



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 480-3387

October 7, 2022

Re: Animal Welfare Assurance  
A3368-01 [OLAW Case 12G]

Dr. Nadine Connor  
Associate Vice Chancellor for Research Policy and Compliance  
University of Wisconsin - Madison  
(b) (4) Bascom Hall – 500 Lincoln Drive  
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 23, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin- Madison, following up on an initial telephone report on August 31, 2022. According to the information provided, OLAW understands that laboratory staff performed 29 blood collections on three macaques although the protocol was only approved for 20. No adverse effects were reported in the animals due to the extra blood draws.

The corrective action consisted of amending the protocol to allow additional blood draws and counseling the Principal Investigator and staff to follow the protocol as approved.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy. If not already in place, OLAW encourages the institution to consider use of Veterinary Verification and Consultation to address such situations. Thank you for keeping OLAW apprised on this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.  
Deputy Director  
Office of Laboratory Animal Welfare

cc: IACUC Chair  
Robert Gibbens, D.V.M., USDA-APHIS-AC



## Research Animal Resources and Compliance

A3368-12G

September 23, 2022

Dr. Brent Morse, DVM, DAACLAM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
RKL1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on August 31, 2022.

In this incident, during a protocol audit it was found that lab staff performed 29 blood sample collections on 3 macaques that were on a protocol that only allowed for 20 blood sample collections per animal. No adverse outcomes resulted from the additional blood sampling. The protocol has since been amended to allow for additional blood sampling. The PI and lab staff have been reminded to review their protocols frequently to assure compliance. The animals involved were supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and determined that the corrective steps taken were appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Ricki Colman, Ph.D.  
Chair, LSVC ACUC

(b) (6)

Nadine P. Connor, Ph.D.  
Associate Vice Chancellor for Research Policy & Compliance  
Institutional Official

xc: (b) (6)  
Janet Welter  
AAALAC-I

### Research Animal Resources and Compliance

396 Enzyme Institute University of Wisconsin-Madison 1710 University Avenue Madison, Wisconsin 53726-4087  
608/262-1238 Fax: 608/265-2698 Email: help@rarc.wisc.edu http://www.rarc.wisc.edu

Obtained by Rise for Animals.  
Uploaded to Animal Research Laboratory Overview (ARLO) on 06/29/2023

**McCoy, Devora (NIH/OD) [E]**

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**From:** [REDACTED] (b) (6)  
**Sent:** Thursday, September 29, 2022 10:41 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD)  
**Cc:** AAALAC International; Nadine Connor; [REDACTED] (b) (6); Janet Welter; RICKI COLMAN  
**Subject:** [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison  
**Attachments:** LSVC.5.24.22.A.pdf; LSVC.5.24.22B.pdf; LSVC.5.24.22.C.pdf

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Dr. Morse:

Please see the attached incident final reports from Nadine Connor @ UW-Madison. Thanks!



**McCoy, Devora (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Thursday, September 29, 2022 11:15 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: Incident Report from Nadine Connor @ UW-Madison

Good morning (b) (6)

Thank you for sending us these final reports and we will send official responses soon.

Best,  
Devora

Devora McCoy, BS, MBA  
Program Analyst  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
301-435-2390

**From:** (b) (6)  
**Sent:** Thursday, September 29, 2022 10:41 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)  
(b) (6) Janet Welter <welter@rarc.wisc.edu>; RICKI COLMAN <rcolman@primate.wisc.edu>  
**Subject:** [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final reports from Nadine Connor @ UW-Madison. Thanks!

(b) (6)



### Initial Report of Noncompliance

By: BCM

Date: 8/31/2022

Time: 3:30

Person Reporting: Janet Welter, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Wisconsin (Madison) WNPRC

Assurance number: A3368

Did incident involve PHS funded activity? \_\_\_\_\_

Funding component: \_\_\_\_\_

Was funding component contacted (if necessary): \_\_\_\_\_

What happened: Lab tech exceeded number of blood draws per protocol, but not per IACUC policy. Therefore, protocol noncompliance.

Species involved: NHP

Personnel involved: ?

Dates and times: March 2022

Animal deaths: no

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

Projected submission to OLAW of final report from Institutional Official:

< 60 days

OFFICE USE ONLY

Case # \_\_\_\_\_