



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, MD 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 480-3387

August 3, 2023

Re: Animal Welfare Assurance
A3079-01 [OLAW Case 40]

Dawn Bonnell, Ph.D.
Vice Provost for Research
University of Pennsylvania
1 College Hall, (b) (4)
Philadelphia, PA 19104

Dear Dr. Bonnell,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your July 26, 2023 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Pennsylvania. This letter had not been preceded by a preliminary report to OLAW.

According to the information provided, this office understands that the University of Pennsylvania Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to conditions that jeopardize the health/well-being of animals resulting in harm/death to animals. The final report states a researcher identified a mouse on study with a probable bite wound on the leg. The individual decided to euthanize the animal, and the mouse was set aside in a cage for euthanasia. However, the researcher forgot about the mouse, and the animal was discovered the following day by an Animal Care Technician. The lab was notified, and the animal was promptly euthanized.

The report states the animal did not receive appropriate attention nor did the animal have food or water available overnight. The IACUC's Compliance Subcommittee was contacted by the researcher regarding the incident. The researcher agreed to change their practice of either euthanizing a mouse immediately when identified or placing food and water in a temporary holding cage. Per telecommunications, it is understood the subcommittee determined the corrective actions to be acceptable.

It is noted that this study is supported by PHS funding. Based on its assessment of this explanation, OLAW understands that the University of Pennsylvania has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy.

We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jacquelyn T.
Tubbs-S

Digitally signed by Jacquelyn T.
Tubbs-S
Date: 2023.08.03 14:54:43 -04'00'

Jacquelyn Tubbs, DVM, DACLAM
Senior Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact

A3079-40



Brent Morse DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

University of Pennsylvania
A3079-01

July 26, 2023

Dr. Morse,

The University of Pennsylvania would like to report a noncompliance issue. A researcher was working with study mice, and he identified a mouse with a probable leg bite wound. The researcher decided to euthanize the mouse. The mouse was set aside in a cage, but the researcher forgot to euthanize the mouse. The mouse was discovered early the following day by an Animal Care Technician. The laboratory was contacted, and the mouse was promptly humanely euthanized. However, the mouse did not receive proper attention nor have food or water available overnight. The researcher contacted the IACUC's Compliance Subcommittee about the event. He agreed to change his practice of either euthanizing a mouse immediately when identified or placing food and water in a temporary holding cage.

The IACUC approved the study, and the grant funding was 2R35GM122475-06.

Sincerely yours,

(b) (6)

Dawn Bonnell, PhD
Senior Vice Provost for Research
Trustee Professor of Materials Science and Engineering
Institutional Official

CC: IACUC Chair, University of Pennsylvania
Attending Veterinarian and ULAR Director, University of Pennsylvania
Senior Director, Office of Animal Welfare, University of Pennsylvania

McCoy, Devora (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, August 1, 2023 2:29 PM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Noncompliance issues at Penn.

Good afternoon (b) (6)

Thank you for sending us these two reports, 7/26/23 assigned OLAW case number **A3079-4O** and 7/27/23 assigned OLAW case number **A3079-4P**. We will review these two reports and send an official response soon.

Best,
Devora

Devora McCoy, BS, MBA ([pronunciation](#))
Program Analyst
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

Phone: 301-435-2390
Email: devora.mccoy@nih.gov

From: (b) (6)
Sent: Tuesday, August 1, 2023 11:04 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: [EXTERNAL] Noncompliance issues at Penn.

Attached are two separate and unrelated noncompliance issues at Penn. Please contact me if you have any questions.
Regards,

(b) (6)