



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910

Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817

Telephone: (301) 496-7163

Facsimile: (301) 480-3387

October 7, 2022

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 12E]

Dr. Nadine Connor
Associate Vice Chancellor for Research Policy and Compliance
University of Wisconsin - Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 23, 2022 letter reporting an adverse event involving research frogs at the University of Wisconsin- Madison, following up on an initial telephone report on August 31, 2022. According to the information provided, OLAW understands that five frogs drowned after their tank overfilled with water from a tank leaking above.

The corrective actions consisted of repositioning the lower tank and modifying it to prevent overfilling.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy on Humane Care and Use of Laboratory Animals.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



Research Animal Resources and Compliance

A3368-12E

September 23, 2022

Dr. Brent Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on August 31, 2022.

In this incident, 5 male *Xenopus* frogs drowned when the tank they were in became over-full due to water leaking from a tank positioned above it. Since this incident the lab has moved the location of the lower tank and modified the tank so that it cannot become overfilled. The animals involved were supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and determined that the corrective steps taken were appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Ricki Colman, Ph.D.
Chair, LSVC ACUC

(b) (6)

Nadine P. Connor, Ph.D.
Associate Vice Chancellor for Research Policy & Compliance
Institutional Official

xc:

(b) (6)

Janet Welter
AAALAC-I

Research Animal Resources and Compliance

396 Enzyme Institute University of Wisconsin-Madison 1710 University Avenue Madison, Wisconsin 53726-4087
608/262-1238 Fax: 608/265-2698 Email: help@rarc.wisc.edu <http://www.rarc.wisc.edu>

McCoy, Devora (NIH/OD) [E]

From: (b) (6)
Sent: Thursday, September 29, 2022 10:52 AM
To: OLAW Division of Compliance Oversight (NIH/OD)
Cc: AAALAC International; Nadine Connor; (b) (6) Janet Welter; RICKI COLMAN
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison
Attachments: L SVC.6.27.22.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)

McCoy, Devora (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, September 29, 2022 11:15 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Good morning (b) (6)

Thank you for sending us this final report and we will send an official response soon.

Best,
Devora

Devora McCoy, BS, MBA
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
301-435-2390

From: (b) (6)
Sent: Thursday, September 29, 2022 10:52 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)
(b) (6) Janet Welter <welter@rarc.wisc.edu>; RICKI COLMAN <rcolman@primate.wisc.edu>
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)

A3368-12E



Initial Report of Noncompliance

By: BCM

Date: 8/31/2022

Time: 3:30

Person Reporting: Janet Welter, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Wisconsin (Madison)

Assurance number: A3368

Did incident involve PHS funded activity? _____

Funding component: _____

Was funding component contacted (if necessary): _____

What happened: Frogs in tank found dead due to tank above leaking.

Species involved: Xenopus

Personnel involved: Researcher

Dates and times: June 12, 2022

Animal deaths: yes

Projected plan and schedule for correction/prevention (if known): _____

Tank was move.

Projected submission to OLAW of final report from Institutional Official:

< 60 days

OFFICE USE ONLY

Case # _____