 7:31 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@uiowa.edu>
Sent: Monday, April 26, 2021 10:58 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@aaalac.org
Subject: Report of non-compliance incident

Please see attached a report of non-compliance at the University of Iowa.

Thank you,

(b) (6)

