



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

December 15, 2022

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 12D]

Dr. Nadine Connor
Associate Vice Chancellor for
Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of a December 6, 2022 letter, co-signed by you, reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Wisconsin – Madison. The letter supplemented the information in the preliminary telephone report on August 31, 2022. According to the information provided, OLAW understands that on July 19, 2022, it was determined that laboratory personnel did not place an intravenous catheter for surgery nor administer intravenous (IV) fluids as detailed in their animal care protocol during surgery on a sheep. On inspection of previous records, a different sheep had also undergone the same orthopedic procedure on February 2, 2022, without the required IV catheter or fluids. In addition, during post-operative observations, an animal care technician did not notify the Research Animal Veterinarian or their supervisor in a timely manner, that a prosthetic device had become unattached from the sheep. Both sheep were unharmed and did not appear uncomfortable or experience any morbidity at any time. The animals were associated with an NIH-supported activity.

Corrective and preventive actions: Retraining of the laboratory personnel was completed by the Research Veterinarian and retraining of the animal care technician was completed by the Research Program Associate Director. The laboratory has been instructed on the importance of adhering to the approved protocol and the laboratory animal technician retrained on the importance of timely veterinarian notification of unanticipated problems.

The consideration of this incident by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the action taken to prevent recurrence was appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Brent C. Morse, DVM
Director

Division of Compliance Oversight
Office of Laboratory Animal Welfare

Digitally signed by Brent C.
Morse -S
Date: 2022.12.15 12:27:55 -05'00'

cc: IACUC contact

Dr. Robert M. Gibbens, USDA, APHIS, AC



Office of the Vice Chancellor for
Research and Graduate Education
UNIVERSITY OF WISCONSIN-MADISON

December 6, 2022

Dr. Brent C. Morse, DVM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL, Suite 360
6705 Rockledge Drive, MSC 982
Bethesda, MD 20892

Dear Dr. Morse,

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, is providing this report of an incident.

On 7/19/2022, a laboratory performed an IACUC-approved orthopedic procedure on a sheep but on post-operative veterinary observations, it was determined that laboratory personnel did not place an intravenous catheter for surgery nor administer intravenous (IV) fluids as detailed in their animal care protocol. On inspection of previous records, a different sheep had also undergone the same orthopedic procedure on 02/02/22 without an IV catheter or fluids as approved in the protocol. In addition, during post-operative observations, an animal care technician did not notify the Research Animal Veterinarian or their supervisor in a timely manner, that a prosthetic device had become unattached from the sheep. Both sheep were unharmed and did not appear uncomfortable or experience any morbidity at any time.

Retraining of the laboratory personnel was completed by the Research Veterinarian and retraining of the animal care technician was completed by the Research Program Associate Director. The laboratory has been instructed on the importance of adhering to the approved protocol and the laboratory animal technician retrained on the importance of timely veterinarian notification of unanticipated problems. The animals were associated with an NIH-supported activity. The School of Veterinary Medicine's Institutional Animal Care and Use Committee discussed this incident and the corrective measures at their monthly meeting on 08/09/22 and required no further action.

Sincerely,

(b) (6)

Rebecca Johnson, DVM, PhD, DACVAA
Clinical Professor and Research Anesthesiologist
Chair – School of Veterinary Medicine, Institutional Animal Care and Use Committee

Office of the Vice Chancellor for Research and Graduate Education
333 Bascom Hall 500 Lincoln Drive Madison, Wisconsin 53706 608-262-1044

(b) (6)

Nadine P. Connor, Ph.D.
Associate Vice Chancellor for Research Policy & Compliance
Institutional Official

xc:

(b) (6)

Janet Welter
AAALAC-I

McCoy, Devora (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, December 7, 2022 10:48 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Good morning (b) (6)

Thank you for sending us this report and we will send an official response soon.

Best,
Devora

Devora McCoy, BS, MBA
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
301-435-2390

From: (b) (6)
Sent: Tuesday, December 6, 2022 2:27 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)
(b) (6) Janet Welter <welter@rarc.wisc.edu>
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)

A3368-12D



Initial Report of Noncompliance

By: BCM

Date: 8/31/2022

Time: 3:30

Person Reporting: Janet Welter, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Wisconsin (Madison)

Assurance number: A3368

Did incident involve PHS funded activity? No

Funding component:

Was funding component contacted (if necessary):

What happened: Sheep supposed to be on IV fluids post-Sx but were not. Two sheep at Vet School.

Species involved: Ovis

Personnel involved: ?

Dates and times: July 26, 2022

Animal deaths:

Projected plan and schedule for correction/prevention (if known):

Projected submission to OLAW of final report from Institutional Official:

< 60 days

OFFICE USE ONLY

Case #