



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

January 24, 2022

Re: Animal Welfare Assurance
#A3021-01 (OLAW Case 4X)

Ms. Jennifer Lassner
Associate Vice President for Research
The University of Iowa
Office for the Vice President for Research
2660 University Capitol Centre
Iowa City, Iowa 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 20, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Iowa. According to the information provided, OLAW understands that a laboratory member performed surgery that was not as described in the approved protocol. Additionally, supplemental hydration was not provided as supportive care as was described in the protocol. One mouse was found dead the day after surgery and the lab elected to euthanize the remaining mice due to concerns for their health and wellbeing. The mice involved in this incident were supported by NIH funding.

Corrective and preventive measures included counseling and retraining of the responsible laboratory staff. In addition, veterinary staff observed the responsible laboratory member performing the surgery to ensure compliance with the protocol and competency in the procedure.

OLAW appreciates the consideration of this matter by the University of Iowa which was consistent with the philosophy of institutional self-regulation. Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident and prevent recurrence. However, in future reports, please include the approximate number of animals involved.

We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Robyn M. Engel
-S

Digitally signed by Robyn M.
Engel -S
Date: 2022.01.24 08:18:32
-05'00'

Robyn M. Engel, DVM
Animal Welfare Program Specialist
Office of Laboratory Animal Welfare

CC: IACUC contact



**Office of the Vice
President for Research**

University of Iowa
2660 University Capital Centre
Iowa City, Iowa 52242
319-335-2119
research.uiowa.edu

December 20, 2021

Brent Morse, DVM
Director, Division of Compliance and Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Morse:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is GM115424.

November 8, 2021: A lab member performed a surgery that was not in accordance with its description in the approved Animal Protocol. Additionally, one element of supportive care outlined in the Animal Protocol was not followed (i.e., supplemental hydration). One mouse was found dead in the cage the following day. The lab elected to euthanize the remaining mice on the 10th due to concerns for the health and wellbeing of the animals and contacted the veterinarian for assistance.

The IACUC Office was promptly notified. The Senior Compliance and Education Specialist (SCES) and veterinarian met with the PI and lab member to discuss the incident and retraining was provided. Additionally, the veterinary staff observed the lab member performing the surgery to ensure compliance with the protocol and competency in the procedure.

December 8, 2021: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the event and believe it was appropriately managed and fully addressed. If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

Jennifer Lassner
Institutional Official
Associate Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, December 22, 2021 7:12 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you for these reports. We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@uiowa.edu>
Sent: Monday, December 20, 2021 1:17 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@aaalac.org
Subject: [EXTERNAL] Report of non-compliance incident

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

(b) (6)

