

Every research facility, exhibitor, carrier, and intermediate handler not required to be licensed under Section 3 of the Animal Welfare Act, shall register with the USDA (7 USC 2136). This application provides information for such registration.

OMB No. 0579-0036
FORM APPROVED

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE
APPLICATION FOR REGISTRATION
(TYPE OR PRINT)

REGISTRATION UPDATE

USDA USE ONLY

Applicant should send completed form to this address.
USDA APHIS ANIMAL CARE
WESTERN
2150 Centre Ave.
Building B, Mailstop 3W11
Fort Collins, CO 80526-8117
(970) 494-7478

AVB

CERTIFICATE NO./CUST NO:
47-R-0018
1551

RENEWAL DATE
10-Feb-2019

1. REGISTRANT (Name and permanent mailing address, including Zip Code)

University Of Nebraska Medical Center
985810 Nebraska Medical Center
Omaha, NE 68198 5810

COUNTY: Douglas TELEPHONE (402) 559 - 4034

3. (A) PREVIOUS USDA REGISTRATION NUMBER (IF ANY)

NA

2. LOCATION (S) OF BUSINESS, EXHIBITION SITE(S), OR RESEARCH FACILITIES (Use additional sheets if necessary)

985810 Nebraska Medical Center
Omaha, NE 68198
County: Douglas

4. (B) ACTIVE USDA CERTIFICATE NUMBER(S) IN WHICH YOU HAVE AN INTEREST:

NA

5. ARE YOU USING FEDERAL FUNDS TO CARRY OUT RESEARCH, TESTS, OR EXPERIMENTS

☒ Yes ☐ No

6. TYPE OF REGISTRATION:

☐ Class E - Exhibitor ☐ Class H - Intermediate Handler
☐ Class R - Research Facility ☐ Class T - Carrier

7. FEDERAL FUND TYPES:

☒ Award ☐ Contract ☐ Grant ☐ Loan

8. TYPE OF ORGANIZATION:

☐ Partnership ☐ Corporation ☐ Individual
☐ Other (Specify) University Medical Center

9. IF INDIVIDUAL IDENTIFY EACH OWNER, IF PARTNERSHIP IDENTIFY EACH PARTNER OR OFFICER, IF CORPORATION, IDENTIFY PRINCIPAL OFFICERS FOR RESEARCH FACILITIES INCLUDE THE INSTITUTIONAL OFFICIAL (Use separate sheet if needed)

A. NAME	B. TITLE	C. ADDRESS (full address, including ZIP Code)
(b) (6), (b) (7)(C)	(b) (6), (b) (7)(C)	(b) (6), (b) (7)(C)
	(b) (6), (b) (7)(C)	Omaha NE (b) (6), (b) (7)(C)

CERTIFICATION

I hereby register as a Research Facility, Exhibitor, Carrier, or Intermediate Handler under the Animal Welfare Act, 7 U.S.C. 2131 et seq. and I certify that the information provided herein is true and correct to the best of my knowledge. I hereby acknowledge receipt of and agree to comply with all the regulations and standards contained in 9 CFR, Subpart A, parts 1, 2 and 3. I certify that all listed persons are 18 years of age or older.

(b) (6), (b) (7)(C)

11. NAME AND TITLE (Type or Print)

(b) (6), (b) (7)(C)

12. DATE SIGNED

2/25/19

ACKNOWLEDGEMENT OF RECEIPT OF REGULATIONS AND STANDARDS

APHIS FORM 7011
(FEB 2009)

05 MAR 2019



Animal and Plant
Health Inspection
Service

July 24, 2017

Certified Mail Return Receipt:
7017 0530 0000 3209 8179

Animal Care
700 River Road
Pikesville, MD 20737

University of Nebraska Medical Center
985810 Nebraska Medical Center
Omaha, NE 68198
Attn: (b) (6), (b) (7)(C)

Customer No.: 1551
Registration No.: 47-R-0018

RE: Official Warning; Notice of Alleged Violation

Dear Registrant:

Our agency, the Animal and Plant Health Inspection Service, is responsible for enforcing the Animal Welfare Act (7 U.S.C. § 2131 *et seq.*) (AWA), and other agricultural laws that help prevent the spread of animal and plant pests and diseases and ensure the welfare of animals. We have reason to believe that you failed to comply with the regulations issued under the AWA, as described in the enclosed attachments. Noncompliance with the regulations can have serious and costly impacts that are detrimental to animals and the public interest. You should take prompt action to correct noncompliances and establish procedures so they do not recur.

Please know that after providing you with an opportunity for a hearing, our agency may pursue civil penalties and other sanctions for each alleged violation of the AWA and regulations. Although we have authority to pursue penalties for the allegations in the attachment, we have decided not to do so in this instance, so long as you comply with the AWA and regulations in the future.

If you have any questions concerning this letter, please contact 970-494-7478.

Sincerely,

Gerald Rushin
Assistant Deputy Administrator
Animal Care

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

USDA
OFFICIAL WARNING
NOTICE OF ALLEGED VIOLATION

CASE NUMBER:
NE170009

VIOLATOR:
University of Nebraska Medical Center

Customer# 1551
Registrant # 47-R-0018

ADDRESS (City, State):
Omaha, NE

The Animal and Plant Health Inspection Service (APHIS) has evidence that on or about June 14, 2016, you failed to comply with the regulations issued under the Animal Welfare Act (7 U.S.C. § 2131 *et seq.*) (AWA), as documented in the attached inspection report(s).

APHIS published Federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to animals and the public interest, APHIS is providing you with this Official Warning. This Official Warning is not to be construed as a final agency action or an adjudicated finding of a violation. If APHIS obtains evidence of any violation of these Federal regulations in the future, APHIS may pursue civil penalties and other sanctions for these alleged violations and for any future violations. If you have any questions concerning this Official Warning, please contact the APHIS office telephone number listed in this notice.

APHIS OFFICIAL (Name):
Gerald Rushin

OFFICE ADDRESS:
4700 River Road
Riverdale, MD 30737

APHIS OFFICIAL (TITLE):
Assistant Deputy Administrator
Animal Care

DATE ISSUED:	TELEPHONE NO:
July 24, 2017	(970) 494-7478

CERTIFIED MAIL RECEIPT NO:
7017 0530 0000 3209 8179



Inspection Report

University Of Nebraska Medical Center
985810 Nebraska Medical Center
Omaha, NE 68198

Customer ID: **1551**

Certificate: **47-R-0018**

Site: 001

NEBRASKA MEDICAL CENTER

Type: ROUTINE INSPECTION

Date: 25-SEP-2017

No non-compliant items identified during this inspection.

This inspection and exit interview were conducted with facility representatives.

Prepared By:

UNCK AUTUMN, D V M USDA, APHIS, Animal Care

Date:
25-SEP-2017

Title: VETERINARY MEDICAL OFFICER 6118

Received By:

(b) (6), (b) (7)(C)

Obtained by Rise for Animals. Uploaded 07/07/2020

Title: VIA EMAIL
19-04271_000004

Date:
26-SEP-2017



Cust No	Cert No	Site	Site Name	Inspection
1551	47-R-0018	001	NEBRASKA MEDICAL CENTER	25-SEP-17

Count	Species
000002	DOMESTIC PIG / POTBELLY PIG / MICRO PIG
000012	SYRIAN / GOLDEN HAMSTER (COMMON PET/RESEARCH TYPE)
000013	DOMESTIC RABBIT / EUROPEAN RABBIT
000048	CRAB-EATING MACAQUE / CYNOMOLGUS MONKEY
000075	Total

*
Research Facility Protocol Selection Worksheet

Legal Name : University of Nebraska Medical Center

Customer Number: 1551

Certificate Number: 47-R-0018

Inspection Date: Sept 25, 2017

Site Number: 001

Inspector: Unck/Maginnis

Reasons Protocols Were Selected for Review :	How Many Protocols Were Selected
1. Protocols identified during inspection of concern (select all)	0
2. Column E protocols (select all)	0
3. Protocols with IACUC-approved exemptions/exceptions (select all)	6
4. Protocols cited as noncompliant and not corrected during the last inspection (select all)	0
5. Additional Protocols Selected: a. If <5 remaining protocols, select all remaining: b. If >5 remaining protocols, select 5 additional protocols: 1) Protocol for each regulated species and/or, 2) Protocols involving high risk procedures (see Chapter 7, Animal Welfare Inspection Guide for guidance):	5
Total Protocols Selected and Reviewed	11

*Note: Protocol selection guidance applies to protocols which have been initially approved, or have had significant changes approved, since the last inspection. For protocols reviewed by an Animal Care Veterinary Medical Officer within the last year, professional judgment should be used in determining whether another review is necessary.

From: (b) (6), (b) (7)(C)
To: Unck, Autumn M - APHIS
Subject: RE: **USDA Inspection Report**
Date: Tuesday, September 26, 2017 7:25:22 AM

Autumn,

I received the report and thanks for your professionalism, expertise and collegiality!

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

University of Nebraska Medical Center
985810 Nebraska Medical Center | Omaha, NE 68198-5810

W (b) (6), (b) (7)(C)

F

(b) (6), (b) (7)(C)

From: Unck, Autumn M - APHIS [mailto:Autumn.M.Unck@aphis.usda.gov]

Sent: Monday, September 25, 2017 7:53 PM

To: (b) (6), (b) (7)(C)@unmc.edu>

Subject: **USDA Inspection Report**

CAUTION: This email is not from a UNMC or Nebraska Medicine source. Only click links or open attachments you know are safe.

The inspection report is attached to this email.

A response is requested to this email.

Your reply will act as your signature and indicate you are able to open and view the report.

If you have any questions or comments, please do not hesitate to contact me.

Thank you for your time and cooperation during this inspection,
Autumn

Autumn Unck, DVM
Veterinary Medical Officer – Nebraska
USDA APHIS Animal Care
2150 Centre Ave.
Bldg B, #3W11
Fort Collins, CO 80526

402-679-4283

Autumn.M.Unck@aphis.usda.gov

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Inspection Report

University Of Nebraska Medical Center
985810 Nebraska Medical Center
Omaha, NE 68198

Customer ID: **1551**

Certificate: **47-R-0018**

Site: 001

NEBRASKA MEDICAL CENTER

Type: ROUTINE INSPECTION

Date: 18-APR-2018

No non-compliant items identified during this inspection.

This inspection and exit interview were conducted with facility representatives, VMO Gwen McGinnis and VMO Autumn Unck.

Additional Inspectors

Maginnis Gwendalyn, Veterinary Medical Officer

Prepared By:

UNCK AUTUMN, D V M USDA, APHIS, Animal Care

Date:
24-APR-2018

Title: VETERINARY MEDICAL OFFICER 6118

Received By:

(b) (6), (b) (7)(C)

Obtained by Rise for Animals. Uploaded 07/07/2020

Title: (b) (6), (b) (7)(C)
19-04271_000009

Date:
24-APR-2018



Species Inspected

Cust No	Cert No	Site	Site Name	Inspection
1551	47-R-0018	001	NEBRASKA MEDICAL CENTER	18-APR-18

Count	Scientific Name	Common Name
000022	<i>Macaca fascicularis</i>	CRAB-EATING MACAQUE / CYNOMOLGUS MONKEY
000068	<i>Macaca mulatta</i>	RHESUS MACAQUE
000014	<i>Mesocricetus auratus</i>	SYRIAN / GOLDEN HAMSTER (COMMON PET/RESEARCH TYPE)
000010	<i>Oryctolagus cuniculus</i>	DOMESTIC RABBIT / EUROPEAN RABBIT
000002	<i>Ovis aries aries</i>	SHEEP INCLUDING ALL DOMESTIC BREEDS
000007	<i>Sus scrofa domestica</i>	DOMESTIC PIG / POTBELLY PIG / MICRO PIG
000123	Total	



Inspection Report

University Of Nebraska Medical Center
985810 Nebraska Medical Center
Omaha, NE 68198

Customer ID: **1551**

Certificate: **47-R-0018**

Site: 001

NEBRASKA MEDICAL CENTER

Type: FOCUSED INSPECTION

Date: 09-APR-2019

No non-compliant items identified during this inspection.

This inspection and exit interview were conducted with facility representatives.

Prepared By:

UNCK AUTUMN, D V M USDA, APHIS, Animal Care

Date:
10-APR-2019

Title: VETERINARY MEDICAL OFFICER 6118

Received By:

FACILITY REPRESENTATIVE

Obtained by Rise for Animals. Uploaded 07/07/2020

Title: SENT VIA EMAIL
19-04271_000011

Date:
10-APR-2019



Species Inspected

Cust No	Cert No	Site	Site Name	Inspection
1551	47-R-0018	001	NEBRASKA MEDICAL CENTER	09-APR-19

Count	Scientific Name	Common Name
000020	<i>Macaca fascicularis</i>	CRAB-EATING MACAQUE / CYNOMOLGUS MONKEY
000054	<i>Macaca mulatta</i>	RHESUS MACAQUE
000009	<i>Mesocricetus auratus</i>	SYRIAN / GOLDEN HAMSTER (COMMON PET/RESEARCH TYPE)
000013	<i>Oryctolagus cuniculus</i>	DOMESTIC RABBIT / EUROPEAN RABBIT
000005	<i>Sus scrofa domestica</i>	DOMESTIC PIG / POTBELLY PIG / MICRO PIG
000101	Total	

Research Facility Protocol Selection Worksheet^{*}

Legal Name: University of Nebraska Medical Center

Customer Number: 1551

Certificate Number: 47-R-0018

Site Number: 001

Inspection Date: April 9, 2019

Animal Facilities, New Approved Protocols for 2019 and amended protocols

Inspection Type: Routine ☐

Focused ☒ (list areas inspected)

Inspector: Unck

Reasons Protocols Were Selected for Review :	How Many Protocols Were Selected
1. Protocols identified during inspection of concern (select all)	0
2. Column E protocols (select all)	4
3. Protocols with IACUC-approved exemptions/exceptions (select all)	0
4. Protocols cited as noncompliant and not corrected during the last inspection (select all)	0
5. Additional Protocols Selected: a. If <5 remaining protocols, select all remaining: b. If >5 remaining protocols, select 5 additional protocols: 1) Protocol for each regulated species and/or, 2) Protocols involving high risk procedures (see Chapter 7, Animal Welfare Inspection Guide for guidance):	3
Total Protocols Selected and Reviewed	7

***Note:** Protocol selection guidance applies to protocols which have been initially approved, or have had significant changes approved, since the last inspection. For protocols reviewed by an Animal Care Veterinary Medical Officer within the last year, professional judgment should be used in determining whether another review is necessary.

Version 2/11/19

From: (b) (6), (b) (7)(C)
To: [Unck, Autumn M - APHIS](#)
Subject: RE: ***USDA Inspection Report***
Date: Wednesday, April 10, 2019 1:26:22 PM

Dr Unck,

Thanks for the inspection report and census. All looks good!

Best,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

University of Nebraska Medical Center
985810 Nebraska Medical Center | Omaha, NE 68198-5810
W (b) (6), (b) (7)(C)
F (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

From: Unck, Autumn M - APHIS <autumn.m.unck@usda.gov>
Sent: Wednesday, April 10, 2019 11:22 AM
To: (b) (6), (b) (7)(C)@unmc.edu>
Subject: ***USDA Inspection Report***

Non-UNMC email

The inspection report with animal inventory is attached to this email.

A response is requested to this email.

Please respond within five business days.

Your reply will act as your signature and indicate you are able to open and view the report.

If you have any questions or comments, please do not hesitate to contact me.

Or you can print the report, manually sign it, scan it and return the report to me via email.

Thank you for your time and cooperation during this inspection,
Autumn

Autumn Unck, DVM
Veterinary Medical Officer – Nebraska
USDA APHIS Animal Care
2150 Centre Ave.

Bldg B, #3W11
Fort Collins, CO 80526

402-679-4283
Autumn.M.Unck@usda.gov

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From: [AC West](#)
To: [Fausch, Emily R - APHIS](#)
Subject: FW: updated file information 47-R-0018
Date: Wednesday, August 16, 2017 9:09:36 AM

Thanks,
KT

From: (b) (6), (b) (7)(C)@unmc.edu]
Sent: Thursday, August 3, 2017 10:19 AM
To: AC West <acwest@aphis.usda.gov>
Subject: updated file information 47-R-0018

To whom it may concern,

This note is to provide an update regarding personnel changes at the University of Nebraska Medical Center – Customer No.: **1551**. Registration No.: **47-R-0018**. The following personnel are currently serving in key roles in our animal care and use program:

Institutional Official

(b) (6), (b) (7)(C)

IACUC Chair

(b) (6), (b) (7)(C)

IACUC Vice Chair

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

Attending Veterinarian

(b) (6), (b) (7)(C)

985810 Nebraska Medical Center | Omaha, NE 68198-5810

(b) (6), (b) (7)(C)

Thanks for updating our information and please let me know if you have any questions.

Best,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

985810 Nebraska Medical Center | Omaha, NE 68198-5810

(b) (6), (b) (7)(C)

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