



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

January 6, 2020

Re: Animal Welfare Assurance
#A3262-01 (OLAW Case 3U)

Dr. S. David Kimball
Senior Vice President,
Research & Economic Development
Rutgers, State University of New Jersey
3 Rutgers Plaza
New Brunswick, NJ 08901

Dear Dr. Kimball,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 16, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rutgers, The State University of New Jersey following up on an initial November 20, 2019 notification by telephone.

According to the information provided, this Office understands that Rutgers, The State University of New Jersey Animal Care and Use Committee (ACUC) determined that an instance of noncompliance occurred with respect to: a live rat found in a carcass freezer on July 19, 2019. It was discovered that a researcher had attempted to euthanize the rat via terminal cardiac blood collection under anesthesia but had not performed a secondary method of euthanasia to ensure death. It is noted that this research is not PHS funded. On August 21, 2019 at its regular meeting, the IACUC reviewed and discussed the incident and unanimously voted to report this matter to OLAW and voted to accept the corrective actions noted below. The corrective actions included:

- Appropriate euthanasia of the rat.
- Counseling for the PI and researcher responsible by the IACUC Executive Committee as well as Comparative Medicine Resources (CMR) staff.
- Retraining of the researcher by CMR staff on euthanasia, including the requirement and process of performing a secondary method of euthanasia.
- Clarification in the protocol on the use of a secondary method of euthanasia to ensure death following exsanguination under anesthesia.

Based on its assessment of this explanation, OLAW understands that Rutgers, The State University of New Jersey has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. Although this activity was not PHS funded, the application of the expectations of the PHS Policy across the animal care and use program reduces any potential appearance of a double standard. We appreciate being informed of these matters and find no cause for further action by this Office.

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January 6, 2020
OLAW Case A3262-3U

Sincerely,

(b) (6)

Jacquelyn T. Tubbs, DVM
Veterinary Medical Officer
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Contact

December 16, 2019

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Re: Animal Welfare Assurance Number D16-00169 (A3262-01)

Dear Dr. Morse,

Rutgers, The State University of New Jersey hereby makes the final report for the following incident, reported to OLAW via telephone on November 20, 2019. A live rat was found in a carcass freezer on July 19, 2019. Further investigation indicated that a researcher had attempted to euthanize the rat via terminal cardiac blood collection under anesthesia but had not performed a secondary method of euthanasia to ensure death.

The following actions were taken in response to this incident:

- The rat was euthanized appropriately.
- The PI and researcher responsible were counseled by the IACUC Executive Committee as well as Comparative Medicine Resources (CMR) staff.
- The researcher underwent re-training by CMR staff on euthanasia, including on the requirement and process of performing a secondary method of euthanasia.
- The use of a secondary method of euthanasia to ensure death following exsanguination under anesthesia was clarified in the protocol.
- At its regular meeting on August 21, 2019, the IACUC reviewed and discussed this incident and unanimously voted to report this matter to OLAW. The IACUC also voted to accept the above noted corrective actions.

Please note that this research is not funded by PHS.

Please contact me with any questions.

Sincerely,

(b) (6)

S. David Kimball, Ph.D.
Institutional Official
Senior Vice President, Research & Economic Development
Vice President, Innovation & Research Commercialization

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, December 19, 2019 8:04 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: (b) (6)
Subject: RE: final reports for Rutgers Assurance D16-00169 (A3262-01)

Thank you for providing these reports (b) (6) We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Wednesday, December 18, 2019 5:05 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)
Subject: final reports for Rutgers Assurance D16-00169 (A3262-01)

Division of Compliance Oversight,

Attached are eight final reports for reportable events under Assurance # D16-00169 (A3262-01).

Please let us know if you have any questions.

Thank you,
(b) (6)

(b) (6)



Initial Report of Noncompliance

By: aw

Date: 11/20/19

Time: 10:00

Name of Person reporting: (b) (6)
Telephone #: (b) (6)
Fax #:
Email:

Name of Institution: Rutgers
Assurance number: A3262

Did incident involve PHS funded activity? NO
Funding component: _____
Was funding component contacted (if necessary): _____

What happened?
1 live rat in freezer, exsanguinated under anesthesia, no secondary method of euthanasia

Species involved: Rats
Personnel involved: research staff
Dates and times:
Animal deaths:

Projected plan and schedule for correction/prevention (if known): _____
Retrain, amend protocol to clarify euthanasia

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY
Case # _____