



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

January 13, 2022

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

Re: Animal Welfare Assurance
A3269-01 [OLAW Case 4S]

Dr. Alison Lakin
Associate Vice Chancellor for Regulatory Compliance
University of Colorado Health Sciences Center
13001 East 17th Place
Anschutz Medical Campus Bldg. 500
Denver, Colorado 80262

Dear Dr. Lakin,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 9, 2021 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Colorado - Denver. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this Office understands that the University of Colorado - Denver Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: use of an unapproved method of euthanasia involving a mouse. The final report states a research assistant used a mouse euthanasia method that was not approved on the protocol. In response, the research assistant was counseled and retrained by the primary investigator. The individual expressed remorse and reread the IACUC-approved protocol. Also, the investigator developed a remediation plan limiting the number of projects the individual is assigned to. Per the report, this limits the number of protocols the individual must keep track of and avoids complex and over scheduled days. The IACUC accepted the corrective actions regarding the matter.

It is noted that this research is supported by PHS funding. Based on its assessment of this explanation, OLAW understands that the University of Colorado - Denver has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,
Jacquelyn T.
Tubbs -S

Digitally signed by Jacquelyn T.
Tubbs -S
Date: 2022.01.13 14:47:41
-05'00'

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

A3269-45



University of Colorado
Denver | Anschutz Medical Campus

Office of the Institutional Animal Care & Use Committee (IACUC)

Institutional Animal Care & Use Committee
Office for Research Committee Support
Mail Stop F489
13001 E. 17th Place
Aurora, CO 80045
303-724-1056
www.ucdenver.edu/animal

December 9, 2021

Animal Welfare Assurance # D16-00171

Brent Morse, DVM
Division of Compliance Oversight
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7892
Bethesda, Maryland 20892-7982

Dear Dr. Morse;

The Institutional Animal Care and Use Committee (IACUC) at the University of Colorado Denver | Anschutz Medical Campus received a report of an animal incident. Specifically, a research assistant was using a mouse euthanasia method that was not in the IACUC approved protocol. The euthanasia method had been approved in their previous IACUC protocols.

The research assistant was counselled and retrained by the Primary Investigator. The research assistant has expressed remorse and has carefully reread the IACUC approved protocol in detail. Additionally, the Primary Investigator developed a remediation plan limiting the number of projects that the research assistant is on. This limits the number of IACUC protocols the research assistant needs to keep track of and prevents complex and over scheduled days.

At a convened meeting of a quorum of the IACUC, a majority of the quorum present determined that this was a protocol deviation and accepted the corrective actions described above. The Committee determined further that the Office of Laboratory Animal Welfare (OLAW) be informed of this incident (NIH Grant # AR070879).

Please let me know if you require further information.

Sincerely,

(b) (6)

Alison Lakin RN, LLB, LLM, PhD
Associate Vice Chancellor for Regulatory Compliance
University of Colorado Denver | Anschutz Medical Campus

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, December 15, 2021 7:34 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: UC Denver (D16-00171) - initial and final animal reports

Thank you for these reports, (b) (6) We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: (b) (6)
Sent: Friday, December 10, 2021 1:47 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] UC Denver (D16-00171) - initial and final animal reports

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

Animal Welfare Assurance D16-00171

Dear Dr. Morse,

Please find attached four animal reports for your review.

These are the initial and final animal reports that the IACUC determined needed to be reported to OLAW, based on OLAW guidance.

These reports did not have a preliminary email notification to OLAW.

If you have any questions, please do not hesitate to contact me.

Sincerely,

(b) (6)