



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

February 1, 2022

Re: Animal Welfare Assurance
#A3021-01 (OLAW Case 4Z)

Ms. Jennifer Lassner
Senior Assistant Vice President for Research
The University of Iowa
Office for the Vice President for Research
2660 University Capitol Centre
Iowa City, Iowa 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 24, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Iowa Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: the conduct of animal activities prior to IACUC review and approval. The final report states on January 6, 2022, a laboratory self-reported that they conducted an unapproved surgical procedure on six mice. It is stated the procedure and post-procedural management was approved in rats (and not mice) in the protocol. The animals were evaluated by veterinary staff and one mouse required euthanasia due to health concerns. It is understood the Principal Investigator agreed that no data from these animals would be used for publication or grant proposals.

In response to the incident, the lab members were retrained, and an amendment was filed to the protocol. The IACUC members discussed the matter on January 12, 2022 and voted to report to the appropriate agencies. It is noted this research is supported by applicable funding with OLAW oversight.

Based on its assessment of this explanation, OLAW understands that the University of Iowa has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T. Tubbs -S
Digitally signed by
Jacquelyn T. Tubbs -S
Date: 2022.02.01
15:31:46 -05'00'

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



**Office of the Vice
President for Research**

University of Iowa
2660 University Capitol Centre
Iowa City, Iowa 52242
319-335-2119
research.uiowa.edu

January 24, 2022

Brent Morse, DVM
Director, Division of Compliance and Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Morse:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is AT009705.

January 6, 2022: A lab self-reported that they had performed an unapproved surgical procedure in six mice. The procedure and post-procedural management was approved in rats in the approved Animal Protocol, but not described in mice. Veterinary staff evaluated the mice and required euthanasia of one animal due to health concerns. The remaining mice had no welfare concerns. The PI agreed that no data from these mice would be used for publication or grant proposals.

The IACUC Office was promptly notified. The lab members were retrained and an amendment filed to the Animal Protocol.

January 12, 2022: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the event and believe it was appropriately managed and fully addressed. If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

Jennifer Lassner
Institutional Official
Associate Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, January 26, 2022 7:17 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@uiowa.edu>
Sent: Monday, January 24, 2022 12:53 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@aaalac.org
Subject: [EXTERNAL] Report of non-compliance incident

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

(b) (6)