



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

June 23, 2023

Re: Animal Welfare Assurance
A3079-01 [OLAW Case 4N]

Dawn Bonnell, Ph.D.
Vice Provost for Research
University of Pennsylvania
1 College Hall, (b) (4)
Philadelphia, PA 19104

Dear Dr. Bonnell,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your June 20, 2023 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Pennsylvania. According to the information provided, OLAW understands that tail blood collection and tail tissue snips were performed on six rats although these activities had not been described in the approved protocol and were not performed in accordance with institutional guidelines.

The corrective actions consisted of having the animals examined by the veterinarians who found the rats to be in good condition. The Principal Investigator and staff were counseled and the protocol was amended.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



A3079-4N

Office of the Vice Provost for Research

Brent Morse DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

University of Pennsylvania
A3079-01

June 20, 2023

Dr. Morse,

The University of Pennsylvania would like to report a noncompliant event involving six rats. The Principal Investigator (PI) self-reported to IACUC Compliance Subcommittee that his laboratory inadvertently performed two types of procedures that were not on his protocol. The procedures were approved for another species on the protocol, which contributed to the confusion. The procedures were tail blood collection and tail tissue sampling for genotyping. Additionally, the procedures were not performed in a manner consistent with the IACUC guidelines. The veterinary support group examined the rats, and no health issues were noted. The PI and laboratory were counseled on the issue by the Office of Animal Welfare Compliance Liaison team, and an amendment to the protocol was submitted to the IACUC.

The grant funding for the study was by DK 128443

Sincerely yours,

(b) (6)

Dawn Bonnell, PhD
Senior Vice Provost for Research
Trustee Professor of Materials Science and Engineering
Institutional Official

CC: IACUC Chair, University of Pennsylvania
Attending Veterinarian and ULAR Executive Director, University of Pennsylvania
Director of Animal Welfare, University of Pennsylvania

McCoy, Devora (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, June 22, 2023 1:55 PM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: University of Pennsylvania

Good afternoon (b) (6)

Thank you for sending us this report, assigned OLAW case number **A3079-4N**, and we will send an official response soon.

Best,
Devora

Devora McCoy, BS, MBA ([pronunciation](#))
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: devora.mccoy@nih.gov

From: (b) (6)
Sent: Thursday, June 22, 2023 12:19 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] University of Pennsylvania

Attached is a letter from the IO of the University of Pennsylvania regarding a non-compliance issue.
Regards,

(b) (6)