

**From:** (b) (6) (b) (7)(C)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** RE: Animal Care inspection report  
**Date:** Thursday, March 8, 2018 3:02:07 PM

---

Hi Dr. Rosendale,

I received the amended report. Thank you for your time,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)  
IACUC Administrator  
(530) 752-2130  
(b) (6), (b) (7)(C) [@ucdavis.edu](#)

<http://safetyservices.ucdavis.edu/article/institutional-animal-care-and-use-committee-iacuc>

**From:** Rosendale, Marcy E - APHIS [mailto:[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)]  
**Sent:** Thursday, March 08, 2018 11:58 AM  
**To:** (b) (6), (b) (7)(C) [@ucdavis.edu](#)>  
**Subject:** Animal Care inspection report

This amended inspection report, dated 03/8/18 by the signature block, replaces the previous inspection report dated 02/15/18 by the signature block. The previous inspection report is no longer valid. Please respond to me by email when you have successfully received the report.

.

Thank you,

**Marcy E. Rosendale, DVM**  
**Veterinary Medical Officer, California**  
**USDA, APHIS, Animal Care**  
**Cell phone:** (b) (6), (b) (7)(C)

This electronic message contains information generated by the USDA solely for the intended recipients. Any unauthorized interception of this message or the use or disclosure of the information it contains may violate the law and subject the violator to civil or criminal penalties. If you believe you have received this message in error, please notify the sender and delete the email immediately.

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [\(b\) \(6\), \(b\) \(7\)\(C\) @ucdavis.edu](#)  
**Subject:** Animal Care inspection report  
**Date:** Thursday, March 8, 2018 2:57:00 PM  
**Attachments:** [UCDavis02072018amendSigned.pdf](#) **Attachment on the next page**

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Thank you,

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**Veterinary Medical Officer, California**  
**USDA, APHIS, Animal Care**  
**Cell phone:** [\(b\) \(6\), \(b\) \(7\)\(C\)](#)



## Inspection Report

University Of California- Davis  
One Shields Ave  
Davis, CA 95616

Customer ID: **9192**

Certificate: **93-R-0433**

Site: 001

UNIVERSITY OF CALIFORNIA, DAVIS

Type: ROUTINE INSPECTION

Date: 07-FEB-2018

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### 2.38(f)(1) CRITICAL REPEAT

#### MISCELLANEOUS.

On July 27, 2017 an anesthetized rabbit on a study protocol was to be injected with a contrast medium. Following injection the rabbit immediately died. The technician realized that the stop-cock valve used for the procedure had been turned in the wrong direction, the contrast medium had drained from the extension tubing, and air had been injected instead. The technician explained that the stop-cocks that had been used historically had a valve that turned in one direction and the new stop-cocks recently purchased have a valve that turns in the opposite direction. The technician was aware of this, but stated that she turned the valve out of "muscle memory." The facility discarded the remaining stop-cocks that function in this manner.

On August 11, 2017 an employee attempted to socialize two dogs against the recommendation of veterinary staff. One dog had a history of dog related aggression and had been removed from the facility socialization list due to the risk of dog and/or staff injury. The dogs fought, both dogs received lacerations, and one dog required sutures. Both dogs recovered and one of the two dogs has been adopted as a pet. Corrective action was taken by the facility.

Animals should be handled carefully, in such a manner that does not cause them trauma, physical harm, or unnecessary discomfort. The facility should ensure that corrective actions for handling issues will prevent similar problems from occurring in the future.

The inspection was focused on handling incidents that were self-reported to APHIS officials.  
This inspection and exit interview were conducted with facility representatives.

#### Additional Inspectors

Smith Pamela, Veterinary Medical Officer

---

#### Prepared By:

ROSENDALE MARCY, D V M USDA, APHIS, Animal Care

Date:  
08-MAR-2018

Title: VETERINARY MEDICAL OFFICER 5039

#### Received By:

FACILITY REPRESENTATIVE

Title: DELIVERED BY EMAIL

Date:  
08-MAR-2018

Obtained by Rise for Animals.  
Uploaded to Animal Research Laboratory Overview (ARLO) on 11/07/2023

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Smith, Pamela L - APHIS](#)  
**Subject:** RE: FW:  
**Date:** Thursday, March 8, 2018 12:46:00 PM

---

Sounds good. Kathy wants a list I know, but I haven't had the energy to put into thinking about it...

And the really good thing about my GOV is that even though the repair was 400, because it was a safety issue I didn't have to get three estimates!!!

**From:** Smith, Pamela L - APHIS  
**Sent:** Thursday, March 8, 2018 9:28 AM  
**To:** Rosendale, Marcy E - APHIS <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
**Subject:** RE: FW:

Yes, we need to get a list together for the mini-meeting. Maybe we can brainstorm together on the phone soon.

Glad your GOV is better... that's something, anyway!

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**Sent:** Thursday, March 8, 2018 8:36 AM  
**To:** Smith, Pamela L - APHIS <[Pamela.L.Smith@aphis.usda.gov](mailto:Pamela.L.Smith@aphis.usda.gov)>  
**Subject:** RE: FW:

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(b) (5). I guess that would be  
an agenda item for the mini meeting....

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**Subject:** Re: FW:

Still wondering why the (b) (5)

Sent from my iPhone

On Mar 8, 2018, at 8:16 AM, Rosendale, Marcy E - APHIS  
<[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)> wrote:

Whew!

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**To:** Rosendale, Marcy E - APHIS <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
**Cc:** Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
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Phone: 970-494-7478  
Fax: 970-472-9558

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**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** RE: FW:  
**Date:** Thursday, March 8, 2018 12:27:38 PM

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**Subject:** RE: FW:  
**Date:** Thursday, March 8, 2018 11:36:00 AM

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**Attachments:** [image001.png](#) 

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[<image001.png>](#) [Join the Animal Care Stakeholder Registry and receive emails on topics of interest](#)

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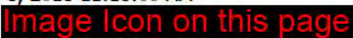
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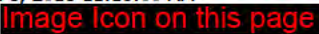
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Phone: 970-494-7478  
Fax: 970-472-9558



[Join the Animal Care Stakeholder Registry and receive emails on topics of interest](#)

---

**From:** Rosendale, Marcy E - APHIS  
**Sent:** Wednesday, March 7, 2018 6:15 PM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Subject:** FW:

Bob, I was getting ready to amend this report and after reading your email below (b) (5) ;  
(b) (5)  
(b)(5)  
(b) (5)  
Thanks

---

**From:** Rosendale, Marcy E - APHIS  
**Sent:** Thursday, February 22, 2018 8:18 AM  
**To:** Smith, Pamela L - APHIS <[Pamela.L.Smith@aphis.usda.gov](mailto:Pamela.L.Smith@aphis.usda.gov)>  
**Subject:** Fwd: FW:

My head is going to explode.

Sent from my iPhone

Begin forwarded message:


**From:** "Garland, Kathleen M - APHIS" <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Date:** February 22, 2018 at 8:16:17 AM PST  
**To:** "Rosendale, Marcy E - APHIS" <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
**Subject:** FW:

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 5:25 AM  
To: Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
Subject:

Kath, we need to (b)(5) from the UC Davis inspection report. (b)(5)  
(b)(5)  
from the  
inspection report. I believe it took place in (b)(5)  
Thanks.

Sent from my iPhone

**From:** [Gibbens, Robert - APHIS](#)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, March 8, 2018 7:44:41 AM  
**Attachments:** [image001.png](#) 

---

Assuming the NHP incident was the most recent one, it is the only one (b)(5)

(b)(5) Thanks.....Bob

Robert M. Gibbens, DVM  
Director, Animal Welfare Operations  
USDA, APHIS, Animal Care  
2150 Centre Avenue, Bldg. B  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
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**Sent:** Wednesday, March 7, 2018 6:15 PM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Subject:** FW:

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(b) (5)

(b)(5)

(b) (5)

Thanks

---

**From:** Rosendale, Marcy E - APHIS  
**Sent:** Thursday, February 22, 2018 8:18 AM  
**To:** Smith, Pamela L - APHIS <[Pamela.L.Smith@aphis.usda.gov](mailto:Pamela.L.Smith@aphis.usda.gov)>  
**Subject:** Fwd: FW:

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Sent from my iPhone

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**From:** "Garland, Kathleen M - APHIS" <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Date:** February 22, 2018 at 8:16:17 AM PST  
**To:** "Rosendale, Marcy E - APHIS" <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
**Subject:** FW:

-----Original Message-----

From: Gibbens, Robert - APHIS

Sent: Thursday, February 22, 2018 5:25 AM

To: Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>

Subject:

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(b)(5)

(b)(5)

(b)(5)

from the

inspection report. I believe it took place in (b)(5).

Thanks.

Sent from my iPhone



**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** FW:  
**Date:** Wednesday, March 7, 2018 8:14:00 PM

---

Bob, I was getting ready to amend this report and after reading your email below (b) (5)

(b) (5)

(b)(5)

(b) (5)

Thanks

---

**From:** Rosendale, Marcy E - APHIS  
**Sent:** Thursday, February 22, 2018 8:18 AM  
**To:** Smith, Pamela L - APHIS <Pamela.L.Smith@aphis.usda.gov>  
**Subject:** Fwd: FW:

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Sent from my iPhone

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**From:** "Garland, Kathleen M - APHIS" <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Date:** February 22, 2018 at 8:16:17 AM PST  
**To:** "Rosendale, Marcy E - APHIS" <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
**Subject:** FW:

-----Original Message-----

**From:** Gibbens, Robert - APHIS  
**Sent:** Thursday, February 22, 2018 5:25 AM  
**To:** Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Subject:**

Kath, we need to (b)(5) from the UC Davis inspection report. (b) (5)

(b) (5)

(b) (5)

(b) (5)

from the inspection report. I believe it took place in (b) (5)

Thanks.

Sent from my iPhone



**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE:  
**Date:** Wednesday, March 7, 2018 6:25:00 PM

---

One incident happened in July of last year, the second in August, and the third (NHP) in December.

-----Original Message-----

From: Garland, Kathleen M - APHIS  
Sent: Thursday, February 22, 2018 8:16 AM  
To: Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
Subject: FW:

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 5:25 AM  
To: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject:

Kath, we need to (b)(5) from the UC Davis inspection report. (b)(5)  
(b)(5)  
(b)(5) from the inspection  
report. I believe it took place in (b)(5) Thanks.

Sent from my iPhone

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Smith, Pamela L - APHIS](#)  
**Subject:** FW:  
**Date:** Wednesday, March 7, 2018 6:22:00 PM

---

I was just getting ready to do this and re-read the email: I guess (b) (5)  
I just emailed Kathy to try to clarify. Now (b) (5)

-----Original Message-----

From: Garland, Kathleen M - APHIS  
Sent: Thursday, February 22, 2018 8:16 AM  
To: Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
Subject: FW:

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 5:25 AM  
To: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject:

Kath, we need to (b) (5) from the UC Davis inspection report. (b) (5)  
(b) (5)  
(b) (5) from the inspection  
report. I believe it took place in (b) (5) Thanks.

Sent from my iPhone

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE: RE: RE:  
**Date:** Wednesday, March 7, 2018 6:12:00 PM

---

I was getting ready to do this and after reading it (b) (5)

(b) (5)

-----Original Message-----

From: Garland, Kathleen M - APHIS  
Sent: Thursday, February 22, 2018 2:43 PM  
To: Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
Subject: FW: RE: RE:

-----Original Message-----

From: AC West  
Sent: Thursday, February 22, 2018 2:39 PM  
To: Gibbens, Robert - APHIS <Robert.M.Gibbens@aphis.usda.gov>  
Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject: RE: RE: RE:

Hey-

The inspection has been rescinded and the new IR number is 2016082569060792. Let me know if you need anything else.

Sincerely,

Program Support  
USDA APHIS Animal Care

Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526  
Phone: 970-494-7478 Email: ACWest@aphis.usda.gov

Raleigh: 920 Main Campus Drive, Suite 200, Raleigh, NC 27606  
Phone: 919-855-7100 Email: ACEast@aphis.usda.gov

Join the Animal Care Stakeholder Registry and receive emails on topics of interest

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 2:22 PM  
To: AC West <acwest@aphis.usda.gov>  
Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject: Re: RE: RE:

Yes - pls rescind.

Sent from my iPhone

> On Feb 22, 2018, at 4:14 PM, AC West <acwest@aphis.usda.gov> wrote:

>

> Hey-

>

> So to clarify- you would like it rescinded?

>

> Sincerely,

>

> Program Support

> USDA APHIS Animal Care

>

> Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526

> Phone: 970-494-7478 Email: ACWest@aphis.usda.gov

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> Phone: 919-855-7100 Email: ACEast@aphis.usda.gov

>

> Join the Animal Care Stakeholder Registry and receive emails on topics of interest

>

>

>

> -----Original Message-----

> From: Gibbens, Robert - APHIS

> Sent: Thursday, February 22, 2018 9:19 AM

> To: AC West <acwest@aphis.usda.gov>

> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

> Subject: Re: RE:

>

> Yes, thanks.

>

> Sent from my iPhone

>

>> On Feb 22, 2018, at 9:16 AM, AC West <acwest@aphis.usda.gov> wrote:

>>

>> No problem. I held it from the website so it won't be released to the public. Do you need the report rescinded or put back in draft for the changes to be made?

>>

>>

>>

>>

>> Sincerely,

>>

>> Program Support

>> USDA APHIS Animal Care

>>

>> Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526

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>>

>>

>>

>> -----Original Message-----

>> From: Gibbens, Robert - APHIS

>> Sent: Thursday, February 22, 2018 6:44 AM

>> To: AC West <acwest@aphis.usda.gov>

>> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

>> Subject:

>>

>> We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

>>

>> Sent from my iPhone

**From:** [Garland, Kathleen M - APHIS](#)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** FW: RE: RE:  
**Date:** Thursday, February 22, 2018 5:42:52 PM

---

-----Original Message-----

From: AC West  
Sent: Thursday, February 22, 2018 2:39 PM  
To: Gibbens, Robert - APHIS <Robert.M.Gibbens@aphis.usda.gov>  
Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject: RE: RE: RE:

Hey-

The inspection has been rescinded and the new IR number is 2016082569060792. Let me know if you need anything else.

Sincerely,

Program Support  
USDA APHIS Animal Care

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-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 2:22 PM  
To: AC West <acwest@aphis.usda.gov>  
Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject: Re: RE: RE:

Yes - pls rescind.

Sent from my iPhone

> On Feb 22, 2018, at 4:14 PM, AC West <acwest@aphis.usda.gov> wrote:  
>  
> Hey-  
>  
> So to clarify- you would like it rescinded?  
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> Sincerely,  
>  
> Program Support



> USDA APHIS Animal Care  
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> Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526  
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> From: Gibbens, Robert - APHIS  
> Sent: Thursday, February 22, 2018 9:19 AM  
> To: AC West <acwest@aphis.usda.gov>  
> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
> Subject: Re: RE:  
>  
> Yes, thanks.  
>  
> Sent from my iPhone  
>  
>> On Feb 22, 2018, at 9:16 AM, AC West <acwest@aphis.usda.gov> wrote:  
>>  
>> No problem. I held it from the website so it won't be released to the public. Do you need the report rescinded or put back in draft for the changes to be made?  
>>  
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>> Sincerely,  
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>> Program Support  
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>>  
>> Join the Animal Care Stakeholder Registry and receive emails on topics of interest  
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>>  
>>  
>> -----Original Message-----  
>> From: Gibbens, Robert - APHIS  
>> Sent: Thursday, February 22, 2018 6:44 AM  
>> To: AC West <acwest@aphis.usda.gov>  
>> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
>> Subject:  
>>  
>> We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks  
>>  
>> Sent from my iPhone

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, February 22, 2018 12:24:00 PM

---

I have my S&H "emergency" assignment to deal with and am off tomorrow so will have to deal with the report next week.

-----Original Message-----

From: Garland, Kathleen M - APHIS  
Sent: Thursday, February 22, 2018 9:15 AM  
To: Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
Subject: RE:

Thanks- think they already rescinded it

-----Original Message-----

From: Rosendale, Marcy E - APHIS  
Sent: Thursday, February 22, 2018 9:14 AM  
To: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject: RE:

yes

-----Original Message-----

From: Garland, Kathleen M - APHIS  
Sent: Thursday, February 22, 2018 8:30 AM  
To: Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
Subject: FW:

? was it already given to Davis?

-----Original Message-----

From: AC West  
Sent: Thursday, February 22, 2018 8:17 AM  
To: Gibbens, Robert - APHIS <Robert.M.Gibbens@aphis.usda.gov>  
Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject: RE:

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To: AC West <acwest@aphis.usda.gov>

Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

Subject:

We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

Sent from my iPhone

**From:** [Garland, Kathleen M - APHIS](#)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, February 22, 2018 12:15:13 PM

---

Thanks- think they already rescinded it

-----Original Message-----

From: Rosendale, Marcy E - APHIS  
Sent: Thursday, February 22, 2018 9:14 AM  
To: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject: RE:

yes

-----Original Message-----

From: Garland, Kathleen M - APHIS  
Sent: Thursday, February 22, 2018 8:30 AM  
To: Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
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Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526  
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From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 6:44 AM  
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Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

Subject:

We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

Sent from my iPhone

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, February 22, 2018 12:13:00 PM

---

yes

-----Original Message-----

From: Garland, Kathleen M - APHIS  
Sent: Thursday, February 22, 2018 8:30 AM  
To: Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
Subject: FW:

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Sent: Thursday, February 22, 2018 6:44 AM  
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Subject:

We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

Sent from my iPhone



**From:** [Smith, Pamela L - APHIS](#)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** Re: Fwd: FW:  
**Date:** Thursday, February 22, 2018 11:39:26 AM

---

Speechless here.

Sent from my iPhone

On Feb 22, 2018, at 8:18 AM, Rosendale, Marcy E - APHIS  
<[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)> wrote:

My head is going to explode.

Sent from my iPhone

Begin forwarded message:

**From:** "Garland, Kathleen M - APHIS"  
<[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Date:** February 22, 2018 at 8:16:17 AM PST  
**To:** "Rosendale, Marcy E - APHIS"  
<[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
**Subject:** FW:

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 5:25 AM  
To: Garland, Kathleen M - APHIS  
<[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
Subject:

Kath, we need to (b)(5) from the UC Davis inspection  
report. (b)(5)  
(b)(5)  
(b)(5)  
(b)(5)

I believe it took place in (b)(5). Thanks.

Sent from my iPhone

**From:** [Garland, Kathleen M - APHIS](#)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** FW:  
**Date:** Thursday, February 22, 2018 11:30:07 AM

---

? was it already given to Davis?

-----Original Message-----

From: AC West  
Sent: Thursday, February 22, 2018 8:17 AM  
To: Gibbens, Robert - APHIS <Robert.M.Gibbens@aphis.usda.gov>  
Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject: RE:

No problem. I held it from the website so it won't be released to the public. Do you need the report rescinded or put back in draft for the changes to be made?

Sincerely,

Program Support  
USDA APHIS Animal Care

Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526  
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-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 6:44 AM  
To: AC West <acwest@aphis.usda.gov>  
Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject:

We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

Sent from my iPhone

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Smith, Pamela L - APHIS](#)  
**Subject:** Fwd: FW:  
**Date:** Thursday, February 22, 2018 11:18:08 AM

---

My head is going to explode.

Sent from my iPhone

Begin forwarded message:

**From:** "Garland, Kathleen M - APHIS" <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Date:** February 22, 2018 at 8:16:17 AM PST  
**To:** "Rosendale, Marcy E - APHIS" <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
**Subject:** FW:

-----Original Message-----

**From:** Gibbens, Robert - APHIS  
**Sent:** Thursday, February 22, 2018 5:25 AM  
**To:** Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Subject:**

Kath, we need to (b) (5) from the UC Davis inspection report. (b) (5)  
(b) (5)  
(b) (5)  
(b) (5) from the  
inspection report. I believe it took place in (b) (5)  
Thanks.

Sent from my iPhone

**From:** [Garland, Kathleen M - APHIS](#)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** FW:  
**Date:** Thursday, February 22, 2018 11:16:18 AM

---

-----Original Message-----

**From:** Gibbens, Robert - APHIS  
**Sent:** Thursday, February 22, 2018 5:25 AM  
**To:** Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
**Subject:**

Kath, we need to (b) (5) from the UC Davis inspection report. (b) (5)  
(b) (5)  
(b) (5) from the inspection  
report. I believe it took place in (b) (5). Thanks.

Sent from my iPhone

**From:** (b) (6), (b) (7)(C)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** RE: Animal Care inspection report  
**Date:** Thursday, February 15, 2018 6:33:28 PM

---

Hi Marcy,

I have received the report.

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)  
IACUC Administrator  
(530) 752-2130  
(b) (6), (b) (7)(C) [@ucdavis.edu](#)

<http://safetyservices.ucdavis.edu/article/institutional-animal-care-and-use-committee-iacuc>

**From:** Rosendale, Marcy E - APHIS [mailto:[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)]  
**Sent:** Thursday, February 15, 2018 2:56 PM  
**To:** (b) (6), (b) (7)(C) [@ucdavis.edu](#)>  
**Subject:** Animal Care inspection report

Attached is your inspection report of February 7, 2018. Please respond to me by email when you have successfully received the report.

Thank you,

**Marcy E. Rosendale, DVM**  
**Veterinary Medical Officer, California**  
**USDA, APHIS, Animal Care**  
**Cell phone:** (b) (6), (b) (7)(C)

This electronic message contains information generated by the USDA solely for the intended recipients. Any unauthorized interception of this message or the use or disclosure of the information it contains may violate the law and subject the violator to civil or criminal penalties. If you believe you have received this message in error, please notify the sender and delete the email immediately.

**From:** (b) (6), (b) (7)(C)  
**To:** [Rosendale, Marcy E - APHIS](#)  
**Subject:** repeat question  
**Date:** Wednesday, February 14, 2018 9:07:35 PM

---

Hi Marcy,

I had one last question relating to the notation that this was a repeat. Since all of our inspection reports last year had no NCI's how far back do you go to determine repeats? I just wanted to confirm hopefully before the report is finalized. I thought I had read 12 months or a reference to the previous inspection report but was not sure.

Thanks for your help,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)  
IACUC Administrator  
(530) 752-2130  
(b) (6), (b) (7)(C) [@ucdavis.edu](mailto:(b) (6), (b) (7)(C)@ucdavis.edu)

<http://safetyservices.ucdavis.edu/article/institutional-animal-care-and-use-committee-iacuc>

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [AC West](#)  
**Subject:** FW: Animal Care inspection report  
**Date:** Thursday, March 8, 2018 6:20:23 PM  
**Attachments:** [UCDavis02072018amendSigned.pdf](#)

This attachment is on page 3 of this packet

---

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Thursday, March 8, 2018 12:02 PM  
**To:** Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
**Subject:** RE: Animal Care inspection report

Hi Dr. Rosendale,

I received the amended report. Thank you for your time,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)  
IACUC Administrator  
(530) 752-2130  
(b) (6), (b) (7)(C) @ucdavis.edu

<http://safetyservices.ucdavis.edu/article/institutional-animal-care-and-use-committee-iacuc>

**From:** Rosendale, Marcy E - APHIS [<mailto:Marcy.E.Rosendale@aphis.usda.gov>]  
**Sent:** Thursday, March 08, 2018 11:58 AM  
**To:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Subject:** Animal Care inspection report

This amended inspection report, dated 03/8/18 by the signature block, replaces the previous inspection report dated 02/15/18 by the signature block. The previous inspection report is no longer valid. Please respond to me by email when you have successfully received the report.

Thank you,

**Marcy E. Rosendale, DVM**  
**Veterinary Medical Officer, California**  
**USDA, APHIS, Animal Care**  
**Cell phone:** (b) (6), (b) (7)(C)



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## Inspection Report

University Of California- Davis  
One Shields Ave  
Davis, CA 95616

Customer ID: **9192**

Certificate: **93-R-0433**

Site: 001

UNIVERSITY OF CALIFORNIA, DAVIS

Type: ROUTINE INSPECTION

Date: 07-FEB-2018

---

**2.38(f)(1) CRITICAL REPEAT**

**MISCELLANEOUS.**

On July 27, 2017 an anesthetized rabbit on a study protocol was to be injected with a contrast medium. Following injection the rabbit immediately died. The technician realized that the stop-cock valve used for the procedure had been turned in the wrong direction, the contrast medium had drained from the extension tubing, and air had been injected instead. The technician explained that the stop-cocks that had been used historically had a valve that turned in one direction and the new stop-cocks recently purchased have a valve that turns in the opposite direction. The technician was aware of this, but stated that she turned the valve out of "muscle memory." The facility discarded the remaining stop-cocks that function in this manner.

On August 11, 2017 an employee attempted to socialize two dogs against the recommendation of veterinary staff. One dog had a history of dog related aggression and had been removed from the facility socialization list due to the risk of dog and/or staff injury. The dogs fought, both dogs received lacerations, and one dog required sutures. Both dogs recovered and one of the two dogs has been adopted as a pet. Corrective action was taken by the facility.

Animals should be handled carefully, in such a manner that does not cause them trauma, physical harm, or unnecessary discomfort. The facility should ensure that corrective actions for handling issues will prevent similar problems from occurring in the future.

The inspection was focused on handling incidents that were self-reported to APHIS officials.  
This inspection and exit interview were conducted with facility representatives.

**Additional Inspectors**

Smith Pamela, Veterinary Medical Officer

---

**Prepared By:**

ROSENDALE MARCY, D V M USDA, APHIS, Animal Care

**Date:**  
08-MAR-2018

**Title:** VETERINARY MEDICAL OFFICER 5039

**Received By:**

FACILITY REPRESENTATIVE

**Title:** DELIVERED BY EMAIL

**Date:**  
08-MAR-2018

Obtained by Rise for Animals.  
Uploaded to Animal Research Laboratory Overview (ARLO) on 11/07/2023

**From:** [AC West](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE: RE: RE:  
**Date:** Thursday, February 22, 2018 5:38:00 PM

---

Hey-

The inspection has been rescinded and the new IR number is 2016082569060792. Let me know if you need anything else.

Sincerely,

Program Support  
USDA APHIS Animal Care

Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526  
Phone: 970-494-7478 Email: [ACWest@aphis.usda.gov](mailto:ACWest@aphis.usda.gov)

Raleigh: 920 Main Campus Drive, Suite 200, Raleigh, NC 27606  
Phone: 919-855-7100 Email: [ACEast@aphis.usda.gov](mailto:ACEast@aphis.usda.gov)

Join the Animal Care Stakeholder Registry and receive emails on topics of interest

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 2:22 PM  
To: AC West <[acwest@aphis.usda.gov](mailto:acwest@aphis.usda.gov)>  
Cc: Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
Subject: Re: RE: RE:

Yes - pls rescind.

Sent from my iPhone

> On Feb 22, 2018, at 4:14 PM, AC West <[acwest@aphis.usda.gov](mailto:acwest@aphis.usda.gov)> wrote:

>

> Hey-

>

> So to clarify- you would like it rescinded?

>

> Sincerely,

>

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> USDA APHIS Animal Care

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> Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526

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> -----Original Message-----

> From: Gibbens, Robert - APHIS

> Sent: Thursday, February 22, 2018 9:19 AM

> To: AC West <acwest@aphis.usda.gov>

> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

> Subject: Re: RE:

>

> Yes, thanks.

>

> Sent from my iPhone

>

>> On Feb 22, 2018, at 9:16 AM, AC West <acwest@aphis.usda.gov> wrote:

>>

>> No problem. I held it from the website so it won't be released to the public. Do you need the report rescinded or put back in draft for the changes to be made?

>>

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>> -----Original Message-----

>> From: Gibbens, Robert - APHIS

>> Sent: Thursday, February 22, 2018 6:44 AM

>> To: AC West <acwest@aphis.usda.gov>

>> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

>> Subject:

>>

>> We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

>>

>> Sent from my iPhone

**From:** [AC West](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE: RE: RE:  
**Date:** Thursday, February 22, 2018 5:38:00 PM

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**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE: RE:  
**Date:** Thursday, February 22, 2018 4:14:00 PM

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Subject:

We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

Sent from my iPhone

**From:** [Gibbens, Robert - APHIS](#)  
**To:** [AC West](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Date:** Thursday, February 22, 2018 8:44:15 AM

---

We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

Sent from my iPhone



**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [AC West](#)  
**Subject:** FW: Animal Care inspection report  
**Date:** Friday, February 16, 2018 1:47:49 PM  
**Attachments:** [UCD02072018Research Facility Protocol Selection Worksheet.pdf](#)

---

This attachment is on page 15 of this packet

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Thursday, February 15, 2018 3:33 PM  
**To:** Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
**Subject:** RE: Animal Care inspection report

Hi Marcy,

I have received the report.

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)  
IACUC Administrator  
(530) 752-2130  
(b) (6), (b) (7)(C) @ucdavis.edu

<http://safetyservices.ucdavis.edu/article/institutional-animal-care-and-use-committee-iacuc>

**From:** Rosendale, Marcy E - APHIS [<mailto:Marcy.E.Rosendale@aphis.usda.gov>]  
**Sent:** Thursday, February 15, 2018 2:56 PM  
**To:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Subject:** Animal Care inspection report

Attached is your inspection report of February 7, 2018. Please respond to me by email when you have successfully received the report.

Thank you,

**Marcy E. Rosendale, DVM**  
**Veterinary Medical Officer, California**  
**USDA, APHIS, Animal Care**  
**Cell phone:** (b) (6), (b) (7)(C)

This electronic message contains information generated by the USDA solely for the intended

# Research Facility Protocol Selection Worksheet<sup>\*</sup>

Legal Name : University Of California- Davis

Customer Number: 9192

Certificate Number: 93-R-0433

Inspection Date: 07-FEB-2018

Site Number: 001

Inspector: M. Rosendale

Reasons Protocols Were Selected for Review :	How Many Protocols Were Selected
1. Protocols identified during inspection of concern (select all)	0
2. Column E protocols ( select all)	0
3. Protocols with IACUC-approved exemptions/exceptions (select all)	0
4. Protocols cited as noncompliant and not corrected during the last inspection (select all)	0
5. Additional Protocols Selected: a. If <5 remaining protocols, select all remaining:  b. If >5 remaining protocols, select 5 additional protocols: 1) Protocol for each regulated species and/or, 2) Protocols involving high risk procedures (see Chapter 7, Animal Welfare Inspection Guide for guidance):	0
<b>Total Protocols Selected and Reviewed</b>	0

\*Note: Protocol selection guidance applies to protocols which have been initially approved, or have had significant changes approved, since the last inspection. For protocols reviewed by an Animal Care Veterinary Medical Officer within the last year, professional judgment should be used in determining whether another review is necessary.

recipients. Any unauthorized interception of this message or the use or disclosure of the information it contains may violate the law and subject the violator to civil or criminal penalties. If you believe you have received this message in error, please notify the sender and delete the email immediately.

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [AC West](#)  
**Subject:** FW: Animal Care inspection report  
**Date:** Friday, February 16, 2018 1:44:47 PM  
**Attachments:** [UCDavis02072018signed.pdf](#) This attachment is on pages 19 through 21 of this packet

---

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Thursday, February 15, 2018 3:33 PM  
**To:** Rosendale, Marcy E - APHIS <Marcy.E.Rosendale@aphis.usda.gov>  
**Subject:** RE: Animal Care inspection report

Hi Marcy,

I have received the report.

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)  
IACUC Administrator  
(530) 752-2130  
(b) (6), (b) (7)(C) @ucdavis.edu

<http://safetyservices.ucdavis.edu/article/institutional-animal-care-and-use-committee-iacuc>

**From:** Rosendale, Marcy E - APHIS [<mailto:Marcy.E.Rosendale@aphis.usda.gov>]  
**Sent:** Thursday, February 15, 2018 2:56 PM  
**To:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Subject:** Animal Care inspection report

Attached is your inspection report of February 7, 2018. Please respond to me by email when you have successfully received the report.

Thank you,

**Marcy E. Rosendale, DVM**  
**Veterinary Medical Officer, California**  
**USDA, APHIS, Animal Care**  
**Cell phone:** (b) (6), (b) (7)(C)

This electronic message contains information generated by the USDA solely for the intended

recipients. Any unauthorized interception of this message or the use or disclosure of the information it contains may violate the law and subject the violator to civil or criminal penalties. If you believe you have received this message in error, please notify the sender and delete the email immediately.



## Inspection Report

University Of California- Davis  
One Shields Ave  
Davis, CA 95616

Customer ID: **9192**

Certificate: **93-R-0433**

Site: 001

UNIVERSITY OF CALIFORNIA, DAVIS

Type: ROUTINE INSPECTION

Date: 07-FEB-2018

---

**2.38(f)(1) CRITICAL REPEAT**

**MISCELLANEOUS.**

On July 27, 2017 an anesthetized rabbit on a study protocol was to be injected with a contrast medium. Following injection the rabbit immediately died. The technician realized that the stop-cock valve used for the procedure had been turned in the wrong direction, the contrast medium had drained from the extension tubing, and air had been injected instead. The technician explained that the stop-cocks that had been used historically had a valve that turned in one direction and the new stop-cocks recently purchased have a valve that turns in the opposite direction. The technician was aware of this, but stated that she turned the valve out of "muscle memory." The facility discarded the remaining stop-cocks that function in this manner.

On August 11, 2017 an employee attempted to socialize two dogs against the recommendation of veterinary staff. One dog had a history of dog related aggression and had been removed from the facility socialization list due to the risk of dog and/or staff injury. The dogs fought, both dogs received lacerations, and one dog required sutures. Both dogs recovered and one of the two dogs has been adopted as a pet. Corrective action was taken by the facility.

On December 26, 2017 a three year old female macaque was inadvertently placed in the wrong field enclosure and was subsequently injured by other macaques. A technician mistakenly discharged the wrong animal from the facility veterinary hospital and released her into the intended animal's enclosure which housed a different social group. The animal in question had been hospitalized for injuries obtained on 12/19 and was to be assessed for discharge on 12/27. According to the technician the animal was in the wrong enclosure for approximately one hour before he noticed that she was cornered and injured. Medical records noted multiple wounds to one leg, several digits, tail, and face. As a result of the injuries several digits were amputated. The animal has subsequently recovered but will not be returned to her social group. The technician was retrained and corrective action was taken by the facility.

---

**Prepared By:**

ROSENDALE MARCY, D V M USDA, APHIS, Animal Care

**Date:**

15-FEB-2018

**Title:** VETERINARY MEDICAL OFFICER 5039

**Received By:**

FACILITY REPRESENTATIVE

**Date:**

15-FEB-2018

**Title:** DELIVERED BY EMAIL

Obtained by Rise for Animals.  
Uploaded to Animal Research Laboratory Overview (ARLO) on 11/07/2023





## Inspection Report

---

Animals should be handled carefully, in such a manner that does not cause them trauma, physical harm, or unnecessary discomfort. The facility should ensure that corrective actions for handling issues will prevent similar problems from occurring in the future.

The inspection was focused on handling incidents that were self-reported to APHIS officials.  
This inspection and exit interview were conducted with facility representatives.

### Additional Inspectors

Smith Pamela, Veterinary Medical Officer

---

### Prepared By:

ROSENDALE MARCY, D V M      USDA, APHIS, Animal Care

**Date:**  
15-FEB-2018

**Title:** VETERINARY MEDICAL OFFICER 5039

### Received By:

FACILITY REPRESENTATIVE

**Date:**  
15-FEB-2018

**Title:** DELIVERED BY EMAIL

Obtained by Rise for Animals.  
Uploaded to Animal Research Laboratory Overview (ARLO) on 11/07/2023



Cust No	Cert No	Site	Site Name	Inspection
9192	93-R-0433	001	UNIVERSITY OF CALIFORNIA- DAVIS	07-FEB-18

Count	Species
000016	DOMESTIC RABBIT / EUROPEAN RABBIT
000210	CAT ADULT
<b>000226</b>	<b>Total</b>

**From:** [Garland, Kathleen M - APHIS](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, February 22, 2018 11:16:00 AM

---

I let her know

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 5:25 AM  
To: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject:

Kath, we need to (b) (5) from the UC Davis inspection report. (b) (5)  
(b) (5)  
(b) (5)  
report. I believe it took place in (b) (5) Thanks.

Sent from my iPhone

**From:** [Gibbens, Robert - APHIS](#)  
**To:** [Garland, Kathleen M - APHIS](#)  
**Date:** Thursday, February 22, 2018 8:24:38 AM

---

Kath, we need to (b) (5) from the UC Davis inspection report. (b) (5)  
(b) (5)  
(b) (5)  
report. I believe it took place in (b) (5) Thanks.

Sent from my iPhone

**From:** [Rosendale, Marcy E - APHIS](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, March 8, 2018 11:16:16 AM  
**Attachments:** [image001.png](#) 

---

Thank you.

---

**From:** Gibbens, Robert - APHIS  
**Sent:** Thursday, March 8, 2018 4:44 AM  
**To:** Rosendale, Marcy E - APHIS <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
**Cc:** Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Subject:** RE:

Assuming the NHP incident was the most recent one, it is the only one (b)(5)  
(b)(5) Thanks.....Bob

Robert M. Gibbens, DVM  
Director, Animal Welfare Operations  
USDA, APHIS, Animal Care  
2150 Centre Avenue, Bldg. B  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970-472-9558



[Join the Animal Care Stakeholder Registry and receive emails on topics of interest](#)

---

**From:** Rosendale, Marcy E - APHIS  
**Sent:** Wednesday, March 7, 2018 6:15 PM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Subject:** FW:

Bob, I was getting ready to amend this report and after reading your email below (b) (5)

(b) (5)

(b)(5)

(b) (5)

Thanks

---

**From:** Rosendale, Marcy E - APHIS  
**Sent:** Thursday, February 22, 2018 8:18 AM  
**To:** Smith, Pamela L - APHIS <[Pamela.L.Smith@aphis.usda.gov](mailto:Pamela.L.Smith@aphis.usda.gov)>  
**Subject:** Fwd: FW:

My head is going to explode.

Sent from my iPhone

Begin forwarded message:

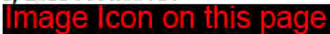
**From:** "Garland, Kathleen M - APHIS" <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
**Date:** February 22, 2018 at 8:16:17 AM PST  
**To:** "Rosendale, Marcy E - APHIS" <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
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**To:** [Rosendale, Marcy E - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, March 8, 2018 7:44:00 AM  
**Attachments:** [image001.png](#) 

---

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(b)(5) Thanks.....Bob

Robert M. Gibbens, DVM  
Director, Animal Welfare Operations  
USDA, APHIS, Animal Care  
2150 Centre Avenue, Bldg. B  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970-472-9558



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(b) (5)

(b)(5)

(b) (5)

Thanks

---

**From:** Rosendale, Marcy E - APHIS  
**Sent:** Thursday, February 22, 2018 8:18 AM  
**To:** Smith, Pamela L - APHIS <[Pamela.L.Smith@aphis.usda.gov](mailto:Pamela.L.Smith@aphis.usda.gov)>  
**Subject:** Fwd: FW:

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Sent from my iPhone

Begin forwarded message:

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**To:** "Rosendale, Marcy E - APHIS" <[Marcy.E.Rosendale@aphis.usda.gov](mailto:Marcy.E.Rosendale@aphis.usda.gov)>  
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Thanks.

Sent from my iPhone



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**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** FW:  
**Date:** Wednesday, March 7, 2018 8:14:29 PM

---

Bob, I was getting ready to amend this report and after reading your email below (b) (5) ;  
(b) (5)  
(b)(5)  
(b) (5)  
Thanks

---

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Thanks.

Sent from my iPhone

**From:** (b) (6), (b) (7)(C)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** (b) (6), (b) (7)(C)  
**Subject:** Re:  
**Date:** Monday, February 26, 2018 2:48:13 PM

---

Wonderful news.... thank you Bob.

Hope all is well with you.

(b) (6), (b) (7)(C)

On Feb 26, 2018, at 11:35 AM, Gibbens, Robert - APHIS  
<[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)> wrote:

(b) (6), (b) (7)(C) - as discussed on the telephone, we will be removing (b) (5)  
(b) (5) from the report as part of the new compliance incentives program.

If you'd like to discuss further, let me know and we can set up a telephone conversation. Thanks.....Bob

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**From:** [Gibbens, Robert - APHIS](#)  
**To:** (b) (6), (b) (7)(C)  
**Cc:**  
**Date:** Monday, February 26, 2018 2:35:00 PM

---

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If you'd like to discuss further, let me know and we can set up a telephone conversation.  
Thanks.....Bob

**From:** [AC West](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE: RE: RE:  
**Date:** Thursday, February 22, 2018 5:39:01 PM

---

Hey-

The inspection has been rescinded and the new IR number is 2016082569060792. Let me know if you need anything else.

Sincerely,

Program Support  
USDA APHIS Animal Care

Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526  
Phone: 970-494-7478 Email: [ACWest@aphis.usda.gov](mailto:ACWest@aphis.usda.gov)

Raleigh: 920 Main Campus Drive, Suite 200, Raleigh, NC 27606  
Phone: 919-855-7100 Email: [ACEast@aphis.usda.gov](mailto:ACEast@aphis.usda.gov)

Join the Animal Care Stakeholder Registry and receive emails on topics of interest

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 2:22 PM  
To: AC West <[acwest@aphis.usda.gov](mailto:acwest@aphis.usda.gov)>  
Cc: Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
Subject: Re: RE: RE:

Yes - pls rescind.

Sent from my iPhone

> On Feb 22, 2018, at 4:14 PM, AC West <[acwest@aphis.usda.gov](mailto:acwest@aphis.usda.gov)> wrote:

>

> Hey-

>

> So to clarify- you would like it rescinded?

>

> Sincerely,

>

> Program Support

> USDA APHIS Animal Care

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> -----Original Message-----

> From: Gibbens, Robert - APHIS

> Sent: Thursday, February 22, 2018 9:19 AM

> To: AC West <acwest@aphis.usda.gov>

> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

> Subject: Re: RE:

>

> Yes, thanks.

>

> Sent from my iPhone

>

>> On Feb 22, 2018, at 9:16 AM, AC West <acwest@aphis.usda.gov> wrote:

>>

>> No problem. I held it from the website so it won't be released to the public. (b) (5)

(b) (5)

>>

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>> -----Original Message-----

>> From: Gibbens, Robert - APHIS

>> Sent: Thursday, February 22, 2018 6:44 AM

>> To: AC West <acwest@aphis.usda.gov>

>> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

>> Subject:

>>

>> We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

>>

>> Sent from my iPhone

**From:** [AC West](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE: RE: RE:  
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**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE: RE:  
**Date:** Thursday, February 22, 2018 4:14:45 PM

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Phone: 970-494-7478 Email: [ACWest@aphis.usda.gov](mailto:ACWest@aphis.usda.gov)

Raleigh: 920 Main Campus Drive, Suite 200, Raleigh, NC 27606  
Phone: 919-855-7100 Email: [ACEast@aphis.usda.gov](mailto:ACEast@aphis.usda.gov)

Join the Animal Care Stakeholder Registry and receive emails on topics of interest

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 9:19 AM  
To: AC West <[acwest@aphis.usda.gov](mailto:acwest@aphis.usda.gov)>  
Cc: Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
Subject: Re: RE:

Yes, thanks.

Sent from my iPhone

> On Feb 22, 2018, at 9:16 AM, AC West <[acwest@aphis.usda.gov](mailto:acwest@aphis.usda.gov)> wrote:

>

> No problem. I held it from the website so it won't be released to the public. (b) (5)

(b) (5)

>

>

>

> Sincerely,

>

> Program Support  
> USDA APHIS Animal Care

>

> Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526  
> Phone: 970-494-7478 Email: [ACWest@aphis.usda.gov](mailto:ACWest@aphis.usda.gov)

>

> Raleigh: 920 Main Campus Drive, Suite 200, Raleigh, NC 27606  
> Phone: 919-855-7100 Email: [ACEast@aphis.usda.gov](mailto:ACEast@aphis.usda.gov)

>

> Join the Animal Care Stakeholder Registry and receive emails on topics of interest

>  
>  
>

> -----Original Message-----

> From: Gibbens, Robert - APHIS

> Sent: Thursday, February 22, 2018 6:44 AM

> To: AC West <acwest@aphis.usda.gov>

> Cc: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>

> Subject:

>

> We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

>

> Sent from my iPhone

**From:** [AC West](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, February 22, 2018 11:16:53 AM

---

No problem. I held it from the website so it won't be released to the public. (b) (5)

(b) (5)

Sincerely,

Program Support  
USDA APHIS Animal Care

Fort Collins: 2150 Centre Ave., Building B, 3W11, Fort Collins CO, 80526  
Phone: 970-494-7478 Email: [ACWest@aphis.usda.gov](mailto:ACWest@aphis.usda.gov)

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Phone: 919-855-7100 Email: [ACEast@aphis.usda.gov](mailto:ACEast@aphis.usda.gov)

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-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 6:44 AM  
To: AC West <[acwest@aphis.usda.gov](mailto:acwest@aphis.usda.gov)>  
Cc: Garland, Kathleen M - APHIS <[Kathleen.M.Garland@aphis.usda.gov](mailto:Kathleen.M.Garland@aphis.usda.gov)>  
Subject:

We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

Sent from my iPhone

**From:** [Garland, Kathleen M - APHIS](#)  
**To:** [Gibbens, Robert - APHIS](#)  
**Subject:** RE:  
**Date:** Thursday, February 22, 2018 11:16:45 AM

---

I let her know

-----Original Message-----

From: Gibbens, Robert - APHIS  
Sent: Thursday, February 22, 2018 5:25 AM  
To: Garland, Kathleen M - APHIS <Kathleen.M.Garland@aphis.usda.gov>  
Subject:

Kath, we need to (b) (5) from the UC Davis inspection report. (b) (5)  
(b) (5)  
(b) (5) from the inspection  
report. I believe it took place in (b) (5). Thanks.

Sent from my iPhone

**From:** [Gibbens, Robert - APHIS](#)  
**To:** [AC West](#)  
**Cc:** [Garland, Kathleen M - APHIS](#)  
**Date:** Thursday, February 22, 2018 8:44:14 AM

---

We will be making a change to the latest inspection report for UC Davis. Please ensure that it does not go online until the change is made. Thanks

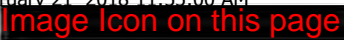
Sent from my iPhone

**From:** [Gibbens, Robert - APHIS](#)  
**To:** [Garland, Kathleen M - APHIS](#)  
**Date:** Thursday, February 22, 2018 8:24:37 AM

---

Kath, we need to (b) (5) from the UC Davis inspection report. (b) (5)  
(b) (5)  
(b) (5) from the inspection  
report. I believe it took place in (b) (5). Thanks.

Sent from my iPhone

**From:** [Gibbens, Robert - APHIS](#)  
**To:** (b) (6), (b) (7)(C)  
**Subject:** RE: Site 1  
**Date:** Wednesday, February 21, 2018 11:55:00 AM  
**Attachments:** [image001.png](#) 

---

Thanks 

Robert M. Gibbens, DVM  
Director, Animal Welfare Operations  
USDA, APHIS, Animal Care  
2150 Centre Avenue, Bldg. B  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970-472-9558



[Join the Animal Care Stakeholder Registry and receive emails on topics of interest](#)

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Wednesday, February 21, 2018 9:52 AM  
**To:** (b) (6), (b) (7)(C) @ucdavis.edu>; Gibbens, Robert - APHIS  
<Robert.M.Gibbens@aphis.usda.gov>  
**Subject:** Site 1

Hi Dr. Gibbens,

I looked back in inspection file and our older reports only included a single site (001). In the report itself (b) (6), (b) (7)(C) would refer to location code (e.g. 1, 13f, 12c) but I think this was just how he ensured he made it to each covered vivaria so were not officially separate sites. See attached example.

Thanks again for taking the time to talk with us this morning.

Sincerely,



(b) (6), (b) (7)(C)  
IACUC Administrator  
(530) 752-2130  
(b) (6), (b) (7)(C) @ucdavis.edu

<http://safetyservices.ucdavis.edu/article/institutional-animal-care-and-use-committee-iacuc>



**From:** (b) (6), (b) (7)(C)  
**To:** (b) (6), (b) (7)(C); [Gibbens, Robert - APHIS](#)  
**Subject:** Site 1  
**Date:** Wednesday, February 21, 2018 11:53:07 AM  
**Attachments:** [4311\\_001.pdf](#) Attachment is on the next page

---

Hi Dr. Gibbens,

I looked back in inspection file and our older reports only included a single site (001). In the report itself (b) (6), (b) (7)(C) would refer to location code (e.g. 1, 13f, 12c) but I think this was just how he ensured he made it to each covered vivaria so were not officially separate sites. See attached example.

Thanks again for taking the time to talk with us this morning.

Sincerely,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

IACUC Administrator

(530) 752-2130

(b) (6), (b) (7)(C) [@ucdavis.edu](#)

<http://safetyservices.ucdavis.edu/article/institutional-animal-care-and-use-committee-iacuc>



## Inspection Report

UNIVERSITY OF CALIFORNIA, DAVIS

Customer ID: 9192

Certificate: 93-R-0433

Site: 001

UNIVERSITY OF CALIFORNIA, DAVIS

ONE SHIELDS AVE

Type: ROUTINE INSPECTION

DAVIS, CA 95616

Date: Nov-18-2009

No non compliant items identified on this inspection.

Location: 13c1

---

**Prepared By:**

STEVE P DRLICA, D.V.M. USDA, APHIS, Animal Care

Title: VETERINARY MEDICAL OFFICER Inspector 5004

**Date:**

Nov-19-2009

**Received By:**

ALAN EKSTRAND

Title: IACUC ADMINISTRATOR

**Date:**

Nov-19-2009

**From:** [Gibbens, Robert - APHIS](#)  
**To:** (b) (6), (b) (7)(C)  
**Subject:** RE: Time for a phone meeting?  
**Date:** Wednesday, February 21, 2018 10:59:00 AM

---

That works. 970-494-7474.

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Wednesday, February 21, 2018 8:58 AM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Subject:** Re: Time for a phone meeting?

Good morning - we've made it to a single meeting place so plan to just call you directly if that works for you.

(b) (6), (b) (7)(C)  
On Feb 20, 2018, at 11:20 AM, Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)> wrote:

Talk to you tomorrow.....Bob

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Tuesday, February 20, 2018 12:15 PM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Subject:** Re: Time for a phone meeting?

Oh goodness... so sorry. 9:00 Mountain time. Was thinking it was in the call details.

Regards,  
(b) (6), (b) (7)(C)

On Feb 20, 2018, at 10:57 AM, Gibbens, Robert - APHIS  
<[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)> wrote:

Thanks (b) (6), (b) (7)(C) -- which time are we having the call?

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Tuesday, February 20, 2018 11:16 AM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Subject:** RE: Time for a phone meeting?

Great – Thanks Bob! We have set this up as a conference call as there is chance (b) (6), (b) (7) and I won't be in the same place at that time.

Information below – and thank you for taking the time to chat with us.  
Much appreciated!

(b) (6), (b) (7)(C)

Join from PC, Mac, Linux, iOS or Android:  
<https://zoom.us/j/631998115>

Or iPhone one-tap :

US: +16699006833,,631998115# or  
+16465588656,,631998115#

Or Telephone:

Dial(for higher quality, dial a number based on your current location):

US: +1 669 900 6833 or +1 646 558 8656

Meeting ID: 631 998 115

International numbers available:  
[https://zoom.us/zoomconference?  
m=HKtWMSx1rB3dZ5Ba0QPujQdh\\_9TUrhXG](https://zoom.us/zoomconference?m=HKtWMSx1rB3dZ5Ba0QPujQdh_9TUrhXG)

**From:** Gibbens, Robert - APHIS  
[\[mailto:Robert.M.Gibbens@aphis.usda.gov\]](mailto:Robert.M.Gibbens@aphis.usda.gov)  
**Sent:** Tuesday, February 20, 2018 6:43 AM  
**To:** (b) (6), (b) (7)(C) [@ucdavis.edu](mailto:ucdavis.edu)>  
**Cc:** (b) (6), (b) (7)(C) [@ucdavis.edu](mailto:ucdavis.edu)>  
**Subject:** RE: Time for a phone meeting?

Hi (b) (6), (b) (7)(C) - - tomorrow (Wednesday, 2/21) at 9, 11, or noon Mountain time will work for me. I am back on the road Thursday.  
Thanks.....Bob

Robert M. Gibbens, DVM  
Director, Animal Welfare Operations  
USDA, APHIS, Animal Care

2150 Centre Avenue, Bldg. B  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970-472-9558

 [Join the Animal Care Stakeholder Registry and receive emails on topics of interest](#)

**From:** (b) (6), (b) (7)(C) [REDACTED]@ucdavis.edu]  
**Sent:** Thursday, February 15, 2018 10:35 AM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** (b) (6), (b) (7)(C) [REDACTED]@ucdavis.edu>  
**Subject:** Time for a phone meeting?

Dear Dr. Gibbens,

We had our exit briefing yesterday afternoon with Drs. Rosendale and Smith and are hoping to set up a phone meeting with you soon for clarification. We understand that our recent self-reported situations were reviewed both by you and Dr. Juarez, but we were surprised by the citation as we felt we were following the guidance for the new incentive program that was circulated in December.

We understand that you are traveling this week but are hoping to find a time sometime soon after your return to clarify why these self-reports do not qualify for the program.

Kind regards,

(b) (6), (b) (7)(C) [REDACTED]

(b) (6), (b) (7)(C) [REDACTED]  
(b) (6) (b) (7)(C) [REDACTED]  
(b) (6) (b) (7)(C) [REDACTED]  
**University of California, Davis**  
**530-752-0780 (office)**  
(b) (6), (b) (7)(C) [REDACTED]

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please notify the sender and delete the email immediately.

**From:** [Gibbens, Robert - APHIS](#)  
**To:** (b) (6), (b) (7)(C)  
**Cc:** (b) (6), (b) (7)(C)  
**Subject:** RE: Time for a phone meeting?  
**Date:** Tuesday, February 20, 2018 2:20:00 PM

---

Talk to you tomorrow.....Bob

---

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Tuesday, February 20, 2018 12:15 PM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Subject:** Re: Time for a phone meeting?

Oh goodness... so sorry. 9:00 Mountain time. Was thinking it was in the call details.

Regards,

(b) (6), (b) (7)(C)

On Feb 20, 2018, at 10:57 AM, Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)> wrote:

Thanks (b) (6), (b) (7)(C) - which time are we having the call?

---

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Tuesday, February 20, 2018 11:16 AM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Subject:** RE: Time for a phone meeting?

Great – Thanks Bob! We have set this up as a conference call as there is chance (b) (6), (b) (7)(C) and I won't be in the same place at that time.

Information below – and thank you for taking the time to chat with us. Much appreciated!

(b) (6), (b) (7)(C)

Join from PC, Mac, Linux, iOS or Android: <https://zoom.us/j/631998115>

Or iPhone one-tap :

US: +16699006833,,631998115# or +16465588656,,631998115#

Or Telephone:

Dial (for higher quality, dial a number based on your current location):

US: +1 669 900 6833 or +1 646 558 8656

Meeting ID: 631 998 115

International numbers available: [https://zoom.us/join?m=HKtWMsx1rB3dZ5Ba0QPujQdh\\_9TUrhXG](https://zoom.us/join?m=HKtWMsx1rB3dZ5Ba0QPujQdh_9TUrhXG)

**From:** Gibbens, Robert - APHIS [<mailto:Robert.M.Gibbens@aphis.usda.gov>]

**Sent:** Tuesday, February 20, 2018 6:43 AM

**To:** (b) (6), (b) (7)(C) <[REDACTED]@ucdavis.edu>

**Cc:** (b) (6), (b) (7)(C) <[REDACTED]@ucdavis.edu>

**Subject:** RE: Time for a phone meeting?

Hi [REDACTED] -- tomorrow (Wednesday, 2/21) at 9, 11, or noon Mountain time will work for me. I am back on the road Thursday. Thanks.....Bob

Robert M. Gibbens, DVM

Director, Animal Welfare Operations

USDA, APHIS, Animal Care

2150 Centre Avenue, Bldg. B

Ft. Collins, CO 80526

Phone: 970-494-7478

Fax: 970-472-9558

 [Join the Animal Care Stakeholder Registry and receive emails on topics of interest](#)

**From:** (b) (6), (b) (7)(C) <[REDACTED]@ucdavis.edu>

**Sent:** Thursday, February 15, 2018 10:35 AM

**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>

**Cc:** (b) (6), (b) (7)(C) <[REDACTED]@ucdavis.edu>

**Subject:** Time for a phone meeting?

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We understand that you are traveling this week but are hoping to find a time sometime soon after your return to clarify why these self-reports do not qualify for the program.

Kind regards,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6) (b) (7)(C)

(b) (6) (b) (7)(C)

**University of California, Davis**

**530-752-0780 (office)**

(b) (6), (b) (7)(C)

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**From:** [Gibbens, Robert - APHIS](#)  
**To:** (b) (6), (b) (7)(C)  
**Cc:** (b) (6), (b) (7)(C)  
**Subject:** RE: Time for a phone meeting?  
**Date:** Tuesday, February 20, 2018 1:56:00 PM  
**Attachments:** [image001.png](#) [Image Icon on page 31](#)

---

Thanks (b) (6), (b) (7)(C) - which time are we having the call?

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(b) (6), (b) (7)(C)  
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**To:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Cc:** (b) (6), (b) (7)(C) @ucdavis.edu>

**Subject:** RE: Time for a phone meeting?

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Robert M. Gibbens, DVM  
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USDA, APHIS, Animal Care  
2150 Centre Avenue, Bldg. B  
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Phone: 970-494-7478  
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(b) (6) (b) (7)(C)  
(b) (6) (b) (7)(C)  
University of California, Davis  
530-752-0780 (office)  
(b) (6), (b) (7)(C)

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**From:** (b) (6), (b) (7)(C)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** (b) (6), (b) (7)(C)  
**Subject:** RE: Time for a phone meeting?  
**Date:** Tuesday, February 20, 2018 1:16:24 PM  
**Attachments:** [image001.png](#) **Image Icon on page 34**

---

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(b) (5)

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Meeting ID: 631 998 115

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**From:** Gibbens, Robert - APHIS [mailto:Robert.M.Gibbens@aphis.usda.gov]  
**Sent:** Tuesday, February 20, 2018 6:43 AM  
**To:** (b) (6), (b) (7)(C) @ucdavis.edu>  
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**Subject:** RE: Time for a phone meeting?

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Robert M. Gibbens, DVM  
Director, Animal Welfare Operations  
USDA, APHIS, Animal Care  
2150 Centre Avenue, Bldg. B

Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970-472-9558



[Join the Animal Care Stakeholder Registry and receive emails on topics of interest](#)

**From:** (b) (6), (b) (7)(C) [redacted]@ucdavis.edu]  
**Sent:** Thursday, February 15, 2018 10:35 AM  
**To:** Gibbens, Robert - APHIS <[Robert.M.Gibbens@aphis.usda.gov](mailto:Robert.M.Gibbens@aphis.usda.gov)>  
**Cc:** (b) (6), (b) (7)(C) [redacted]@ucdavis.edu>  
**Subject:** Time for a phone meeting?

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We understand that you are traveling this week but are hoping to find a time sometime soon after your return to clarify why these self-reports do not qualify for the program.

Kind regards,

(b) (6), (b) (7)(C)  
[redacted]

(b) (6), (b) (7)(C)  
(b) (6) (b) (7)(C)  
(b) (6) (b) (7)(C)  
**University of California, Davis**  
**530-752-0780 (office)**  
(b) (6), (b) (7)(C)

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**From:** [Gibbens, Robert - APHIS](#)  
**To:** (b) (6), (b) (7)(C)  
**Cc:** (b) (6), (b) (7)(C)  
**Subject:** RE: Time for a phone meeting?  
**Date:** Tuesday, February 20, 2018 9:43:00 AM  
**Attachments:** [image001.png](#) 

---

Hi (b) (6), (b) (7)(C) - tomorrow (Wednesday, 2/21) at 9, 11, or noon Mountain time will work for me. I am back on the road Thursday. Thanks.....Bob

Robert M. Gibbens, DVM  
Director, Animal Welfare Operations  
USDA, APHIS, Animal Care  
2150 Centre Avenue, Bldg. B  
Ft. Collins, CO 80526  
Phone: 970-494-7478  
Fax: 970-472-9558



[Join the Animal Care Stakeholder Registry and receive emails on topics of interest](#)

**From:** (b) (6), (b) (7)(C) @ucdavis.edu]  
**Sent:** Thursday, February 15, 2018 10:35 AM  
**To:** Gibbens, Robert - APHIS <Robert.M.Gibbens@aphis.usda.gov>  
**Cc:** (b) (6), (b) (7)(C) @ucdavis.edu>  
**Subject:** Time for a phone meeting?

Dear Dr. Gibbens,

We had our exit briefing yesterday afternoon with Drs. Rosendale and Smith and are hoping to set up a phone meeting with you soon for clarification. We understand that our recent self-reported situations were reviewed both by you and Dr. Juarez, but we were surprised by the citation as we felt we were following the guidance for the new incentive program that was circulated in December.

We understand that you are traveling this week but are hoping to find a time sometime soon after your return to clarify why these self-reports do not qualify for the program.

Kind regards,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)  
(b) (6), (b) (7)(C)  
(b) (6), (b) (7)(C)  
University of California, Davis  
530-752-0780 (office)  
(b) (6), (b) (7)(C)

**From:** (b) (6), (b) (7)(C)  
**To:** [Gibbens, Robert - APHIS](#)  
**Cc:** (b) (6), (b) (7)(C)  
**Subject:** Time for a phone meeting?  
**Date:** Thursday, February 15, 2018 12:35:32 PM

---

Dear Dr. Gibbens,

We had our exit briefing yesterday afternoon with Drs. Rosendale and Smith and are hoping to set up a phone meeting with you soon for clarification. We understand that our recent self-reported situations were reviewed both by you and Dr. Juarez, but we were surprised by the citation as we felt we were following the guidance for the new incentive program that was circulated in December.

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(b) (6) (b) (7)(C)  
**University of California, Davis**  
**530-752-0780 (office)**  
(b) (6), (b) (7)(C)





## Inspection Report

University Of California- Davis  
One Shields Ave  
Davis, CA 95616

Customer ID: **9192**  
Certificate: **93-R-0433**  
Site: **001**  
UNIVERSITY OF CALIFORNIA, DAVIS  
Type: **—RESCINDED—**  
Date: **07-FEB-2018**

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**2.38(f)(1) CRITICAL REPEAT**

**MISCELLANEOUS.**

On July 27, 2017 an anesthetized rabbit on a study protocol was to be injected with a contrast medium. Following injection the rabbit immediately died. The technician realized that the stop-cock valve used for the procedure had been turned in the wrong direction, the contrast medium had drained from the extension tubing, and air had been injected instead. The technician explained that the stop-cocks that had been used historically had a valve that turned in one direction and the new stop-cocks recently purchased have a valve that turns in the opposite direction. The technician was aware of this, but stated that she turned the valve out of "muscle memory." The facility discarded the remaining stop-cocks that function in this manner.

On August 11, 2017 an employee attempted to socialize two dogs against the recommendation of veterinary staff. One dog had a history of dog related aggression and had been removed from the facility socialization list due to the risk of dog and/or staff injury. The dogs fought, both dogs received lacerations, and one dog required sutures. Both dogs recovered and one of the two dogs has been adopted as a pet. Corrective action was taken by the facility.

On December 26, 2017 a three year old female macaque was inadvertently placed in the wrong field enclosure and was subsequently injured by other macaques. A technician mistakenly discharged the wrong animal from the facility veterinary hospital and released her into the intended animal's enclosure which housed a different social group. The animal in question had been hospitalized for injuries obtained on 12/19 and was to be assessed for discharge on 12/27. According to the technician the animal was in the wrong enclosure for approximately one hour before he noticed that she was cornered and injured. Medical records noted multiple wounds to one leg, several digits, tail, and face. As a result of the injuries several digits were amputated. The animal has subsequently recovered but will not be returned to her social group. The technician was retrained and corrective action was taken by the facility.

---

**Prepared By:**

ROSENDALE MARCY, D V M USDA, APHIS, Animal Care

**Date:**  
15-FEB-2018

**Title:** VETERINARY MEDICAL OFFICER 5039

**Received By:**

FACILITY REPRESENTATIVE

**Title:** DELIVERED BY EMAIL

**Date:**  
15-FEB-2018



## Inspection Report

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Animals should be handled carefully, in such a manner that does not cause them trauma, physical harm, or unnecessary discomfort. The facility should ensure that corrective actions for handling issues will prevent similar problems from occurring in the future.

The Inspection was focused on handling incidents that were self-reported to APHIS officials.  
This inspection and exit interview were conducted with facility representatives.

### Additional Inspectors

Smith Pamela, Veterinary Medical Officer

---

### Prepared By:

ROSENDALE MARCY, D V M      USDA, APHIS, Animal Care

**Date:**  
15-FEB-2018

**Title:** VETERINARY MEDICAL OFFICER 5039

### Received By:

FACILITY REPRESENTATIVE

**Date:**  
15-FEB-2018

**Title:** DELIVERED BY EMAIL



United States Department of Agriculture      Customer:      9192  
Animal and Plant Health Inspection Service      Inspection Date:      07-FEB-18  
**Animal Inspected at Last Inspection**

Cust No	Cert No	Site	Site Name	Inspection
9192	93-R-0433	001	UNIVERSITY OF CALIFORNIA, DAVIS	07-FEB-18

Count	Species
000016	DOMESTIC RABBIT / EUROPEAN RABBIT
000210	CAT ADULT
000226	Total



## Inspection Report

University Of California- Davis  
One Shields Ave  
Davis, CA 95616

Customer ID: 9192

Certificate: 93-R-0433

Site: 001

UNIVERSITY OF CALIFORNIA, DAVIS

Type: ROUTINE INSPECTION

Date: 07-FEB-2018

---

**2.38(f)(1) CRITICAL REPEAT**

**MISCELLANEOUS.**

On July 27, 2017 an anesthetized rabbit on a study protocol was to be injected with a contrast medium. Following injection the rabbit immediately died. The technician realized that the stop-cock valve used for the procedure had been turned in the wrong direction, the contrast medium had drained from the extension tubing, and air had been injected instead. The technician explained that the stop-cocks that had been used historically had a valve that turned in one direction and the new stop-cocks recently purchased have a valve that turns in the opposite direction. The technician was aware of this, but stated that she turned the valve out of "muscle memory." The facility discarded the remaining stop-cocks that function in this manner.

On August 11, 2017 an employee attempted to socialize two dogs against the recommendation of veterinary staff. One dog had a history of dog related aggression and had been removed from the facility socialization list due to the risk of dog and/or staff injury. The dogs fought, both dogs received lacerations, and one dog required sutures. Both dogs recovered and one of the two dogs has been adopted as a pet. Corrective action was taken by the facility.

Animals should be handled carefully, in such a manner that does not cause them trauma, physical harm, or unnecessary discomfort. The facility should ensure that corrective actions for handling issues will prevent similar problems from occurring in the future.

The inspection was focused on handling incidents that were self-reported to APHIS officials.  
This inspection and exit interview were conducted with facility representatives.

**Additional Inspectors**

Smith Pamela, Veterinary Medical Officer

---

**Prepared By:**

ROSENDALE MARCY, D V M USDA, APHIS, Animal Care

**Date:**  
08-MAR-2018

**Title:** VETERINARY MEDICAL OFFICER 5039

**Received By:**

FACILITY REPRESENTATIVE

**Date:**  
08-MAR-2018

**Title:** DELIVERED BY EMAIL



Cust No	Cert No	Site	Site Name	Inspection
9192	93-R-0433	001	UNIVERSITY OF CALIFORNIA, DAVIS	07-FEB-18

Count	Species
000016	DOMESTIC RABBIT / EUROPEAN RABBIT
000210	CAT ADULT
000226	Total

Amendment to Protocol (b) (4)  
Summary/Purpose: Unanticipated Outcome [edit]  
PI: [redacted] Not a FOIA redaction  
Date Received: 09-01-2017  
Funding Source(s): UC Davis Health System  
Protocol Approval Date: 04-26-2017  
Review Status: Approved - 09-21-2017

1. Proposed Changes:

This amendment is serving to report an unanticipated event which led to the death of a rabbit, and proposes to prevent similar complications from occurring in the future.

The events surrounding the unexpected death of rabbit #4093 on 7/27/17 immediately following an injection of contrast dye for a fluoroscopic procedure. Approximately 6ml of dye was injected with a 10cc syringe, a stopcock and an extension tubing. The syringe was filled, the extension tubing was flushed and the stopcock closed prior to connecting to the rabbit's IV line. Due to purchasing surgical supplies from a new vendor recently, the brand of stopcock has changed and the action of the stopcock has changed. It is now completely opposite of those we have used for over 30 years. What was once a locking mechanism on the stopcock is now the "open" pathway. Purely from muscle memory based on working with stopcocks for over 30 years, the locking mechanism on this particular stopcock was accidentally not activated when it was placed into the IV catheter and the dye had drained out when the dye was injected. The rabbit immediately succumbed to an air embolism which was confirmed by necropsy at CPL. CVS was notified.

We have now eliminated the use of the stopcocks and two people will witness that the extension line is flushed before attaching it to the IV catheter. Now we are using just the 10ml syringe and the extension tubing.

2. Justification for Proposed Changes:

Eliminating the use of the stopcock creates less confusion and is really not necessary.

3. Potential Adverse Effects:

N/A

4. Additional Animals:

N/A

5. Justification for Additional Animals:

N/A

Uploaded Documents:

upload/delete Amendment File

Uploaded File(s):  
No Files Found.

Amendment Request Status: Approved - 09/21/2017

August 22, 2017



RE: Letter of Expectation for Failure to Follow Guidelines for Socializing Dogs

I am issuing this letter of expectation due to your failure to follow guidelines and veterinary recommendation in socializing dogs housed in TRACS facilities. On August 11, 2017 you attempted to socialize two dogs in the dog yard. One of these dogs has a history of dog related aggression and had been removed from the socialization list due to risk of dog and/or staff injury. On August 10, 2017 you received three emails from three separate CVS staff members informing you of the socialization concern and requesting that you not attempt socialization. Not only did you proceed with an attempt to socialize the dog in question, you were not prepared to manage any potential aggression as there were no leashes nor the catch pole immediately available at the time of the socialization attempt. As a result, two dogs were injured in an aggressive event and you were bitten in the process of trying to separate the dogs.

**UC Davis Institutional Animal Care and Use  
Animal Incident Reporting Form**

**(FOR IACUC INTERNAL USE ONLY)**

**\*\*\*DRAFT\*\*\***

**1. Summary of Incident:** Report of NHP Discharge

On 12/26/17 a 3-year-8 month female adult NHP was discharged from the hospital into an outdoor field cage. The animal that the Technician discharged was not the correct animal to go into that specific field cage. This resulted in the discharged animal being cornered and attacked by other animals.

This incident was self-reported to the AV and the IACUC Office by CNPRC.

The incident and associated records were reviewed on 1/29/18 by IACUC Staff and CNPRC supervisors.

As of 1/29/18, CNPRC supervisors are waiting for instructions from HR as to how to proceed regarding disciplinary or remedial actions, as this requires review by Employee Labor Relations.

In the meantime, the Technician has been removed from animal discharge assignments.

Key factors:

- Senior Animal Technician given list of animals for discharge from (b) (4)
- Jump boxes retrieved; Animal numbers checked and verified by Technician.
- After identifying correct animal by animal number, employee took his eyes off animal and prepared jump box, then accidentally attached jump box to an adjacent cage and therefore jumped the incorrect animal.
- (Employee noted in hindsight that at this time he did not follow his own, routine and habitual, procedures for jumping and discharging animals.)
- The incorrect animal was then released into the outdoor field cage that was identified on the work order.
- Roughly an hour later the same Technician noticed an animal being cornered by other animals, recognizing this as the animal he had discharged, and realized his error after confirming the cornered animal's tattoo number.
- With assistance from other Technicians the animal was immediately netted and returned to the hospital where it was treated for facial injuries.



Additional notes:

- The animal that was incorrectly released had been in the hospital for unrelated injuries it had previously received from other animals in its natal cage. It was slated for potential release from the hospital the following day.
- Due to the animal's history and following a full recovery on 1/11/18 the decision was made to release the animal to indoor housing rather than back to the field cages.
- The technician involved was a very experienced Senior Technician (hire date [REDACTED] well trained and it would be routine for him to jump anywhere from 6 -35 animals on any given day.
- By his own accord, he did not follow his own routine procedures for exactly how he identified animals and prepared the jump and attached the boxes to the animals cages.

Name of person documenting incident: \_\_\_\_xxxxxx

**IACUC notification:**

Discussion: meetings PENDING ADDITIONAL DIRECTION FROM HR

Date Final Report to IACUC:

Animal ID# (b) (4)

California National Primate Research Center

Page # 15

Date	Weight (kg)	Appetite (G, F, P) (C)	Hydration (G, F, P) (C)	Stool (N, SS, L, B) (C)	Observations	Initials
------	-------------	------------------------	-------------------------	-------------------------	--------------	----------

## PHYSICAL EXAM

Date 12/20/17 Weight 23.5 kg BCS 2.5  
 HR \_\_\_\_\_ RR \_\_\_\_\_ TEMP 100.5 ☒ Project Code ☒ Shave Tattoo  
 1. Inguinal See side notes  
 2. Oral Cavity \_\_\_\_\_  
 3. Eyes WNL 4. Ears See side notes  
 5. Musculoskeletal \_\_\_\_\_  
 6. Thorax Auscultation \_\_\_\_\_  
 7. Abdominal Palpation \_\_\_\_\_  
 8. Spleen \_\_\_\_\_ 9. Liver \_\_\_\_\_  
 10. Lymph Nodes \_\_\_\_\_  
 11. Urogenital WNL  
 12. Rectal Palpation \_\_\_\_\_

Animal may be unpaired or individually housed while receiving veterinary care

Spo: Animal was inadvertently  
 D/rd today & to the wrong  
 field cage and received trauma while  
 outdoors. Sedated with 0.7ml  
 Ketamine IM + 0.25ml dexmed/  
 atip IM + an additional 0.7ml  
 Ketamine IM to keep animal down.  
 Rem GNOVA: pH=7.33, Hct=41,  
 HCO<sub>3</sub>=18.9, K<sup>+</sup>=3.40, BUN=29,  
 crt=2.1. Started IV fluids. See  
 KU sheet & NOVA protocols for

details. Mod crush trauma w/ multiple injuries.  
 Surgically prepped, applied lidocaine blocks, and sutured  
 to computerized (BHD<sub>2</sub>, BHD<sub>2</sub>D<sub>2</sub>D<sub>2</sub>, BFD<sub>2</sub>-5 & tail  
 closed all w/ 3-0 vial in a ST pattern. Surgically  
 prepped both ears, BHD<sub>2</sub> & B heel, finished edges  
 & closed AU & BHD<sub>2</sub> w/ 3-0 vial in a ST  
 pattern & opened skin over & transected gastrocnemius tendon.  
 to ~3cm total. Debrided skin edges. Closed muscle w/ 3-0  
 Vial in a vicinate pattern. R. approx tendon w/ 3-0 Prolene in  
 comba mattress and ST pattern. Closed skin w/ 3-0 Vial in mattress  
 pattern. Placed a telfa bandage. Animal also had bilateral  
 arm swelling & abrasions, mild facial abrasions &  
 swelling. (B) FD, abrasions, (C) rump pressure,  
 (B) FD, (B) D<sub>2</sub>D<sub>2</sub> connected tips w/ wire exposure &  
 (C) side debrided lac. At crush trauma w/  
 AU, (B) HD<sub>2</sub>, (B) HD<sub>2</sub>D<sub>2</sub>D<sub>2</sub>, tail, (B) heel &  
 (B) FD<sub>2</sub>-D<sub>2</sub> all healing by 1st it, both arms to

Obs Form 12-16-2011

G = Good, F = Fair, P = Poor, N = Normal, SS = Semi Solid, L = Liquid, B = Bloody

PC10 Standard Drug concentrations: Ketamine 100mg/ml; Dexmedetomidine 0.50mg/ml; Atipamezole 5.0 mg/ml; Diazepam 5.0mg/ml

Obtained by Rise for Animals.  
 Uploaded to Animal Research Laboratory Overview (ARLO) on 11/07/2023

Amendment to Protocol (b) (4)  
Summary/Purpose: Unanticipated Outcome [edit]  
PI: [redacted] [Not a FOIA redaction]  
Date Received: 09-01-2017  
Funding Source(s): UC Davis Health System  
Protocol Approval Date: 04-26-2017  
Review Status: Approved - 09-21-2017

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4. Additional Animals:

N/A

5. Justification for Additional Animals:

N/A

Uploaded Documents:

upload/delete Amendment File

Uploaded File(s):  
No Files Found.

Amendment Request Status: Approved - 09/21/2017

August 22, 2017



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