

A3114-4Q



Office of Research

April 8, 2022

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360
6705 Rockledge Drive
Bethesda, MD 20892
By e-mail : olawdco@mail.nih.gov

RE: OLAW case number A3114-4Q

Dear Dr. Morse:

The University of Kansas (KU), in accordance with Assurance D16-22 (A3339-1) and PHS Policy IV.F.3., provides this follow-up report regarding an incident of noncompliance on a subaward to University of Michigan (UM) from KU NIH award 1-RO1-EY029489, *Precisely removing microvessels by photo-mediated ultrasound therapy*. On August 30, 2018, KU and UM entered into an interinstitutional agreement for KU to rely on UM Assurance D16-00072 (A3114-01) for oversight of animal care and use conducted on the subaward at UM. As the prime recipient of the NIH award, KU is providing this follow-up report to supplement reports made by UM directly to OLAW on October 6, 2021 and November 15, 2021. The OLAW-assigned case number for the incident is A3114-4Q.

On October 12, 2022, UM reported the incident to KU and noted that it had made all required notifications to federal agencies. On December 14, 2021, UM notified KU that the protocol suspension had been lifted. UM also provided copies of the relevant sections of IACUC meeting minutes regarding the case and copies of the protocol approval letter and the reports to OLAW. KU requested of UM whether during the period of suspension any animal care fees

had been charged to the subaward. On January 28, 2022, UM confirmed that no animal expenses had been charged to the subaward during the protocol suspension. KU determined that the period of suspension did not impact performance on the award and that the incident did not involve non-compliance with the award terms and conditions.

The University of Kansas is committed to protecting the welfare of animals used in research and appreciates the guidance and assistance provided by OLAW in this regard. Should you have any questions regarding this report, please contact (b) (6)

Sincerely,

(b) (6)

Erik A. Lundquist, Ph.D.
University of Kansas
Professor, Department of Molecular Biosciences
Associate Vice Chancellor, Office of Research (203 Youngberg Hall)
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Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, April 11, 2022 7:18 AM
To: IACUC
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Follow up OLAW report - D16-00220 (A3339-01) University of Kansas - as a supplement to OLAW-assigned case number A3114-4Q

Thank you for this report, (b) (6) We will add it to the case file.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: IACUC <iacuc@ku.edu>
Sent: Friday, April 8, 2022 5:20 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Lundquist, Erik A <erikl@ku.edu>; (b) (6)
(b) (6)
Subject: [EXTERNAL] Follow up OLAW report - D16-00220 (A3339-01) University of Kansas - as a supplement to OLAW-assigned case number A3114-4Q

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Good afternoon,

Attached is a signed OLAW report for the University of Kansas, D16-00220 (A3339-01), which is a follow-up report to supplement reports made by the University of Michigan for the OLAW-assigned case number A3114-4Q.

Please let us know if there are any questions or if any further action is needed.

Thank you,

(b) (6)