



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

January 6, 2020

Re: Animal Welfare Assurance
#A3262-01 (OLAW Case 3V)

Dr. S. David Kimball
Senior Vice President,
Research & Economic Development
Rutgers, State University of New Jersey
3 Rutgers Plaza
New Brunswick, NJ 08901

Dear Dr. Kimball,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your December 16, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Rutgers, The State University of New Jersey following up on an initial November 20, 2019 notification by telephone.

According to the information provided, this Office understands that Rutgers, The State University of New Jersey Animal Care and Use Committee (ACUC) determined that instances of noncompliance occurred with respect to: an overcrowded cage of mice found without food and one dead mouse in the cage on September 10, 2019. Investigation results revealed the PI's lab was responsible for feeding the cage and daily log did not show documentation of feeding on the previous day. The final report also noted the dead mouse appeared to be deceased for longer than 24h. It is noted that this research is PHS funded. On October 16, 2019 at its regular meeting, the IACUC reviewed and discussed the incident and unanimously voted to report this matter to OLAW and voted to accept the corrective actions listed below. Corrective actions included:

- Counseling of the PI by the IACUC Executive Committee as well as Comparative Medicine Resources (CMR) staff.
- Required retraining of the PI and lab personnel.
- Development of a more detailed check for daily observations, as new enrichment pouches allow mice to hide and not be visible.

Based on its assessment of this explanation, OLAW understands that Rutgers, The State University of New Jersey has implemented appropriate measures to correct and prevent recurrences of these problems and is now compliant with provisions of the PHS Policy. We appreciate being informed of these matters and find no cause for further action by this Office.

Sincerely,

(b) (6)

Jacquelyn T. Tubbs, DVM
Veterinary Medical Officer
Division of Compliance Oversight
Office of Laboratory Animal Welfare

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January 6, 2020
OLAW Case A3262-3V

cc: IACUC Contact

December 16, 2019

Brent Morse, DVM
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

Re: Animal Welfare Assurance Number D16-00169 (A3262-01)

Dear Dr. Morse,

Rutgers, The State University of New Jersey hereby makes the final report for the following incident, reported to OLAW via telephone on November 20, 2019. On September 10, 2019, an overcrowded cage without food and with one dead mouse was observed. Further investigation indicated that the PI's lab was responsible for feeding this cage and that the daily log did not show documentation of feeding on the previous day, although this is likely unrelated to the dead mouse, as it appeared the mouse was dead for longer than 24 hours, which would predate the day with no documentation of feeding.

The following actions were taken in response to this incident:

- The PI was counseled by the IACUC Executive Committee as well as Comparative Medicine Resources (CMR) staff.
- The PI and lab personnel were required to undergo re-training.
- Daily observations will now consist of a more detailed check, as new enrichment (EnviroPak) pouches allows mice to hide and not be as visible.
- At its regular meeting on October 16, 2019, the IACUC reviewed and discussed this incident and unanimously voted to report this matter to OLAW. The IACUC also voted to accept the above noted corrective actions.

Please note that this research is funded by NIH.

Please contact me with any questions.

Sincerely,

(b) (6)

S. David Kimball, Ph.D.
Institutional Official
Senior Vice President, Research & Economic Development
Vice President, Innovation & Research Commercialization

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, December 19, 2019 8:04 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: (b) (6)
Subject: RE: final reports for Rutgers Assurance D16-00169 (A3262-01)

Thank you for providing these reports (b) (6) We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Wednesday, December 18, 2019 5:05 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6)
Subject: final reports for Rutgers Assurance D16-00169 (A3262-01)

Division of Compliance Oversight,

Attached are eight final reports for reportable events under Assurance # D16-00169 (A3262-01).

Please let us know if you have any questions.

Thank you,
(b) (6)

(b) (6)



Initial Report of Noncompliance

By: aw

Date: 1/20/19

Time: 10:00

Name of Person reporting: (b) (6)
Telephone #: (b) (6)
Fax #:
Email:

Name of Institution: Rutgers
Assurance number: A3262

Did incident involve PHS funded activity? Yes
Funding component: _____
Was funding component contacted (if necessary): _____

What happened?
overcrowded mouse cage, no food, 1 mouse died

Species involved: Mouse
Personnel involved:
Dates and times:
Animal deaths: 1

Projected plan and schedule for correction/prevention (if known): _____
Counsel, Retrain, use bags

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY
Case # _____