



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

March 21, 2022

Re: Animal Welfare Assurance
#A3025-01 [OLAW Case 1B]

Mr. John McIntire
Deputy General Counsel and
Institutional Official
SRI International
333 Ravenswood Avenue
Menlo Park, CA 94025

Dear Mr. McIntire,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 14, 2022 letter concerning a report of a noncompliance with the Public Health Service Policy on the Humane Care and Use of Laboratory Animals within the animal care and use program at SRI International. Your letter supplements the information contained in a preliminary phone report submitted on March 1, 2022. According to the information provided, OLAW understands that on February 20, 2022, one female cynomolgus macaque, being held on a PHS-funded contract, escaped her primary enclosure via an opening between a tunnel structure attached to the cage to provide additional exercise and enrichment. She received a small bite wound on her toe from another NHP in the room. The event took place on a Sunday afternoon, with the NHP discovered on top of her cage at approximately 7:45 am Monday morning. She was treated by the SRI veterinary staff immediately and was able to return to social housing with her female cage mate the next day.

The husbandry staff identified the cage manipulation that allowed the female NHP to enlarge the opening between the cage and exercise tunnel, and thus escape from the cage. The staff placed additional straps to firmly secure the exercise tunnel to the cage to prevent future escapes. All other cages with exercise tunnels were secured in the same manner pre-emptively.

The funding agency will be notified separately.

SRI International's reporting of the matter was consistent with the philosophy of self-regulation. Similarly, the action taken to respond to the issue and prevent recurrence was appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C. Morse
-S
Date: 2022.03.23 07:40:30 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact
Dr. Robert M. Gibbens, USDA, APHIS, AC

SRI International

March 14, 2022

Brent Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare, NIH
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892-7982
Re: Assurance #3025-01; D16-00012 (new number)

Dear Dr. Morse:

This letter is the written report of the incident phoned in by SRI's IACUC Chair on March 1, 2022.

The incident involved 1 NHP being held for potential future studies for the National Institute of Allergy and Infectious Diseases (NIAID), Division of Microbiology and Infectious Diseases (DMID) contract # HHSN272201800001I.

In SRI's Menlo Park animal facility, tunnel structures are often attached to the cages of both singly and pair or group housed nonhuman primates for additional exercise and enrichment. After regular business hours on 2/20/22, one female cynomolgus macaque escaped from her cage and received a small bite wound on her toe from another NHP in the room. The event took place on a Sunday afternoon, with the NHP discovered on top of her cage at approximately 7:45 am Monday morning. She was treated by the SRI veterinary staff immediately, and was able to return to social housing with her female cage mate the next day.

The husbandry staff identified the cage manipulation that allowed the female NHP to enlarge the opening between the cage and exercise tunnel, and thus escape from the cage. The staff placed additional straps to firmly secure the exercise tunnel to the cage to prevent future escapes. All other cages with exercise tunnels were secured in the same manner pre-emptively.

The funding agency will be notified separately.

SRI fully understands all the implications that may arise from an escaped NHP and will take all necessary precautions to prevent a recurrence. Please do not hesitate to contact me should you have any questions.

Sincerely,

(b) (6)

John McIntire
Chief Legal Officer
Institutional Official
Tel.: (b) (6)
Email: john.mcintire@sri.com

SRI International

333 Ravenswood Avenue • Menlo Park, California 94025-3493 • 650.859.2000

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, March 16, 2022 7:21 AM
To: Michael Vigil
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: 3.14.22 Self-report to OLAW

Thank you for this report. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: Michael Vigil <michael.vigil@sri.com>
Sent: Monday, March 14, 2022 6:18 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: IACUC Correspondence <anim-use@sri.com>; John McIntire <john.mcintire@sri.com>
Subject: [EXTERNAL] 3.14.22 Self-report to OLAW

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Dear Sir/Madam:

Enclosed is the written report of an incident initially reported to Dr. Morse on 3/1/2022.

We look forward to the formal response from OLAW. In the interim, may we request confirmation (e.g. email) that the written report has been received? Thank you very much for your time.

Best regards,
Mike

Michael Vigil, BS, LATG, CPIA
IACUC Manager
IACUC Chairperson
SRI International
333 Ravenswood Ave
Menlo Park, CA 94025

(b) (6)



A3025-1B

Initial Report of Noncompliance

By: BCM

Date: 3/1/2022

Time: 2:00

Name of Person reporting: Mike Vigil, Chair

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: SRI, Intl

Assurance number: A3025

Did incident involve PHS funded activity? ?

Funding component: ?

Was funding component contacted (if necessary):

What happened? Female macaque escaped overnight from quad cage with enrichment tunnel. Small cut to toe. Treated by Vet.

Species involved: Macaca

Personnel involved: None

Dates and times: 2/20/2022

Animal deaths: no

Projected plan and schedule for correction/prevention (if known):

Extra straps added to secure tunnels.

Projected submission to OLAW of final report from Institutional Official:

<60 days.

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Case #