



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 27, 2022

Re: Animal Welfare Assurance
A3472-01 [OLAW Case 6H]

Dr. Joan Conaway
Vice Provost and Dean of Basic Research
University of Texas Southwestern Medical Center
5323 Harry Hines Boulevard
Dallas, TX 75390-9002

Dear Dr. Conaway,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 23, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Texas – Southwestern Medical Center. Your letter supplements the information in the preliminary telephone report to our office on May 18, 2022. According to the information supplied, OLAW understands that on April 27, 2022, a research lab had performed toe clipping on multiple mice housed within three cages. The mice were older than the approved age range for the procedure. The associated activity is PHS-funded.

Corrective and preventive actions: The lab staff were counseled on April 27, 2022, by a clinical veterinarian and on May 2, 2022, by the Sr. IACUC Liaison. The lab agreed to conduct all further toe clipping according to the approved protocol. On May 18, 2022, the Sr. IACUC Liaison conducted a post-approval monitoring (PAM) visit and determined that all compliance concerns have been resolved.

The prompt consideration of this matter by the University of Texas – Southwestern Medical Center was consistent with the philosophy of institutional self-regulation and self-correction. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.
Morse -S
Date: 2022.06.27 15:59:05 -04'00'

Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

McCoy, Devora (NIH/OD) [E]

From: McCoy, Devora (NIH/OD) [E]
Sent: Monday, June 27, 2022 6:58 PM
To: joan.conaway@utsouthwestern.edu
Cc: OLAW Division of Compliance Oversight (NIH/OD);
(b) (6)
Subject: OLAW Cases A3472-6H and A3472-6I
Attachments: Conaway UTSWMC 3472-6H.pdf; Conaway UTSWMC 3472-6I.pdf

Hello Dr. Conaway,

Attached please find Dr. Morse's final responses to OLAW Cases A3472-6H and A3472-6I. If you have any questions, feel free to contact us by phone or by e-mail.

Best,
Devora

Devora McCoy, BS, MBA
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
301-435-2390

A3472-6H

UT Southwestern
Medical Center

June 23, 2022

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892

RE: UT Southwestern Medical Center Animal Welfare Assurance Statement # D16-00296
Grant: R01DK118745

Dear Dr. Morse,

I write to provide a final report of non-compliance with the *PHS Policy on Humane Care and Use of Laboratory Animals* noted on April 27, 2022. The IACUC determined that the research lab had performed toe clipping on multiple mice housed within three cages. The mice were older than the approved age range for the procedure. This determination was based on observations made by clinical veterinary staff as well as discussions with the lab staff. This was first reported to you on May 18, 2022, via voicemail message from an IACUC Regulatory Monitoring Analyst.

The lab staff were counseled on April 27, 2022, by a clinical veterinarian and on May 2, 2022, by the Sr. IACUC Liaison. The lab agreed to conduct all further toe clipping according to the approved protocol. On May 18, 2022, the Sr. IACUC Liaison conducted a post-approval monitoring (PAM) visit and determined that all compliance concerns have been resolved.

We believe the actions taken by the IACUC are appropriate for this situation.

Yours sincerely,

(b) (6)

Joan W. Conaway, PhD
Vice Provost, Dean of Basic Research, and Institutional Official
University of Texas Southwestern Medical Center



A3472-6H

Initial Report of Noncompliance

By: BCM

Date: 5/19/2022

Time: Voicemail

Name of Person reporting: (b) (6)

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Texas Southwest Med Cen.

Assurance number: A3472

Did incident involve PHS funded activity? yes

Funding component: NIDDK

Was funding component contacted (if necessary):

What happened?

Toe-clipping of mice outside of approved age range.

Species involved: Mus

Personnel involved: research staff

Dates and times: 4/27/22

Animal deaths: No

Projected plan and schedule for correction/prevention (if known):

Counseling of research staff.

Projected submission to OLAW of final report from Institutional Official:

< 60 days

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Case #