

## Annual Report to OLAW

Institution:	University of Texas Southwestern Medical Center (UT Southwestern)
Assurance Number:	D16-00296
Reporting Period:	October 1, 2020 – September 30, 2021

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

### I. Program Changes

- [ ☐ ] A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance.
- [ ☒ ] B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period.

Select all that apply:

- [ ☐ ] This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- [ ☐ ] [AAALAC Accredited](#) – Category 1
- [ ☐ ] Non-Accredited – Category 2
- [ ☐ ] This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)).
- [ ☒ ] The individual designated by this institution as the Institutional Official has changed.
- [ ☐ ] The membership of this institution's IACUC has changed.

### II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency.

#### A. Program Evaluations

Date 1: October 5, 2020	Date 2: April 5, 2021
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#### B. Facility Inspections

Inspection Dates (October – March)	Inspection Dates (April – September)	Building Codes*
November 3, 10, 17, 19	May 5, 11, 13	(b) (4)
December 7, 8, 9, 14, 17	June 2, 8, 16, 22	
January 12, 15, 19	July 13, 20, 22	
February 4, 8, 9, 23, 26	August 3, 5, 10, 17, 20, 23	
March 10, 17, 22, 23, 25, 29	September 2, 21, 22	



**III. Minority Views**

- [ ☒ ] A. There were **no minority** views during this reporting cycle.
- [ ☐ ] B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F.](#) for this reporting cycle are attached.

**IV. Signatures**

IACUC Chairperson	Institutional Official
Name: Robert Hammer, PhD	Name: Joan Conaway, PhD
Signature:  (b) (6)	Signature:  (b) (6)
Date: 11/10/2021	Date: 11/11/2021

**V. Change in Institutional Official**

Name: Joan Conaway	
Title: Vice Provost & Dean of Basic Research	Degree/Credentials: PhD
Name of Institution: University of Texas Southwestern Medical Center (UT Southwestern)	
Address: 5323 Harry Hines Blvd MC9003, Dallas, Texas 75390	
Phone:  (b) (6)	Fax:  (b) (6)
E-mail: joan.conaway@utsouthwestern.edu	

**VI. Change in IACUC Membership**

<b>Institution:</b> University of Texas Southwestern Medical Center (UT Southwestern)			
<b>IACUC Contact Information</b>			
Address: 5323 Harry Hines Boulevard, Dallas, TX, 75390-9107			
E-mail: (b) (6)@utsouthwestern.edu			
Phone: (b) (6)		Fax: (b) (6)	
<b>IACUC Chairperson</b>			
Name: Robert Hammer			
Title: Professor (Biochemistry)		Degree/Credentials: PhD	
PHS Policy Membership Requirements***: Scientist			
<b>IACUC Roster</b>			
Name of Member/ Code*	Degree/ Credentials	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
Robert Hammer	PhD	Professor IACUC Chair	Scientist
Bart Carter	DVM, MS, DACLAM	Assistant Vice President of the Animal Resource Center Attending Veterinarian	Veterinarian
(b) (6)			Member
			Scientist
			Nonaffiliated & Nonscientist
			Nonaffiliated
			Veterinarian
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Scientist

(b) (6)	Scientist
	Veterinarian
	Veterinarian

\* Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

\*\* List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

\*\*\* [PHS Policy](#) Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (e.g., ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user or former user. A consulting veterinarian may not be considered nonaffiliated.

### Statement of Burden

Public reporting burden for this collection of information is estimated to average 90 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0765). Do not return the completed form to this address.

**Sections that have been revised to reflect the change in IO are highlighted below:**

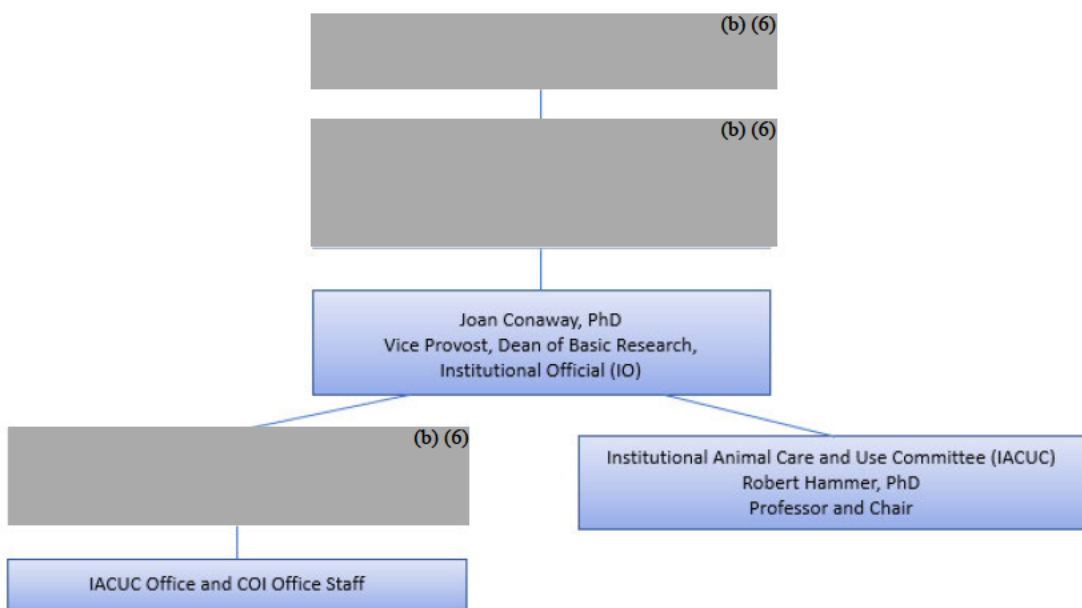
### **Animal Welfare Assurance**

I, **Joan Conaway**, as the named Institutional Official for animal care and use at UT Southwestern Medical Center, provide assurance that this Institution will comply with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals (Policy).

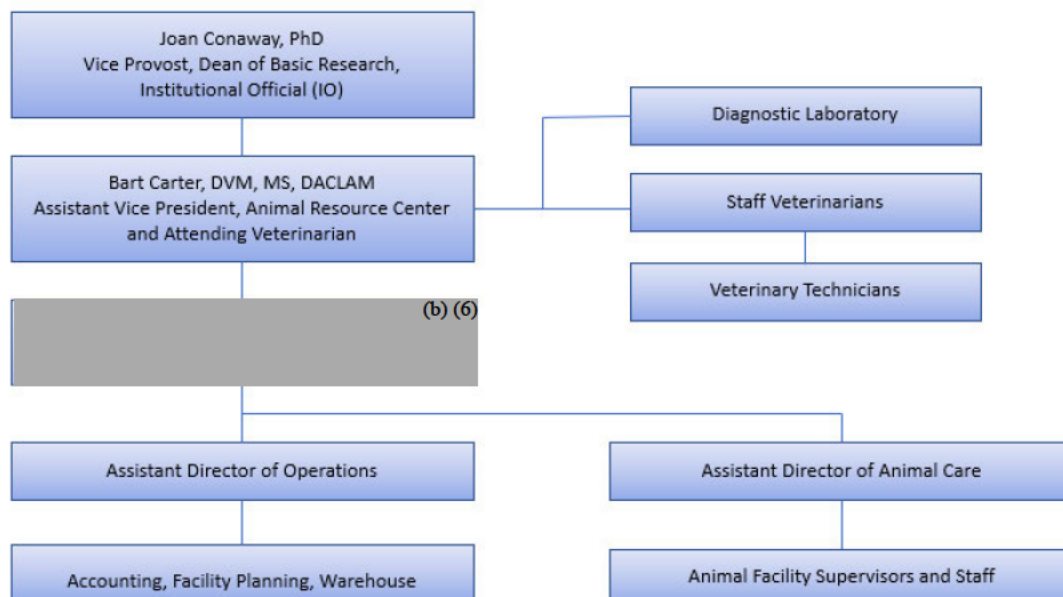
### **III. Institutional Program for Animal Care and Use**

- A. The lines of authority and responsibility for administering the program and ensuring compliance with the PHS Policy are as follows:

#### **IACUC Organizational Chart**



#### **Veterinary Care & Animal Facility Management Organizational Chart**



**D. The IACUC will:**

3) Prepare reports of the IACUC evaluations according to PHS Policy IV.B.3. and submit the reports to the Institutional Official. The IACUC procedures for developing reports and submitting them to the Institutional Official are as follows:

d. After signatures are gathered, the report is forwarded to the IO if at least a majority of IACUC members have signed the report. The IO acknowledges (b) (4) receipt of the report via correspondence with the IACUC Office.

**V. Recordkeeping Requirements**

A. This Institution will maintain for at least 3 years:

4. Records of semiannual IACUC reports and recommendations (including minority views) as forwarded to the Institutional Official (b) (6)

**VI. Reporting Requirements**

A. The Institutional reporting period is the calendar year (January 1 – December 31). The IACUC, through the Institutional Official, will submit an annual report to OLAW by January 31 of each year. The annual report will include:

4. Notification of the dates that the IACUC conducted its semiannual evaluations of the Institution's program and facilities (including satellite facilities) and submitted the evaluations to the Institutional Official (b) (6)