



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

April 19, 2022

Re: Animal Welfare Assurance  
#A3021-01 (OLAW Case 5F)

Ms. Jennifer Lassner  
Senior Assistant Vice President for Research  
The University of Iowa  
Office for the Vice President for Research  
2660 University Capitol Centre  
Iowa City, Iowa 52242

Dear Ms. Lassner,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 15, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Iowa. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Iowa Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: failure to adhere to the IACUC-approved protocol. The final report states on March 23, 2022, a laboratory member failed to monitor 6 cages of mice for full recovery from anesthesia as described in the protocol. In response, the animals were assessed by the veterinary staff. It is stated the staff notified the lab member and required that appropriate post-anesthesia monitoring occur until the animals fully recovered from anesthesia. The corrective action involved retraining the Principal Investigator and the lab member. The IACUC voted the matter reportable at a convened meeting.

It is noted that this research is supported by PHS funding. Based on its assessment of this explanation, OLAW understands that the University of Iowa has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T.  
Tubbs -S

Digitally signed by  
Jacquelyn T. Tubbs -S  
Date: 2022.04.19 15:09:09  
-04'00'

Jacquelyn Tubbs, DVM, DACLAM  
Senior Animal Welfare Program Specialist  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Contact



Office of the Vice  
President for Research

University of Iowa  
2660 University Capitol Centre  
Iowa City, Iowa 52242

(b) (6)

[research.uiowa.edu](http://research.uiowa.edu)

April 15, 2022

Brent Morse, DVM  
Director, Division of Compliance and Oversight  
Office of Laboratory Animal Welfare (OLAW)  
National Institutes of Health  
6700B Rockledge Drive  
Suite 2500, MSC 6910  
Bethesda, MD 20892

Dear Dr. Morse:

This letter is to report an incident involving mice. The University of Iowa PHS Assurance Number is D16-00009 (A3021-01). The grant number affected is AI042767.

March 23, 2022: A lab member did not monitor six cages of mice (21 total animals) for full recovery from anesthesia as described in their Animal Protocol. The animals were assessed by the veterinary staff, who notified the lab member and required that appropriate post-anesthesia monitoring occurred until the mice were fully recovered.

The IACUC Office was promptly notified. The incident was discussed with the PI and lab member was retrained.

April 13, 2022: The IACUC members discussed the incident at a convened meeting and voted to report to the appropriate agencies.

I have reviewed the event and believe it was appropriately managed and fully addressed. If you have any questions pertaining to this report, please contact me.

Sincerely,

(b) (6)

Jennifer Lassner  
Institutional Official  
Associate Vice President for Research

## Wolff, Axel (NIH/OD) [E]

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, April 18, 2022 7:22 AM  
**To:** IACUC  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Report of non-compliance incident

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

**From:** IACUC <iacuc@uiowa.edu>  
**Sent:** Friday, April 15, 2022 1:51 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** accredit@aaalac.org  
**Subject:** [EXTERNAL] Report of non-compliance incident

**CAUTION:** This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Please see attached reports of non-compliance at the University of Iowa.

Thank you,

(b) (6)