



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 1, 2022

Re: Animal Welfare Assurance
A3158-01 [OLAW Case 2D]

Dr. Michael Zwick
Senior Vice President
Rutgers, The State University of New Jersey
33 Knightsbridge Road, 2nd Floor East, (b) (4)
Piscataway, NJ 08854

Dear Dr. Zwick,

The Office of Laboratory Animal Welfare (OLAW) acknowledges your May 27, 2022 letter, reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rutgers University. Your letter supplements the information in the initial phone report on May 23, 2022. According to the information provided, OLAW understands that it was discovered that a laboratory was housing animals outside of the vivarium for longer than the period of time (less than 12 hours) specified in the approved IACUC protocol. Investigation indicated that prior to April 25, 2022, ten cages of mice had been kept outside of the vivarium for more than 12 hours.

The following corrective and preventive actions were taken in response to this incident:

- The PI and Laboratory members were counseled by the IACUC Executive Committee
- A re-training session for all laboratory members, including the PI, will be performed by an IACUC Office Post Approval Monitor.
- The PI will amend the Housing and Use section of the IACUC protocol to provide additional clarity regarding the animals that are approved to be removed from the vivarium for the approved less than 12-hour period.
- The PI will provide a written statement that the laboratory will follow the approved protocol as written and animals will not be out of the vivarium for more than 12 hours.
- A CMR Supervisor and IACUC Office Post Approval Monitor will be emailed by the laboratory when any laboratory member is taking animals to the laboratory and when the animals are being returned to the vivarium.
- A log sheet documenting the time and date of all animals removed from the vivarium and the time and date of their return to the vivarium will be kept in the vivarium and maintained by the laboratory.
- An IACUC Office Post Approval Monitor will perform additional monitoring of the laboratory to ensure continued compliance.
- The animal census will be closely monitored to identify any potentially suspicious changes that would indicate animals being housed outside of the vivarium.

The prompt consideration of this matter by Rutgers University was consistent with the philosophy of institutional self-regulation. Similarly, the corrective and preventive measures would be expected to prevent such incidents in the future. We appreciate being informed of this matter and find no cause for further action by this office.

Page 2 – Dr. Zwick
June 1, 2022
OLAW Case A3158-2D

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C. Morse -
S

Date: 2022.06.01 14:33:37 -04'00'

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact



Rutgers, the State University of New Jersey
IACUC Administrative Office
675 Hoes Lane West, room 115
Piscataway, NJ 08854

A3158-2D
orad.rutgers.edu
NewarkIACUC@rutgers.edu
NewBrunswickIACUC@rutgers.edu
732.235.6081

May 27, 2022

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Re: Animal Welfare Assurance Number D16-00098 (A3158-01)

Dear Dr. Morse,

Rutgers, The State University of New Jersey hereby makes the final report for the following incident, reported to OLAW via telephone on May 23, 2022. It was discovered that a laboratory was housing animals outside of the vivarium for longer than the period of time (less than 12 hours) specified in the approved IACUC protocol. Investigation indicated that prior to April 25, 2022, ten cages of mice had been kept outside of the vivarium for more than 12 hours.

The following actions were taken in response to this incident:

- The PI and laboratory members were counseled by the IACUC Executive Committee.
- A re-training session for all laboratory members, including the PI, will be performed by an IACUC Office Post Approval Monitor.
- The PI will amend the Housing and Use section of the IACUC protocol to provide additional clarity regarding the animals that are approved to be removed from the vivarium for the approved less than 12-hour period.
- The PI will provide a written statement that the laboratory will follow the approved protocol as written and that animals will not be out of the vivarium for more than 12 hours.
- A CMR Supervisor and IACUC Office Post Approval Monitor will be emailed by the laboratory when any laboratory member is taking animals to the laboratory and when the animals are being returned to the vivarium.
- A log sheet documenting the time and date of all animals removed from the vivarium and the time and date of their return to the vivarium will be kept in the vivarium and maintained by the laboratory.
- An IACUC Office Post Approval Monitor will perform additional monitoring of the laboratory to ensure continued compliance.
- The animal census will be closely monitored to identify any potentially suspicious changes that would indicate animals being housed outside of the vivarium.
- At its May 18, 2022 meeting, the IACUC reviewed and discussed these incidents and unanimously voted to report this matter to OLAW. The IACUC also voted to accept the above noted corrective actions.

Please note that these animals were supported by NIH funds. Please contact me with any questions.

Sincerely,

(b) (6)

Michael E. Zwick, Ph.D.
Institutional Official
Senior Vice President for Research

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, June 1, 2022 7:46 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: final report for Rutgers Assurance D16-00098 (A3158-01)

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Friday, May 27, 2022 1:40 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)
Subject: [EXTERNAL] final report for Rutgers Assurance D16-00098 (A3158-01)

Division of Compliance Oversight,

Attached is a final report for a reportable event under Assurance # D16-00098 (A3158-01).

Please let us know if you have any questions.

Thank you,
(b) (6)



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Initial Report of Noncompliance

By: BCM

Date: 5/23/2022

Time: 3:00

Name of Person reporting: (b) (6)
Telephone #: (b) (6)
Fax #:
Email:

Name of Institution: Rutgers Univ
Assurance number: A31585

Did incident involve PHS funded activity? yes

Funding component:

Was funding component contacted (if necessary):

What happened? Lab staff kept 10 cages of mice in lab for >12 hours allowed in their protocol.

Species involved: Mus

Personnel involved: Lab staff

Dates and times: ?

Animal deaths: no

Projected plan and schedule for correction/prevention (if known):

Retraining of lab staff. Increased PAM. Establish check-out, check-in of cages taken to lab.

Projected submission to OLAW of final report from Institutional Official:

< 60 days

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Case #