



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

January 4, 2022

Re: Animal Welfare Assurance
A3472-01 [OLAW Case 6F]

Dr. David Russell
Vice President and Dean of Basic Research
University of Texas Southwestern Medical Center
5323 Harry Hines Boulevard
Dallas, TX 75390-9002

Dear Dr. Russell,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 6, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Texas – Southwestern Medical Center. Your letter supplements the information in the preliminary telephone report to our office on November 16, 2021. According to the information supplied, OLAW understands that on November 9, 2021 it was determined that a research staff member performed unapproved tail snipping procedures on about 45 mice for genotyping purposes. The animals were assigned to PHS-supported activities.

Corrective and preventive measures: the research staff member and PI were counseled by a Senior IACUC Liaison and ceased the unapproved work. Subsequently the PI submitted amendments to two protocols to describe the tail snipping of mice for genotyping. Both amendments were approved by the IACUC.

The prompt consideration of this matter by the University of Texas – Southwestern Medical Center was consistent with the philosophy of institutional self-regulation and self-correction. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -

Digitally signed by Brent C.
Morse -S

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Date: 2022.01.04 08:55:14 -05'00'

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

cc: IACUC contact

December 6, 2021

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

RE: UT Southwestern Medical Center Animal Welfare Assurance Statement # D16-00296
Grants: R01-DK091392 and R01-DK092461

Dear Dr. Morse,

I write to provide a final report of non-compliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* regarding an incident on November 9, 2021. The IACUC determined that a research staff member performed unapproved tail snipping procedures on about 45 mice for genotyping purposes. This determination was based on observations made by clinical veterinary staff and was first reported to you on November 16, 2021 via voicemail message from an IACUC Manager.

The research staff member and PI were counseled on November 9, 2021 by a Sr. IACUC Liaison and agreed to cease the unapproved work. Subsequently on November 9, 2021, the PI submitted amendments to two protocols to describe the tail snipping of mice for genotyping. Both amendments were approved by the IACUC on November 18, 2021.

We believe the actions taken by the IACUC are appropriate for this situation.

Yours sincerely,

DocuSigned by:
(b) (6)
7EEC20C47D74D4
Joan Conaway, PhD

Vice Provost, Dean of Basic Research, and Institutional Official
University of Texas Southwestern Medical Center

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, December 10, 2021 8:07 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Report to OLAW

Thank you for this report, (b) (6) We will send a response soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Thursday, December 9, 2021 5:06 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Joan Conaway <Joan.Conaway@UTSouthwestern.edu>; (b) (6)
(b) (6)
Subject: [EXTERNAL] Report to OLAW

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

To the Division of Compliance Oversight,

Attached is a final report of noncompliance. Please let me know if you have any questions regarding this report.

Thank you,

(b) (6)

UT Southwestern
Medical Center
The future of medicine, today.



Initial Report of Noncompliance

By: (b) (6)

Date: 11/16/21

Time: 5:46 pm (b) (6)

Name of Person reporting: (b) (6)

Telephone #: (b) (6)

Fax #: (b) (6)

Email: (b) (6)

Name of Institution: U. of TX Southwestern Med. Cen.

Assurance number: A3472

Did incident involve PHS funded activity? Yes

Funding component: NIDDK

Was funding component contacted (if necessary):

What happened? 45 mice tail-snipped w/o approval.

Species involved: mice

Personnel involved: lab member

Dates and times: 11/9/21

Animal deaths:

Projected plan and schedule for correction/prevention (if known):

Counseling PI and staff

Projected submission to OLAW of final report from Institutional Official:

< 60 d.

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Case #