



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

June 6, 2023

Re: Animal Welfare Assurance
A3079-01 [OLAW Case 4M]

Dr. Dawn A. Bonnell
Vice Provost for Research
University of Pennsylvania
1 College Hall, (b) (4)
Philadelphia, PA 19104

Dear Dr. Bonnell,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 26, 2023 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Pennsylvania. The letter had not been preceded by a preliminary report to OLAW.

According to the information provided and through telecommunication, OLAW understands that the University of Pennsylvania IACUC determined that an instance of noncompliance occurred with respect to failure to follow the institutional euthanasia policy. In late 2022, a research staff member euthanized several groups of mice in the laboratory. While the euthanasia chamber was not overcrowded, the staff member combined mice from different cages each time. In total, 140 mice were euthanized.

The corrective actions consisted of both the Scientist Training Service Group and the PI counseling and retraining all the research staff members in the lab.

Based on the information provided, OLAW understands that the University of Pennsylvania has implemented appropriate measures to address the noncompliance. We appreciate having been informed of these matters and find no cause for further action by this Office.

Sincerely,

Megan L. Clark -S⁵
Digitally signed by Megan L. Clark
Date: 2023.06.06 13:39:16 -04'00'

Megan Clark DVM, MPH, CPIA
Animal Welfare Program Specialist
Office of Laboratory Animal Welfare

cc: IACUC contact



Office of the Vice Provost for Research

Brent Morse DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

University of Pennsylvania
A3079-01

May 26, 2023

Dr. Morse,

The University of Pennsylvania would like to report that the euthanasia procedure for a group of mice was inconsistent with the IACUC euthanasia guidelines. Although a researcher used the CO2 euthanasia equipment correctly, home cages of mice were combined for the euthanasia event. A total of one hundred and forty mice were euthanized in this manner. The Principal Investigator and the Office of Animal Welfare Compliance Liaison counseled the research staff members on the issue and arranged for retraining on rodent euthanasia procedures by the Scientist Training Service Group. The grant funding for the study was by 1R01DE031206-01.

Sincerely yours,

(b) (6)

Dawn Bonnell, PhD
Senior Vice Provost for Research
Trustee Professor of Materials Science and Engineering
Institutional Official

CC: IACUC Chair, University of Pennsylvania
Attending Veterinarian and ULAR Director, University of Pennsylvania
Director of Animal Welfare, University of Pennsylvania

From: OLAW Division of Compliance Oversight (NIH/OD)
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: UPenn reporting a policy noncompliance
Date: Tuesday, May 30, 2023 3:41:43 PM

Good afternoon (b) (6)

Thank you for sending us this report, assigned OLAW case number A3079-4M, and we will send an official response soon.

Best,
Devora

Devora McCoy, BS, MBA ([pronunciation](#))
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: devora.mccoy@nih.gov

From: (b) (6)
Sent: Tuesday, May 30, 2023 10:51 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] UPenn reporting a policy noncompliance

Hi
The University of Pennsylvania is submitting a report regarding a policy noncompliance to OLAW.
Please contact me if you have any questions.

Regards,
(b) (6)

(b) (6)