



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

December 21, 2021

Re: Animal Welfare Assurance
#A3021-01 (OLAW Case 4V)

Dr. Martin J. Scholtz
Vice President for Research
The University of Iowa
Office for the Vice President for Research
2660 University Capitol Centre
Iowa City, Iowa 52242

Dear Dr. Sholtz,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 1, 2021 final letter reporting an instance of noncompliance at the University of Iowa. OLAW understands that on September 8, 2021, following blood collection on pigs, the laboratory identified that the route of blood collection used was approved on one but not the other Animal Protocol. The lab had inadvertently ordered and used the two animals under the incorrect Animal Protocol. The Principal Investigator (PI) self-reported the incident to the veterinary staff who promptly notified the IACUC office. This project was funded by PHS.

As a corrective measure, the Senior Compliance and Education specialist spoke to the PI and instructed the PI to stress to all laboratory members the importance of verifying that the animals are under the correct protocol prior to initiating procedures.

OLAW appreciates the prompt consideration of this matter by the University of Iowa which is consistent with the philosophy of institutional self-regulation. OLAW assumes that there were no adverse health implications on the animals due to the non-compliance as there was none described in your submitted report. Please update this Office if adverse health conditions impacted the animals due to this non-compliance incident. OLAW expects continuing post-approval monitoring to prevent recurrence of this non-compliance and potential associated animal welfare concerns. Based on the information provided, OLAW is satisfied that appropriate steps have been taken to investigate this incident and to attempt to prevent recurrence.

We appreciate being informed of this matter and please contact us with any further questions or concerns.

Sincerely,

Nicole L. Lukovsky-
akhsanov -S

Digitally signed by Nicole L.
Lukovsky-akhsanov -S
Date: 2021.12.21 16:51:15 -05'00'

Nicole Lukovsky-Akhsanov, DVM, MPH, DACLAM
Division of Compliance Oversight
Office of Laboratory Animal Welfare

CC: IACUC contact

Robert M. Gibbens, DVM, Director, Animal Welfare Operations

**Office of the Vice
President for Research**

University of Iowa
2660 University Capitol Centre
Iowa City, Iowa 52242
319-335-2119
research.uiowa.edu

November 1, 2021

Brent Morse, DVM
Director, Division of Compliance and Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Morse:

This letter is to report an incident involving pigs. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is HL136813.

September 8, 2021: Following blood collection, the lab identified that the route used was approved on one but not their other Animal Protocol. The lab had inadvertently ordered and used the two animals under the incorrect Animal Protocol. The PI self-reported the incident to the veterinary staff who promptly notified the IACUC Office.

The Senior Compliance and Education Specialist spoke with the PI and instructed him/her to stress to all lab members the importance of verifying the animals are under the correct Animal Protocol prior to initiating procedures.

October 13, 2021: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the event and believe it was appropriately managed and fully addressed. If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

J. Martin Scholtz
Institutional Official
Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, November 5, 2021 7:27 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@uiowa.edu>
Sent: Tuesday, November 2, 2021 3:30 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@aaalac.org
Subject: Report of non-compliance incident

Please see attached report of a non-compliance at the University of Iowa.

Thank you,

(b) (6)