



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 8, 2023

Re: Animal Welfare Assurance
A3158-01 [OLAW Case 2K]

Michael Zwick, Ph.D.
Senior Vice President for Research
Rutgers, The State University of New Jersey
675 Hoes Lane West, (b) (4)
Piscataway, NJ 08854

Dear Dr. Zwick,

The Office of Laboratory Animal Welfare (OLAW) acknowledges your May 17, 2023 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rutgers University. Your letter supplements the information in the initial email report on May 9, 2023. According to the information provided, OLAW understands that on March 17, 2023, a live rat was found in a carcass container in a cold storage box. It was determined that there are three possible laboratories that could have been responsible for this incident. These three laboratories have federal funding sources and non-federal funding sources. The specific funding source for this incident could not be determined.

Corrective and preventive actions were taken in response to this incident:

- Comparative Medicine Resources and IACUC Office leadership counseled all three Principal Investigators.
- All animal-using laboratory members of all three laboratories were re-trained.

The prompt consideration of this matter by Rutgers University was consistent with the philosophy of institutional self-regulation. Similarly, the corrective and preventive measures would be expected to prevent such incidents in the future. Although the funding for this activity was not able to be identified, the application of the expectations of the PHS Policy across the animal care and use program reduces any potential appearance of a double standard. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse -S

Digitally signed by Brent C.

Morse -S

Date: 2023.06.08 12:53:24 -04'00'

Brent C. Morse, DVM, DACLAM

Director

Division of Compliance Oversight

Office of Laboratory Animal Welfare

cc: IACUC Contact



RUTGERS

Rutgers, the State University of New Jersey
IACUC Administrative Office
675 Hoes Lane West, (b) (4)
Piscataway, NJ 08854

A3158-2K
orad.rutgers.edu
NewarkIACUC@rutgers.edu
NewBrunswickIACUC@rutgers.edu
(b) (6)

May 17, 2023

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Re: Animal Welfare Assurance Number D16-00098 (A3158-01) – Case Number A3158-2K

Dear Dr. Morse,

Rutgers, The State University of New Jersey hereby makes the final report for the following incident, initially reported to OLAW on May 9, 2023. On March 17, 2023, a live rat was found in a carcass container in a cold storage box. A thorough investigation into the incident was performed; however, it was not possible to determine the specific individual or laboratory responsible for this incident. It was determined that there are three possible laboratories that could have been responsible for this incident. These three laboratories have federal funding sources and non-federal funding sources; therefore, it is not possible to determine the specific funding source associated with this incident. This incident is being reported in the event that this animal was supported by federal funds.

The following actions were taken in response to this incident:

- Comparative Medicine Resources and IACUC Office leadership counseled all three Principal Investigators.
- All animal-using laboratory members of all three laboratories were re-trained.
- At its regular meeting on April 11, 2023, the IACUC reviewed and discussed this incident and unanimously voted to report this matter to OLAW, following consultation with OLAW regarding the inability to determine the funding source. The IACUC also voted to accept the above noted corrective actions.

Please note that the funding source for this animal could not be determined.

Please contact me with any questions.

Sincerely,

(b) (6)


Michael E. Zwick, Ph.D.
Institutional Official
Senior Vice President for Research

From: OLAW Division of Compliance Oversight (NIH/OD)
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: final report for Rutgers Assurance D16-00098 (A3158-01) - Case Number A3158-2K
Date: Thursday, May 18, 2023 7:05:48 AM
Attachments: [image001.png](#)
[image002.png](#)
[image003.png](#)
[image004.png](#)

Good morning (b) (6)

Thank you for sending us this final report for case A3158-2K and we will send an official response soon.

Best,
Devora

Devora McCoy, BS, MBA ([pronunciation](#))
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390

Email: devora.mccoy@nih.gov

From: (b) (6)
Sent: Wednesday, May 17, 2023 4:20 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)
Subject: [EXTERNAL] final report for Rutgers Assurance D16-00098 (A3158-01) - Case Number A3158-2K

Division of Compliance Oversight,

Attached is the final report for Case Number A3158-2K under Assurance # D16-00098 (A3158-01).

Please let us know if you have any questions.

Thank you,

(b) (6)

McCoy, Devora (NIH/OD) [E]

From: McCoy, Devora (NIH/OD) [E]
Sent: Tuesday, May 9, 2023 10:52 AM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: [EXTERNAL] preliminary report for Rutgers University (Assurance A3158-01)

Good morning (b) (6)

This preliminary report has been assigned OLAW case number **A3158-2K**, so please reference this case number when sending the final report.

Thanks!
Devora

Devora McCoy, BS, MBA (pronunciation)
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: devora.mccoy@nih.gov

From: Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>
Sent: Tuesday, May 9, 2023 9:07 AM
To: (b) (6)
Cc: McCoy, Devora (NIH/OD) [E] <devora.mccoy@nih.gov>
Subject: Re: [EXTERNAL] preliminary report for Rutgers University (Assurance A3158-01)

Thank you for this report, (b) (6) We will open a case file and await the final report.

Best regards, Brent Morse

Sent from my iPad

On May 9, 2023, at 8:57 AM, (b) (6) wrote:

Dear Dr. Morse,

Rutgers University (Assurance A3158-01) wishes to make a preliminary report for the following incident.

On March 17, 2023, a live rat was found in a carcass refrigerator. A thorough investigation into the incident was performed; however, it was not possible to determine the specific individual or laboratory responsible for this incident. It was determined that there are three possible laboratories that could

have been responsible for this incident. Comparative Medicine Resources and IACUC Office leadership counseled all three Principal Investigators. All animal using laboratory members of all three laboratories are being re-trained.

These three laboratories have federal funding sources and non-federal funding sources; therefore, it is not possible to determine the specific funding source associated with this incident. This incident is being reported in the event that this animal was supported by federal funds.

A final report from the IO will be submitted shortly.

Thanks,

(b) (6)

