



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910

Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817

Telephone: (301) 496-7163

Facsimile: (301) 480-3387

October 7, 2022

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 12H]

Dr. Nadine Connor
Associate Vice Chancellor for Research Policy and Compliance
University of Wisconsin - Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 23, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Wisconsin-Madison, following up on an initial telephone report on August 31, 2022. According to the information provided, OLAW understands that a macaque escaped its cage while a caretaker was moving the animal into a transport device. The escapee injured another caged monkey.

The corrective actions consisted of the injured animal being treated by the veterinary staff and recovering. The caretaker was counseled and retrained in the proper use of the transport device to prevent escapes.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to reduce the likelihood of a recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair
Robert Gibbens, D.V.M., USDA-APHIS-AC



Research Animal Resources and Compliance

A3368-12H

September 23, 2022

Dr. Brent Morse, DVM, DACLAM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7892

Dear Dr. Morse:

The University of Wisconsin-Madison, in accordance with Assurance A3368-01 and PHS Policy IV.F.3, provides this report of an incident first reported to you via telephone by Dr. Janet Welter on August 31, 2022.

In this incident, an adult macaque was able to exit its enclosure when an Animal Care Technician attempted to remove the animal from its enclosure with a standard transport device to reposition its perch. Subsequently, the animal which exited its enclosure was able to contact another adult macaque through the mesh of the second animal's enclosure. The second animal sustained an injury as a result of the interaction that required veterinary intervention and recovered well. The ACT involved in the incident was counseled regarding the proper way to ensure that transport devices are prepared for use and demonstrated to the Training Specialist that they could transport a macaque without incident. The animals involved were supported by PHS funds.

The LSVC ACUC discussed this incident in full committee and determined that the corrective steps taken were appropriate. If you have further questions, please feel free to contact either of us or Dr. Janet Welter.

Sincerely,

(b) (6)

Ricki Colman, Ph.D.
Chair, LSVC ACUC

(b) (6)

Nadine P. Connor, Ph.D.
Associate Vice Chancellor for Research Policy & Compliance
Institutional Official

xc:

(b) (6)

Janet Welter
AAALAC-I

Research Animal Resources and Compliance

396 Enzyme Institute University of Wisconsin-Madison 1710 University Avenue Madison, Wisconsin 53726-4087
608/262-1238 Fax: 608/265-2698 Email: help@rarc.wisc.edu <http://www.rarc.wisc.edu>

McCoy, Devora (NIH/OD) [E]

From: (b) (6)
Sent: Thursday, September 29, 2022 10:41 AM
To: OLAW Division of Compliance Oversight (NIH/OD)
Cc: AAALAC International; Nadine Connor; (b) (6) Janet Welter; RICKI COLMAN
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison
Attachments: LSVC.5.24.22.A.pdf; LSVC.5.24.22B.pdf; LSVC.5.24.22.C.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Dr. Morse:

Please see the attached incident final reports from Nadine Connor @ UW-Madison. Thanks!

(b) (6)

McCoy, Devora (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, September 29, 2022 11:15 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Good morning (b) (6)

Thank you for sending us these final reports and we will send official responses soon.

Best,
Devora

Devora McCoy, BS, MBA
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
301-435-2390

From: (b) (6)
Sent: Thursday, September 29, 2022 10:41 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; (b) (6)
(b) (6) Janet Welter <welter@rarc.wisc.edu>; RICKI COLMAN <rcolman@primate.wisc.edu>
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final reports from Nadine Connor @ UW-Madison. Thanks!

(b) (6)

A3368-12H



Initial Report of Noncompliance

By: BCM

Date: 8/31/2022

Time: 3:30

Person Reporting: Janet Welter, AV

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: Univ. of Wisconsin (Madison) WNPRC

Assurance number: A3368

Did incident involve PHS funded activity? _____

Funding component: _____

Was funding component contacted (if necessary): _____

What happened: Macaque escaped transfer cage and injured another animal in the room.

Species involved: NHP

Personnel involved: ?

Dates and times: 4/30/22

Animal deaths: no

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

< 60 days

OFFICE USE ONLY

Case # _____