



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

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Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

April 12, 2018

Re: Animal Welfare Assurance
A3381-01 [OLAW Case 1Y]

Dr. Jennifer Lodge
Associate Dean for Research
Washington University – St. Louis
660 South Euclid Avenue, Box 8108
St. Louis, Missouri 63110

Dear Dr. Lodge,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your March 23, 2018 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at Washington University in St. Louis. According to the information provided, OLAW understands that an investigator's protocol was suspended by the IACUC due to repeated noncompliance. Specifically, the administration of expired anesthetic agents to mice on four separate occasions. In addition, a surgeon performed surgery before completing a previously imposed corrective action plan and receiving official notification from the IACUC allowing her to perform surgery. This research is supported by two NIDDK grants (5DK070790-12 and 4R01DK089137-05)

The corrective actions consisted of the IACUC requiring the PI to meet with the IACUC Chair and Director to discuss the incident and plans for future oversight of the lab. The lab attended a retraining session with the QA/QI staff and the PI has appointed a Point of Contact for the lab. A protocol amendment was approved on March 7 and the suspension lifted.

OLAW believes that the corrective measures put in place by Washington University in St. Louis are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals for institutional self-monitoring and self-reporting. OLAW appreciates being informed of this issue and finds no cause for further action by this office.

Sincerely,

(b) (6)

Brent C. Morse, DVM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact
Bob Pike, Chief Grants Management Officer, NIDDK



Institutional Animal Care and Use Committee

Brent Morse, DVM, Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

March 23, 2018
Assurance #A3381-01

Re: Protocol title: "Mouse studies in chronic kidney disease (CKD)"; supported by PHS/NIH funds (grant numbers 5DK07079012 and 4R01DK08913705)

Dr. Morse,

Washington University in St. Louis (WU) in accordance with Assurance A3381-01 and PHS Policy IV.F.3., is submitting a final report for the incident reported March 6, 2018.

The investigator's protocol was suspended following administration of expired anesthetic agents to mice on four separate occasions. In addition, a surgeon returned to work before all elements of a previously imposed corrective action plan could be completed.

In response, the IACUC required the PI to meet with the IACUC Chair and IACUC Director to discuss the incident and plans for future oversight of the lab. The lab attended a retraining session with the QA/QI staff and reviewed applicable regulations and policies. The PI has appointed a Point of Contact (POC) for the lab and the individual has reviewed the list of responsibilities associated with the designation. The amendment to update the protocol was approved on March 7, 2018.

The suspension was lifted on March 7, 2018 following completion of the required corrective actions.

Colony maintenance expenses accrued during the suspension will not be charged to the NIH grants supporting the protocol unless permitted by the NIH funding component.

Please let me know if you would like any additional information.

Sincerely,

(b) (6)

Jennifer K. Lodge, Ph.D.
Institutional Official
Vice Chancellor for Research

C: AAALAC, International

Campus Box 1054, One Brookings Drive, St. Louis, Missouri 63130,
(314)362-3229, Fax (314)747-6695, <http://asc.wustl.edu>

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, April 10, 2018 3:06 PM
To: Moore, Meredith; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Lodge, Jennifer; (b) (6)
(b) (6)
Subject: RE: Final Report

Thank you for this final report Dr. Moore. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: Moore, Meredith [mailto:moore577@wustl.edu]
Sent: Tuesday, April 10, 2018 1:15 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Lodge, Jennifer <lodgejk@wustl.edu>; (b) (6)
(b) (6) Moore, Meredith <moore577@wustl.edu>; (b) (6)
(b) (6) accredit@aaalac.org; (b) (6)
Subject: Final Report

OLAW,

Attached, please find our final report for the incident initially reported March 6, 2018. Please let me know if you have any comments or questions.

Meredith L. Moore, PhD, CPIA
Director, IACUC Office
Washington University in St. Louis
(b) (6)

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A3381-1Y

Obtained by Rise for Animals. Uploaded 07/07/2020

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Thursday, March 08, 2018 8:29 AM
To: IACUC; OLAW Division of Compliance Oversight (NIH/OD)
Cc: Lodge, Jennifer; (b) (6) Moore, Meredith; (b) (6)
Subject: RE: Washington University in St. Louis protocol suspension report

Thank you for this prompt report. We will consider this a preliminary report and open a compliance case file. Since this is an NIH-funded activity, please notify the NIH funding component of the suspension and be sure to not use grant funds during the suspension period unless specifically allowed to do so by the funding component for husbandry or veterinary support only. Please notify OLAW by separate signed letter when the corrective measures have been completed. Thank you again.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: IACUC [mailto:IACUC@wustl.edu]
Sent: Wednesday, March 07, 2018 4:21 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Lodge, Jennifer <lodgejk@wustl.edu>; (b) (6); 'accredit@aaalac.org' <accredit@aaalac.org>; (b) (6) Moore, Meredith <moore577@wustl.edu>; (b) (6)
Subject: Washington University in St. Louis protocol suspension report

Attached is a report of a protocol suspension at Washington University in St. Louis, Assurance #A3381-01.

Please let me know if you need further information.

Sincerely,

(b) (6)

(b) (6)