



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

March 8, 2022

Re: Animal Welfare Assurance
#A3021-01 (OLAW Case 5B)

J. Martin Scholtz, PhD
Vice President for Research
University of Iowa
2660 University Capitol Centre
Iowa City, IA 52242

Dear Dr. Scholtz,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 10, 2021, letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. According to the information provided, OLAW understands that a lab member performed unauthorized post-wean tail snip procedures for genotyping on three mice without prior IACUC approval. There were no animal welfare issues as a result of the noncompliance and the associated animal activity was supported by PHS funds.

The corrective actions consisted of retraining the laboratory personnel and amending the Animal Protocol.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate, correct and prevent recurrence of the noncompliance. We appreciate having been informed about this matter and find no cause for further action by this Office.

Sincerely,

Neera V. Gopee

-S

Digitally signed by Neera V.
Gopee -S
Date: 2022.03.08 09:16:35
-05'00'

Neera V. Gopee, DVM, PhD, DACLAM, DABT
Associate Director, Animal Welfare Policy
Office of Laboratory Animal Welfare
National Institutes of Health

cc: IACUC Contact



**Office of the Vice
President for Research**

University of Iowa
2660 University Capitol Centre
Iowa City, Iowa 52242
(b) (6)

research.uiowa.edu

November 10, 2021

Brent Morse, DVM
Director, Division of Compliance and Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Morse:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is DK110023.

October 14, 2021: A lab member improperly performed post-wean tail snip for genotyping in three mice. Mice were observed to be in good health following veterinary observation. The technique was not approved in the Animal Protocol.

The IACUC Office was promptly notified. Lab retraining occurred and proper amendments to the Animal Protocol were made.

November 10, 2021: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the event and believe it was appropriately managed and fully addressed. If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

J. Martin Scholtz
Institutional Official
Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, March 4, 2022 7:11 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident (Nov. report follow up)

We'll check into this for you, (b) (6) and get back to you next week.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@uiowa.edu>
Sent: Thursday, March 3, 2022 6:11 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] RE: Report of non-compliance incident (Nov. report follow up)

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

My email seems to have been dropped off as a CC'd contact for OLAW's correspondence. I have been in contact with (b) (6) to hopefully resolve that matter.

I apologize for having to request this; however, after consulting with my IACUC Chair, I was able to obtain copies of all items I had as "awaiting OLAW response/confirmation", but the record of OLAW response for our November report could not be found.

Could you please resend the response?

Thank you,

(b) (6)

From: IACUC
Sent: Thursday, November 11, 2021 2:12 PM
To: 'OLAW Division of Compliance Oversight (NIH/OD)' <olawdco@od.nih.gov>
Cc: 'accredit@aaalac.org' <accredit@aaalac.org>
Subject: Report of non-compliance incident

Please see attached report of a non-compliance at the University of Iowa.

Thank you,
(b) (6)

(b) (6)