



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

April 19, 2022

Re: Animal Welfare Assurance
#A3021-01 (OLAW Case 5E)

Ms. Jennifer Lassner
Senior Assistant Vice President for Research
The University of Iowa
Office for the Vice President for Research
2660 University Capitol Centre
Iowa City, Iowa 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 15, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. This letter had not been preceded by a preliminary report to OLAW. According to the information provided, this Office understands that the University of Iowa Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to the IACUC-approved protocol and the conduct of animal activities without prior IACUC review and approval. The final report states on March 12, 2022, it was discovered that a laboratory administered an experimental agent using an unapproved route of administration, failed to label cages for a hazard, and performed an unapproved surgical procedure. Three mice were found dead and 3 additional mice were identified with abnormal abdomens based on palpation. Euthanasia was necessary for the 3 additional mice. Also, an additional mouse was determined to be unaffected, but the lab elected to euthanize the animal.

In response to the incident, the lab member was retrained on how to verify protocol information and proper cage labeling for hazards. The lab was advised to submit an amendment and once approved, to contact the veterinary staff and/or the IACUC Senior Compliance and Education Specialist for further training on techniques as needed. The report states the amendment is currently under review and the IACUC voted the matter reportable at a convened meeting.

It is noted that this research is supported by PHS funding. Based on its assessment of this explanation, OLAW understands that the University of Iowa has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn

T. Tubbs -S

Digitally signed by Jacquelyn T.
Tubbs -S
Date: 2022.04.19 15:12:29 -04'00'

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



**Office of the Vice
President for Research**

University of Iowa
2660 University Capital Centre
Iowa City, Iowa 52242

(b) (6)
research.uiowa.edu

April 15, 2022

Brent Morse, DVM
Director, Division of Compliance and Oversight
Office of Laboratory Animal Welfare (OLAW)
National Institutes of Health
6700B Rockledge Drive
Suite 2500, MSC 6910
Bethesda, MD 20892

Dear Dr. Morse:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is DE028527.

March 12, 2022: A lab was found to have administered an experimental agent by an unapproved route (intraperitoneal), did not appropriately label cages for a hazard, and performed an unapproved surgical procedure (approved in another of their Animal Protocols). Three mice were found dead in the cage. Three additional mice had abdomens that palpated abnormally. Euthanasia was required for these three animals. An additional mouse was unaffected; the lab elected to euthanize it.

The IACUC Office was promptly notified and PI informed of the incident. The lab member was retrained in verifying protocol information, following only approved procedures, and proper cage labeling for hazards. Additionally, the lab was instructed to submit an amendment and once approved to contact the veterinary staff and/or the IACUC Senior Compliance and Education Specialist for further training on techniques as needed. An amendment to the Animal Protocol was submitted and is under review.

April 13, 2022: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the event and believe it was appropriately managed and fully addressed.
If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

Jennifer Lassner
Institutional Official
Associate Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, April 18, 2022 7:22 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report of non-compliance incident

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@uiowa.edu>
Sent: Friday, April 15, 2022 1:51 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: accredit@aaalac.org
Subject: [EXTERNAL] Report of non-compliance incident

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

(b) (6)

A large rectangular area of the document is completely redacted with a solid grey fill. The redaction covers the signature and any text that might have been below it.