

Annual Report to OLAW

Institution: Nathan S. Kline Institute for Psychiatric
Assurance Number: D16-00783
Reporting Period: October 1, 2021 – September 30, 2022

This institution's Institutional Animal Care and Use Committee (IACUC), through the Institutional Official, provides this annual report to the Office of Laboratory Animal Welfare (OLAW).

I. Program Changes *[Select A or B]*

- ☐ A. There have been **no changes** in this institution's program for animal care and use as described in the Assurance. *[Skip to Item II.]*
- ☒ B. Change(s) in this institution's program for animal care and use as described in the Assurance have occurred during this reporting period.

Select all that apply:

- ☐ This institution's AAALAC accreditation status has changed ([PHS Policy IV.A.2.](#)).
- ☐ [AAALAC Accredited](#) – Category 1
- ☐ Non-Accredited – Category 2
- ☐ This institution's program for animal care and use has changed ([PHS Policy IV.A.1.a-i.](#)). *[Attach a full description of the changes.]*
- ☐ The individual designated by this institution as the Institutional Official has changed. *[Provide name, title(s), address, e-mail, phone, and fax numbers in Item V.]*
- ☒ The membership of this institution's IACUC has changed. *[Provide current roster of members in Item VI.]*

II. Semiannual Evaluations

This IACUC has conducted semiannual evaluations of the institution's program and inspections of the institution's facilities (including satellite facilities) on the dates below. Reports of the evaluations and inspections have been submitted to the Institutional Official. The reports include any IACUC-approved departures from the *Guide* with a reason for each departure, any deficiencies (significant or minor) that were identified, and a plan and schedule for correction of each deficiency. *[Do not provide semiannual reports unless they include a minority view.]*

A. Program Evaluations

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that evaluations be done at 6-month intervals. If the IACUC conducted more than 2 evaluations of the program during the reporting period, please attach a list showing the dates.]

Date 1: December 21, 2021	Date 2: June 21, 2022
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VI. Change in IACUC Membership [*Current roster*]

Institution:			
IACUC Contact Information			
Address:			
(b) (6)			
Nathan S. Kline Institute Bldg. 35 140 Old Orangeburg Road Orangeburg, NY 10962			
E-mail: (b) (6)@nki.rfmh.org			
Phone: (b) (6)		Fax: (b) (6)	
IACUC Chairperson			
Name: Henry Sershen			
Title: Research Scientist		Degree/Credentials: Ph.D.	
PHS Policy Membership Requirements***:			
IACUC Roster [<i>Provide below or attach</i>]			
Name of Member/ Code*	Degree/ Credentials	Position Title/ Occupational Background**	PHS Policy Membership Requirements***
Henry Sershen	Ph.D.	Research Scientist, Neurochemistry	Chair
Leslie Diaz	DVM	Veterinarian	Attending Veterinarian
(b) (6)			Scientist
			Scientist
			Scientist
			Scientist
			Scientist
			Research Scientist
			Non-Scientist
			Non-Scientist, Nonaffiliated
			Non-Scientist, (Non-voting member)

* Names of members, other than the chairperson and veterinarian, may be represented by a number or symbol in this report to OLAW. Sufficient information to determine that all appointees are appropriately qualified must be provided and the identity of each member must be readily ascertainable by the institution and available to authorized OLAW or other PHS representatives upon request.

** List specific position titles for all members, including nonaffiliated (e.g., banker, teacher, volunteer fireman; not "community member" or "retired").

*** [PHS Policy](#) Membership Requirements:

<i>Veterinarian</i>	veterinarian with training or experience in laboratory animal science and medicine or in the use of the species at the institution, who has direct or delegated program authority and responsibility for activities involving animals at the institution.
<i>Scientist</i>	practicing scientist experienced in research involving animals.
<i>Nonscientist</i>	member whose primary concerns are in a nonscientific area (e.g., ethicist, lawyer, member of the clergy).
<i>Nonaffiliated</i>	individual who is not affiliated with the institution in any way other than as a member of the IACUC and is not a member of the immediate family of a person who is affiliated with the institution. This member is expected to represent general community interests in the proper care and use of animals and should not be a laboratory animal user or former user. A consulting veterinarian may not be considered nonaffiliated.

[Note: all members must be appointed by the Chief Executive Officer (or individual with specific written delegation to appoint members) and must be voting members. Non-voting members and alternate members must be so identified.]

Statement of Burden

Public reporting burden for this collection of information is estimated to average 90 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0765). Do not return the completed form to this address.

B. Facility Inspections

[Two dates (month/day/year) must be provided to satisfy the PHS Policy requirement that facility inspections be done at 6-month intervals. If the IACUC conducted more than 2 inspections of each site during the reporting period, please attach a list showing the dates.]





Date 1: December 6, 2021

Date 2: June 1, 2022 and June 14, 2022

III. Minority Views [Select A or B]

- ☒ A. There were **no minority** views during this reporting cycle.
- ☐ B. Any minority views submitted by members of the IACUC regarding reports filed under [PHS Policy IV.F.](#) for this reporting cycle are attached.

IV. Signatures

IACUC Chairperson	Institutional Official
Name: Henry Sershen, Ph.D.	Name: Thomas O. O'Hara, MBA
 (b) (6)	 (b) (6)
Signature: 	Signature: 
Date: 10/26/22	Date: 10/26/22

V. Change in Institutional Official

Name:	
Title:	Degree/Credentials:
Name of Institution:	
Address: [street, city, state, zip code]	
Phone:	Fax:
E-mail:	

PHS Policy IV.A.1.c. *the qualifications, authority, and responsibility of the veterinarian(s) who will participate in the program and the percent of time each will contribute to the program;*

Leslie Diaz, DVM, MPH, DACLAM, is the Attending Veterinarian and a voting IACUC member. Dr. Diaz has delegated program authority and responsibility for activities involving animals at the Institution, and works closely with the IACUC Chair, the scientists, and animal care management.

Qualifications:

Degrees: DVM, MPH, DACLAM

Training or experience in laboratory animal medicine or in the use of the species at the institution:

Dr. Diaz joined the Nathan S. Kline Institute for Psychiatric Research (NKI) in February 2022 as the Consultant Attending Veterinarian. Dr. Diaz received her Doctor of Veterinary Medicine (DVM) in 2010 from Cornell University, College of Veterinary Medicine, and completed her Postdoctoral Fellowship in 2013 from the Tri-Institutional Training Program in Laboratory Animal Medicine & Science, in New York, receiving the Diplomate in the American College of Laboratory Animal Medicine [DACLAM] in 2015. Dr. Diaz also completed a Master of Public Health (MPH) from the University of Minnesota in 2015. Dr. Diaz is accredited with the United States Department of Agriculture Federal Accreditation and has a veterinary license to practice in the state of New York.

Responsibilities: As the program Attending Veterinarian, Dr. Diaz has delegated program authority and responsibility for the Institution's animal care and use program including access to all animals. Dr. Diaz provides veterinary care and program oversight. Dr. Diaz serves as an active voting member of the IACUC.

Time contributed to program: The Attending Veterinarian is onsite 8 to 20 hours per month and provides consulting veterinary services as needed; 100% contributed time in support and oversight of NKI animal care and use program.