



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive – MSC 7982  
Bethesda, Maryland 20892-7982  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

September 28, 2017

Re: Animal Welfare Assurance  
#3348-01 (OLAW Case 1S)

Dr. Thomas F. Murray  
Associate Vice Provost for Research  
And Scholarship  
Creighton University  
2500 California Plaza  
Omaha, NE 68178

Dear Dr. Murray,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your September 21, 2017 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Creighton University, following up on an initial telephone notification on September 14, 2017.

According to the information provided, OLAW understands that the cart which was transporting mice to a testing room bumped into the rough transition of the flooring and resulted in the demise of one mouse as its stacked cage accidentally fell to the ground. The associated animal activity in question was not PHS funded.

The corrective actions consisted of research personnel exercising greater caution when transporting animals, prohibiting the stacking of cages on transport carts and using carts that are equipped with sides exclusively when transporting animals.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate, correct and prevent recurrence of the noncompliance. Your prompt and thorough resolution of this matter is commendable and consistent with the PHS Policy philosophy of monitored self-regulation. We appreciate having been informed of this matter and find no cause for further action by this Office.

Sincerely,

Neera V. Gopee, DVM, PhD, DACLAM, DABT  
Animal Welfare Program Specialist  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Chair

September 21, 2017

Dr. Brent Morse  
Office of Laboratory Animal Welfare  
National Institutes of Health  
Division of Compliance Oversight  
6705 Rockledge Drive  
RKL1, Suite 1050, MSC 7982  
Bethesda, Maryland 20892-7982

Re: Creighton's Institutional Animal Care and Use Committee (IACUC) Animal Incident Report, Assurance Number A3348-01/D16-00226

Dear Dr. Morse:

Creighton University is submitting a finalized report regarding an animal welfare issue that was reported to the IACUC office on August 3, 2017. The University's Research Compliance Auditor for the Office of Research Compliance made the initial report to you via phone on September 14, 2017.

**Background Information/Animal Welfare Issue:**

On July 31, 2017, during transportation from an animal room to a testing room, one mouse died. A cart used to transport animal cages was being wheeled down a hallway that contains a rough transition from one floor type to another. During this trip the cart bumped the transition and lurched. As a result, one of the animal cages that was stacked on top of others was jostled and inadvertently fell from the top of the cart. In the incident report submitted to the IACUC, one mouse was observed to be dead immediately following impact.

**Corrective Action Plan Taken:**

The incident report submitted to the IACUC included the PI's proposed corrective plan of action:

- Greater caution will be used when transporting animals between the traversing floors

The IACUC agreed with the plan of action provided by the PI; however, they also requested the PI's staff follow additional directives to ensure future compliance:

- Research personnel will not stack cages on transporting cart; if necessary, multiple trips will be taken
- Research personnel will only use carts with sides for transportation to ensure cages cannot slide off

**Reporting:**

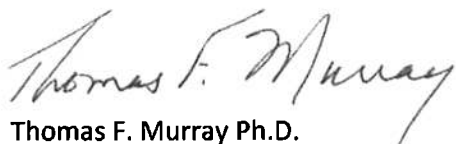
The following organization will be contacted regarding this noncompliance:

- The Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC) will be informed of this noncompliance.

No additional reporting is required to other agencies or organizations as this protocol was not Public Health Service (PHS) funded at the time the incident occurred.

Please feel free to contact Dr. Thomas F. Murray, Provost and Institutional Official, at [Phone Number] or [Secondary Individual] Director of Research Compliance, at [Phone Number] if you have any further questions.

Sincerely,



Thomas F. Murray Ph.D.  
Institutional Official  
Provost

[Redacted Signature]  
Secondary Individual

Director of Research Compliance

CC: IACUC Chair  
Animal Resource Facility Director  
Research Compliance Office

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Friday, September 22, 2017 1:45 PM  
**To:** Secondary Individual  
**Subject:** RE: Creighton University Reportable Incident - Assurance #A3348-01

Thank you Secondary Individual We'll reply soon.

Axel Wolff

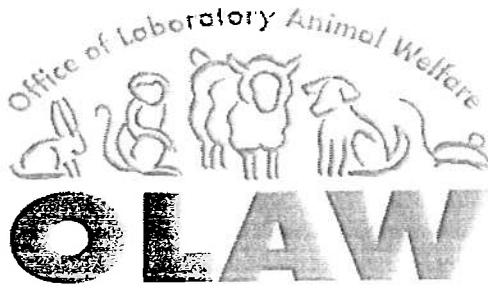
**From:** Secondary Individual [mailto:Secondary Individual@creighton.edu]  
**Sent:** Friday, September 22, 2017 12:13 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** Murray, Thomas F <tfmurray@creighton.edu>; Secondary Individual@creighton.edu; Secondary Individual@creighton.edu; Secondary Individual@creighton.edu; Secondary Individual@creighton.edu  
**Subject:** Creighton University Reportable Incident - Assurance #A3348-01

Attached please find the written incident report as follow-up to the initial report made via phone on September 14, 2017.

Best Regards,

Secondary Individual  
Research Compliance Auditor  
Creighton University | Phone Number  
Secondary Individual@creighton.edu





## Initial Report of Noncompliance

By: *Bm*

Date: 9/14/17

Time: 2:00

Name of Person reporting

Secondary Individual

Telephone #:

Phone Number

Fax #:

Email:

Name of Institution:

Creighton Univ.

Assurance number:

A3348

Did incident involve PHS funded activity? No

Funding component: \_\_\_\_\_

Was funding component contacted (if necessary): \_\_\_\_\_

What happened?

Mouse cage fell from cart while transporting from animal room to lab. One mouse died.

Species involved: Maus

Personnel involved: Research personnel

Dates and times: 7/31/17

Animal deaths:

Yes, one mouse

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY

Case # \_\_\_\_\_