



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 30, 2022

Re: Animal Welfare Assurance
A3551-01 [OLAW Case 1H]

Dr. Michael F. Malone
Vice Chancellor for Research and Engagement
University of Massachusetts-Amherst
(b) (4) Whitmore Admin. Building
181 Presidents Drive
Amherst, MA 01003

Dear Dr. Malone,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 28, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Massachusetts - Amherst. According to the information provided, OLAW understands that several litters of mice were tagged prior to weaning and slightly prior to 21 days. The protocol approves ear tagging of mice at greater than 21 days. No adverse outcomes resulted. The PI acknowledged the mistake as soon as it was identified and immediately worked to address the issue. The protocol is funded by the PHS and by the NSF under award IOS-2042207.

The corrective and preventive measures are as follows:

- PI has submitted an amendment to request a broader range for tagging
- Communication with PI has occurred on working off protocol
- Additional emphasis on working off protocol has been added to training
- Language has been added to protocol annual renewal reminders, reminding PIs to have lab members read protocols

OLAW believes that the corrective and preventive measures put in place by the University of Massachusetts – Amherst are appropriate for this incident. We appreciate being informed of this matter and find no cause for further action by this office at this time.

Sincerely,

Brent C. Morse - Digitally signed by Brent C. Morse
-S

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Date: 2022.06.30 12:20:53 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact
NSF Animal Welfare Officer

A3551-1H

UMassAmherst

Research & Engagement

(b) (4) Whitmore Administration Building
181 Presidents Drive
Amherst MA 01003-9313

(b) (6)
Email: vcere@umass.edu
www.umass.edu/research

June 28, 2022

Dr. Brent Morse, D.V.M.
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Re: PHS Assurance # D16-00337 (A3551-01)

Dear Dr. Morse,

In accordance with PHS policy, I provide you a final report of non-compliance that recently occurred on our campus. The matter was initially reported to you over the phone by (b) (6) on June 24, 2022. This report pertains to research funded by NIH award 7R01DK119811-02 and NSF award IOS-2042207; also covered by IACUC approved protocol #2338 at the University of Massachusetts Amherst.

This protocol approves ear tagging of mice at greater than 21 days. Several litters were tagged prior to weaning and slightly prior to 21 days. No adverse outcomes resulted. The PI acknowledged the mistake as soon as it was identified, and immediately worked to address the issue.

The corrective measures are as follows:

- PI has submitted an amendment to request a broader range for tagging
- Communication with PI has occurred on working off protocol
- Additional emphasis on working off protocol has been added to training
- Language has been added to protocol annual renewal reminders, reminding PIs to have lab members read protocols

Please contact us if there are further questions or if additional information is needed.

Sincerely,

(b) (6)

Michael F. Malone
Vice Chancellor for Research & Engagement
Institutional Official

c: Jesse Mager, IACUC Chair

(b) (6)

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, June 29, 2022 7:08 AM
To: Vice Chancellor for Research and Engagement
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: OLAW June 24, 2022 Final Report

Thank you for this report, Dr. Malone. We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: Vice Chancellor for Research and Engagement <vcre@umass.edu>
Sent: Tuesday, June 28, 2022 11:05 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>; Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>
Cc: Jesse Mager <jmager@vasci.umass.edu>; (b) (6)
(b) (6) Vice Chancellor for Research and Engagement <vcre@umass.edu>
Subject: [EXTERNAL] OLAW June 24, 2022 Final Report

Dear Dr. Morse,

In accordance with PHS policy, I provide you a final report of non-compliance that recently occurred on our campus. Please find the attached letter with a summary of events and corrective measures.

Regards,
Mike

Michael F. Malone
Vice Chancellor for Research & Engagement
University of Massachusetts Amherst

(b) (6)

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