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OMB APPROVED  
0579-0036  
Exp.: 10/31/2018

This report is required by law (7 U.S.C. 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

Interagency Report Control  
No. 0180-DOA-AN

Fiscal Year 2016

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)

1. REGISTRATION NUMBER  
21-R-0223

2. HEADQUARTERS RESEARCH FACILITY (Name, address, and telephone number as registered with USDA, include ZIP Code)

NEW YORK STATE PSYCHIATRIC INSTITUTE  
1051 RIVERSIDE DRIVE

NEW YORK, NY 10032

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets, if necessary.)

FACILITY LOCATIONS (Sites)

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets, if necessary, or use APHIS FORM 7023A.)

| A.<br><br>Animals Covered By<br>The Animal<br>Welfare Regulations | B.<br><br>Number of animals<br>being bred,<br>conditioned, or held<br>for use in teaching,<br>testing, experiments,<br>research, or surgery<br>but not yet used for<br>such purposes. | C.<br><br>Number of animals<br>upon which<br>teaching, research,<br>experiments, or<br>tests were<br>conducted involving<br>no pain, distress, or<br>use of pain-relieving<br>drugs. | D.<br><br>Number of animals upon<br>which experiments,<br>teaching, research,<br>surgery, or tests were<br>conducted involving<br>accompanying pain or<br>distress to the animals<br>and for which<br>appropriate anesthetic,<br>analgesic, or<br>tranquilizing drugs were<br>used. | E.<br><br>Number of animals upon which teaching,<br>experiments, research, surgery, or tests were<br>conducted involving accompanying pain or<br>distress to the animals and for which the use of<br>appropriate anesthetic, analgesic, or<br>tranquilizing drugs would have adversely<br>affected the procedures, results, or<br>interpretation of the teaching, research,<br>experiments, surgery, or tests. (An explanation<br>of the procedures producing pain or distress on<br>these animals and the reasons such drugs<br>were not used must be attached to this report.) | F.<br><br>TOTAL NUMBER<br>OF ANIMALS<br><br>(Cols. C + D + E) |
|---|---|--|---|--|---|
| 4. Dogs   | 0   | 0  | 0   | 0  | 0   |
| 5. Cats   | 0   | 0  | 0   | 0  | 0   |
| 6. Guinea Pigs  | 0   | 0  | 0   | 0  | 0   |
| 7. Hamsters   | 0   | 0  | 0   | 0  | 0   |
| 8. Rabbits  | 0   | 0  | 0   | 0  | 0   |
| 9. Non-human Primates   | 10  | 62   | 15  | 0  | 77  |
| 10. Sheep   | 0   | 0  | 0   | 0  | 0   |
| 11. Pigs  | 0   | 0  | 0   | 0  | 0   |
| 12. Other Farm Animals  |   |  |   |  |   |
| 13. Other Animals   | 0   | 0  | 22  | 0  | 22  |
|   |   |  |   |  |   |
|   |   |  |   |  |   |
|   |   |  |   |  |   |

ASSURANCE STATEMENTS

- Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- Each principal investigator has considered alternatives to painful procedures.
- This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- The attending veterinarian for this research facility has appropriate authority to ensure the provisions of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer (C.E.O.) or Legally Responsible Institutional Official (L.O.))

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143).

DATE SIGNED  
22-MAY-2017

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0579-0036

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[illegible]

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- 2.) Each principal investigator has considered alternatives to painful procedures.
- 3.) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). **A summary of all such exceptions is attached to this annual report.** In addition to identifying the IACUC approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4.) The attending veterinarian for this research facility has appropriate authority to ensure the provisions of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

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DATE SIGNED \_\_\_\_\_

22-MAY-2017