



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

October 28, 2022

Re: Animal Welfare Assurance
A3368-01 [OLAW Case 12K]

Dr. Nadine Connor
Associate Vice Chancellor for
Research Policy and Compliance
University of Wisconsin-Madison
(b) (4) Bascom Hall – 500 Lincoln Drive
Madison, WI 53706

Dear Dr. Connor,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 17, 2022 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals within the animal care and use program at the University of Wisconsin – Madison. According to the information provided, OLAW understands that on September 7, 2022, a principal investigator self-reported nine incidents where mice were fasted beyond the approved time period. No mice were reported for any health concerns or found dead secondary to the incident. The associated activity was PHS funded.

Corrective and preventive measures: a veterinarian met with the PI and her lab and conducted a retraining session about adhering to research protocols and reporting adverse events in a timely fashion. Additional corrective actions were determined to not be required because the responsible student left the lab, is no longer employed at the university, and this was an isolated incident.

The consideration of this incident by the University of Wisconsin - Madison was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to address the issue and prevent recurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Brent C. Morse, DVM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

Digitally signed by Brent C.

Morse -S

Date: 2022.10.28 09:15:47 -04'00'

cc: IACUC contact

A3368-120K



WISCONSIN
UNIVERSITY OF WISCONSIN-MADISON

October 17, 2022

Dr. Brent Morse, DVM, DACLAM
Acting Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360
6705 Rockledge Drive, MSC 7982
Bethesda MD 20892-7982

Dear Dr. Morse:

This letter is a follow up to an event that occurred in the UW-Madison College of Agriculture and Life Sciences (CALS) and was reported to OLAW on September 22, 2022 by UW-Madison (b) (6). The protocol has NIH funding.

On September 7, 2022, a principal investigator in CALS self-reported nine incidents where mice were fasted beyond the approved time period. This information came to light when a student left the PI's lab at time of graduation and turned in her lab notebook upon request of the PI. No mice were reported for any health concerns or found dead secondary to the incident.

The PI was proactive in reporting the notebook and its contents, and has been cooperative since reporting the notebook. Additionally, an RARC veterinarian met with the PI and her lab and conducted a retraining session about adhering to research protocol and reporting adverse events in a timely fashion. The CALS ACUC discussed the event at their September 15, 2022 meeting and decided that additional corrective actions were not required because the student in question left the lab, is currently employed outside of the UW-Madison, and this was an isolated incident.

If you have any questions, please contact Chief Campus Veterinarian, Dr. Janet Welter (welter@rarc.wisc.edu) or either of us.

Sincerely,

(b) (6)

David Drake, PhD
CALS ACUC Chair

(b) (6)

Nadine Connor, PhD
Institutional Official

Research Animal Resources and Compliance

University of Wisconsin-Madison 396 Enzyme Institute 1710 University Avenue Madison, Wisconsin 53726-4087
608/262-1238 Fax: 608/265-2698 E-mail: help@rarc.wisc.edu www.rarc.wisc.edu

McCoy, Devora (NIH/OD) [E]

From: (b) (6)
Sent: Wednesday, October 19, 2022 3:11 PM
To: OLAW Division of Compliance Oversight (NIH/OD)
Cc: AAALAC International; Nadine Connor; David Drake; (b) (6) Janet Welter
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison
Attachments: OLAW letter CALS 10-17-22.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)

McCoy, Devora (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, October 20, 2022 8:29 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report from Nadine Connor @ UW-Madison

Good morning (b) (6)

Thank you for sending us this final report. Can you please confirm your institution's assurance number? I didn't see it referenced in the report.

Thanks,
Devora

Devora McCoy, BS, MBA
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
301-435-2390

From: (b) (6)
Sent: Wednesday, October 19, 2022 3:11 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: AAALAC International <accredit@AAALAC.org>; Nadine Connor <nadine.connor@wisc.edu>; David Drake <d Drake2@wisc.edu>; (b) (6) Janet Welter <welter@rarc.wisc.edu>
Subject: [EXTERNAL] Incident Report from Nadine Connor @ UW-Madison

Dr. Morse:

Please see the attached incident final report from Nadine Connor @ UW-Madison. Thanks!

(b) (6)

