DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: http://grants.nih.gov/grants/olaw/olaw.htm

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

February 5, 2019

Re: Animal Welfare Assurance
A3381-01 [OLAW Case 2G]

Dr. Jennifer K. Lodge
Vice Chancellor for Research
Washington University in St. Louis
660 South Euclid Ave., Box 8108
St. Louis, MO 63130

Dear Dr. Lodge,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 30, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Washington University in St. Louis, following up on an initial telephone report on June 1, 2018. According to the information provided, OLAW understands that an anesthetized mouse was left unattended in its cage, on a heating pad, following surgery. The animal died during the time it was unattended.

The corrective action consisted of the Principal Investigator performing fewer surgeries per day, having two individuals involved in monitoring so one person remains with the animal at all times, and retraining the laboratory staff on post-operative care and monitoring.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair
Meredith Moore, Ph.D., IACUC Director

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Washington University in St. Louis
Institutional Animal Care and Use Committee

January 30, 2019
Assurance: D16-00245 (A3381-01)

Dr. Neera Gopee, DVM, PhD
VMO, Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge Drive
Bethesda, MD 20892-7982

Initial Report: June 1, 2018; Case #A338-2G
Protocol: 20170079 "Mechanisms of mucosal defense in the urinary bladder and placenta"

Dear Dr. Gopee,

Washington University in St. Louis (WU), in accordance with PHS Policy IV.F.3 and Assurance D16-00245, submits this final report for a non-compliant event initially reported by the IACUC Director on June 1, 2018.

A member of the animal facility staff observed a single unattended post-operative mouse that was not fully recovered from anesthesia. The surgeon had placed the open cage on a heating pad before leaving for lunch. Follow-up conversations with the surgeon revealed that the animal died during the approximately 45 minute period when it was unattended.

In response, the principal investigator will perform fewer surgeries per day and implement a buddy system to ensure continuous monitoring. The laboratory staff will complete retraining, both online and in person with the QA/QI team. Retraining will cover appropriate methods of post-operative care and monitoring. The incident and proposed corrective actions were reviewed by the IACUC at the May 22, 2018 meeting. All aspects of the corrective action plan were completed by June 14, 2018 and the committee considers the incident resolved.

The protocol is supported by NIH funding (R01HD0091218, R01-AG052494, R01-DK100644) but no specific costs were determined to be associated with the incident. In accordance with NIH Grants policy, any NIH costs directly associated with a noncompliant event would be returned.

Please let me know if you have any questions or would like additional information.

Sincerely,
(b) (6)

Jennifer K. Lodge, Ph.D.
Institutional Official
Vice Chancellor for Research

Campus Box 1054, One Brookings Drive, St. Louis, MO 63130
(314)362-3229, *Fax* (314)747-6695, http:asc.wustl.edu

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Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Friday, February 01, 2019 7:27 AM
To: Moore, Meredith; OLAW Division of Compliance Oversight (NIH/OD); Lodge, Jennifer
Cc: (b) (6)
Subject: RE: Final Reports

Thank you for submitting these reports Dr. Moore. We will send official responses soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

From: Moore, Meredith <moore577@wustl.edu>
Sent: Thursday, January 31, 2019 5:20 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>; Lodge, Jennifer <lodgejk@wustl.edu>
Cc: (b) (6)
Subject: Final Reports

Dr. Gopee,

Attached, please find the final written reports for case numbers A3381-2E, -2H, and -2G.
Please let me know if you have any questions.

Meredith L. Moore, PhD, CPIA
Director, IACUC Office
Washington University in St. Louis
(b) (6)

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A3381-2G

Office of Laboratory Animal Welfare

OLAW

Initial Report of Noncompliance

By: Neera Gopee

Date: 6-1-18

Time: 11:18 am

Name of Person reporting: Meredith Moore
Telephone #: (b) (6) [redacted]
Fax #:
Email:

Name of Institution: Washington University St Louis
Assurance number: A3381-01

Did incident involve PHS funded activity? Yes
Funding component: _____
Was funding component contacted (if necessary): _____

What happened?
one mouse left unsupervised during recovery from survival surgery. Animal subsequently died.

Species involved: mouse
Personnel involved:
Dates and times: 5-15-2018
Animal deaths:

Projected plan and schedule for correction/prevention (if known): _____

Projected submission to OLAW of final report from Institutional Official:

OFFICE USE ONLY
Case #_____

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