



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500, MSC 6910  
Bethesda, Maryland 20892-6910  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
6700B Rockledge Drive, Suite 2500  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

November 5, 2019

Re: Animal Welfare Assurance  
#A3262-01 (OLAW Case 3L)

Dr. S. David Kimball  
Institutional Official  
Senior Vice President,  
Research & Economic Development  
Rutgers, State University of New Jersey  
3 Rutgers Plaza  
New Brunswick, NJ 08901

Dear Dr. Kimball,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your October 17, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rutgers University. Your letter supplements the information in the initial report by phone on April 23, 2019. According to the information provided, OLAW understands that on March 25, 2019, the University's Comparative Medicine Resources (CMR) reported that a goat became caught in a feeder and was found dead due to immobilization and subsequent asphyxiation. It was stated that this animal was not supported by PHS funds.

Corrective and preventive actions included evaluating and modifying the feeders to reduce the size of the opening to prevent animals from pushing their head through the opening to feed. Animal concern information signs were also displayed in areas open to the public.

The consideration of this matter by Rutgers University was consistent with the philosophy of institutional self-regulation. Similarly, the actions taken to resolve the issue and prevent reoccurrence were appropriate. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare

cc: IACUC Contact  
Dr. Robert M. Gibbens, USDA, APHIS, AC

October 17, 2019

Brent Morse, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
Rockledge 1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7982

Re: Animal Welfare Assurance Number D16-00169 (A3262-01)

Dear Dr. Morse,

Rutgers, The State University of New Jersey hereby makes the final report for the following incident, reported to OLAW via telephone on April 23, 2019. On March 25, 2019, the University's Comparative Medicine Resources (CMR) reported that a goat was distressed after immobilizing itself in a goat feeder, and eventually lead to the loss of the animal.

The following actions were taken in response to this incident:

- The goat feeders were re-evaluated by the farm manager for use and modifications were made to decrease the size of the feeder opening to prevent animals from pushing their head through to feed. Additionally, animal concern information signs were displayed in areas open to the public to promote communication about animal concerns.
- At its regularly convened meeting on April 17, 2019, the IACUC reviewed and discussed this incident and unanimously voted to report this matter to OLAW. The IACUC also voted to accept the above noted corrective actions.

This research is not funded by PHS.

Please contact me with any questions.

Sincerely,

(b) (6)

S. David Kimball, Ph.D.  
Institutional Official  
Senior Vice President, Research & Economic Development  
Vice President, Innovation & Research Commercialization

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Friday, November 1, 2019 7:17 AM  
**To:** (b) (6)  
**Cc:** OLAW Division of Compliance Oversight (NIH/OD)  
**Subject:** RE: final reports for Rutgers Assurance D16-00169 (A3262-01)

Thank you for these reports, (b) (6) Dr. Morse will send responses soon.

Axel Wolff, M.S., D.V.M.  
Deputy Director, OLAW

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**From:** (b) (6)  
**Sent:** Thursday, October 31, 2019 2:56 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** (b) (6)  
**Subject:** final reports for Rutgers Assurance D16-00169 (A3262-01)

Division of Compliance Oversight,

Attached are six final reports for reportable events under Assurance # D16-00169 (A3262-01).

Please let us know if you have any questions.

Thank you,  
(b) (6)

(b) (6)



**Morse, Brent (NIH/OD) [E]**

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**From:** Morse, Brent (NIH/OD) [E]  
**Sent:** Thursday, October 17, 2019 8:31 AM  
**To:** (b) (6)  
**Subject:** RE: OLAW open compliance cases

Thank you (b) (6) We will await the A3262 cases.

Sincerely, Brent Morse

Brent C. Morse, DVM, DACLAM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

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**From:** (b) (6)  
**Sent:** Wednesday, October 16, 2019 4:55 PM  
**To:** Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>  
**Subject:** RE: OLAW open compliance cases

Dear Dr. Morse,

Thank you for the follow up email on the open cases under both Assurances. We apologize for the delay. The final reports for the cases under Assurance # A3158 have now been sent to the Division of Compliance Oversight email address. The final reports for the cases under Assurance # A3262 will be forthcoming soon.

Please note that I have removed (b) (6), as he is no longer in an IACUC related role at the university.

Thanks,  
(b) (6)

(b) (6)

**From:** Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>  
**Sent:** Wednesday, October 16, 2019 1:48 PM

To: [REDACTED] (b) (6)

Subject: OLAW open compliance cases

Importance: High

Dear [REDACTED] (b) (6)

OLAW has six open cases under Assurance # A3262 and six more open cases under Assurance # A3158. These cases date back to April 2019 thru June 2019. Please submit either final reports, interim reports, or justification for further delay. We want to work with you to finalize these but we also need to show diligence and timeliness in our follow-up. Thank you.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

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## Initial Report of Noncompliance

By: *[Signature]*

Date: 4/23/19

Time: 1:15

Name of Person reporting: (b) (6)

Telephone #:

Fax #:

Email:

Name of Institution: Rutgers U. - New Brunswick  
Assurance number: A3262 Campus

Did incident involve PHS funded activity? No

Funding component: \_\_\_\_\_

Was funding component contacted (if necessary): \_\_\_\_\_

What happened? Farm goat asphyxiated when head caught in feeder. Found down in feeder by farm attendants.

Species involved: Capra hircus

Personnel involved: None

Dates and times: 3/25/19

Animal deaths: Yes

Projected plan and schedule for correction/prevention (if known): \_\_\_\_\_

Reduced size of opening on feeder to prevent head from entering.

Projected submission to OLAW of final report from Institutional Official: \_\_\_\_\_

OFFICE USE ONLY

Case # \_\_\_\_\_