



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

December 15, 2017

Re: Animal Welfare Assurance
#A3348-01 (OLAW Case 1T)

Thomas F. Murray, Ph.D.
Interim Provost
Creighton University
2500 California Plaza
Omaha, NE 68178

Dear Dr. Murray,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 12, 2017 letter regarding noncompliance with the Public Health Service (PHS) Policy on Humane Care and Use of Laboratory Animals (Policy) at Creighton University. This letter was preceded by a preliminary report to OLAW via telephone on November 8, 2017. According to the information supplied, OLAW understands that on July 21, 2017 Animal Research Facility (ARF) staff discovered two lethargic mice without food present in their cage during cage change. Moistened food and Transgel were immediately provided but one of the mice died later that day. The letter indicated that the associated animal activities were not PHS funded.

The corrective actions included the principal investigator and ARF manager meeting with their respective staff to reiterate the importance of checking for sufficient food and water in all cages. The IACUC also requested the ARF and research staff complete separate checklists to verify food and water following any weaning or cage changes for the next six months.

Based on the information provided, OLAW believes that the described corrective actions are appropriate to address these incidents and reduce the likelihood of recurrence of these noncompliances. OLAW assumes that there will be follow-up actions taken to verify that the checklists are completed by ARF and research staff. Please contact our office if the corrective actions are not carried out as described. Although the involved animal activities were not PHS funded, the application of the standards of the PHS Policy across the animal care and use program reduces any potential appearance of a double standard. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

Jane Na, DVM
Veterinary Medical Officer
Office of Laboratory Animal Welfare

cc: IACUC Chair
Research Compliance Auditor

Creighton UNIVERSITY

Office of the Provost
Research Compliance

December 12, 2017

Dr. Brent Morse
Office of Laboratory Animal Welfare
National Institutes of Health
Division of Compliance Oversight
6705 Rockledge Drive
RKL1, Suite 1050, MSC 7982
Bethesda, Maryland 20892-7982

Re: Creighton's Institutional Animal Care and Use Committee (IACUC) Animal Incident Report, Assurance Number A3348-01/D16-00226

Dear Dr. Morse:

Creighton University is submitting a finalized report regarding an animal welfare issue that was reported to the IACUC office on July 21, 2017. The University's Research Compliance Auditor for the Office of Research Compliance made the initial report to you via phone on November 8, 2017.

Background Information/Animal Welfare Issue:

On July 21, 2017, during cage changes, two lethargic mice were discovered without food by a member of the Animal Research Facility (ARF) staff. Although moistened food and transgel were immediately placed in the cage, one mouse died later that day. In the incident report submitted to the IACUC, no food was present in the feed hopper at the time of the cage change but food residue was noted on the inside wall of the wire bar lid; suggesting past presence of food. In the incident report ARF personnel noted the water bottle appeared to be functioning properly. Through further investigation it was found that both ARF and research staff had been present in the room following the last cage change, although neither discovered this oversight.

Corrective Action Plan Taken:

The incident report submitted to the IACUC included the following corrective plan of action:

- The PI and the ARF manager met with their respective staff members to discuss the seriousness of this oversight and reiterated importance of checking for sufficient food and water in all cages.

The IACUC agreed with the plan of action provided by the PI; however, they also requested the ARF and research staff follow additional directives to ensure future compliance:

- The research staff and the ARF staff will both complete similar but separate checklists to verify food and water following any weaning or cage changes for the next 6 months.

Reporting:

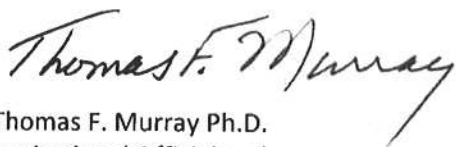
The following organization will be contacted regarding this noncompliance:

- The Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC) will be informed of this noncompliance.

No additional reporting is required to other agencies or organizations as this protocol was not Public Health Service (PHS) funded at the time the incident occurred.

Please feel free to contact Dr. Thomas F. Murray, Provost and Institutional Official, at [REDACTED] or [REDACTED] Director of Research Compliance, at [REDACTED] if you have any further questions.

Sincerely,



Thomas F. Murray Ph.D.
Institutional Official and
Provost



Director of Research Compliance

CC: IACUC Chair
Animal Resource Facility Director
Research Compliance Office

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, December 13, 2017 2:59 PM
To: [REDACTED] OLAW Division of Compliance Oversight (NIH/OD)
Cc: Murray, Thomas F; [REDACTED]
Subject: RE: Creighton University Reportable Incident 12.2017

Thank you for this report. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: [REDACTED] [mailto:[REDACTED]@creighton.edu]
Sent: Wednesday, December 13, 2017 11:03 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Murray, Thomas F <tfmurray@creighton.edu>; [REDACTED] <[REDACTED]@creighton.edu>; [REDACTED] <[REDACTED]@creighton.edu>; [REDACTED] <[REDACTED]@creighton.edu>
Subject: Creighton University Reportable Incident 12.2017

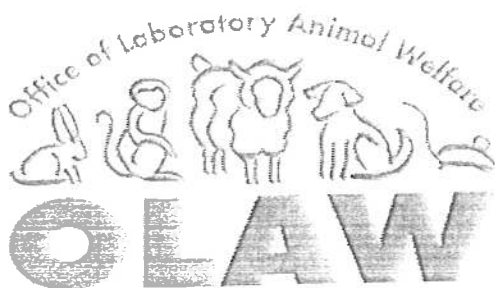
Hello,

Attached please find the written incident report as follow-up to the initial report made via phone on November 8, 2017.

Best Regards,

[REDACTED]
Research Compliance Auditor
Creighton University | [REDACTED]
[REDACTED]@creighton.edu





Initial Report of Noncompliance

By: *BM*

Date: 11/8/17

Time: 11:30

Name of Person reporting: [REDACTED]

Telephone #: [REDACTED]

Fax #: [REDACTED]

Email: [REDACTED]

Name of Institution: Creighton U.Assurance number: A3348Did incident involve PHS funded activity? No

Funding component: _____

Was funding component contacted (if necessary): _____

What happened? 2 mice found w/o food in cage.
One later died despite supportive care.

Species involved: MousePersonnel involved: Caretakers + research staff

Dates and times: _____

Animal deaths: _____

Projected plan and schedule for correction/prevention (if known): _____

Counseling of Am. Care + researchers.

Projected submission to OLAW of final report from Institutional Official: _____

OFFICE USE ONLY

Case # _____