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**University of Massachusetts
Amherst**

IACUC office
Mass Venture Center, Room 217
100 Venture Way
Hadley, MA 01035

**Office of the Vice Chancellor for Research
& Engagement**

voice: 413.545.0668
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June 13, 2018

Dr. Axel Wolff, D.V.M.
Office of the Director
Office of Laboratory Animal Welfare
National Institutes of Health
RKL 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Re: PHS Assurance # D16-00337 (A3551-01)

Dear Dr. Wolff,

In compliance with PHS policy, I am writing to provide you with this **Final Report** regarding the matter of non-compliance first reported to you via telephone by (b) (6) on June 13, 2018. The report pertained to research funded by NIH award # 5 R01 NS082179-05, NSF award # IOS 1354906 and covered by IACUC approved protocol # 2017-0014.

A meeting took place with the Principal Investigator (PI), Research Compliance Specialist, Animal Care Director/Attending Veterinarian and Animal Care manager of the University of Massachusetts Amherst to review facts around this matter. The following is a summary:

- During routine inspection of animal room on Sunday, 6/10/18 animal care tech found a cage containing 8 dead Zebra Finch. The animals did not have access to food.
- In this room responsibilities are shared between the lab personnel and the ACS (Animal Care Services) staff.
- The feed hopper was removed from the cage either Friday afternoon, 6/8/18 or Saturday morning 6/9/18.

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Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, June 19, 2018 12:50 PM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: PHS Assurance D16-00337 report 1 2018

Thank you for this report, (b) (6) We will send a response soon.
Axel Wolff

From: (b) (6)@research.umass.edu]
Sent: Tuesday, June 19, 2018 12:00 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Vice Chancellor for Research and Engagement <vcre@umass.edu>; (b) (6)@research.umass.edu>;
jmager@vasci.umass.edu; (b) (6)@research.umass.edu>
Subject: PHS Assurance D16-00337 report 1 2018

Dear Dr. Wolff,

Pursuant to the preliminary phone report on June 13, 2018, please find attached the final report from University of Massachusetts.

Regards,

(b) (6)





Initial Report of Noncompliance

By: aw

Date: 6/13/18

Time: 1:20

Name of Person reporting: (b) (6)

Telephone #: (b) (6)

Fax #:

Email:

Name of Institution: U of Massachusetts Amherst

Assurance number: A3551

Did incident involve PHS funded activity? YES, + NSF

Funding component: _____

Was funding component contacted (if necessary): _____

What happened?

8 birds found dead, no feed, Lab removed feed to change paper, Bird also escaped.
Species involved: Zebra finch
Personnel involved: animal care staff didn't notice no feed.
Dates and times:
Animal deaths: 8.

Projected plan and schedule for correction/prevention (if known): _____

Modify feed hoppers, add another feed source
Conduct caretakers. Outline responsibilities

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # _____