



DEPARTMENT OF HEALTH & HUMAN SERVICES
HEALTH

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

January 31, 2019

Re: Animal Welfare Assurance
#A3456-01 [OLAW Case 8I]
UMN IACUC #34897A

Dr. Christopher Cramer
Vice President for Research
Institutional Official
University of Minnesota
[REDACTED] Johnston Hall - 101 Pleasant St., SE
Minneapolis, MN 55455

Dear Dr. Cramer,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 24, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Minnesota. According to the information provided, OLAW understands that three nonhuman primates were subjected to an unapproved anesthetic procedure for imaging.

The corrective action consisted of amending the protocol and retraining the laboratory staff on the content of the protocol.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

[REDACTED] (b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair
Robert Gibbens, D.V.M., USDA-APHIS-AC

UNIVERSITY OF MINNESOTA

Twin Cities Campus

Institutional Animal Care and Use Committee (IACUC)

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D-528 Mayo Memorial Building
420 Delaware St. SE
Minneapolis, MN 55455
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January 24, 2019

TO: Axel Wolff, DVM
Office of Laboratory Animal Welfare
National Institutes of Health
RKL1, Suite 360, MSC 7982
6705 Rockledge Drive
Bethesda, MD 20892-7982

RE: University of Minnesota Animal Care Program D16-00288 (A-3456-01)
NIH Grant Numbers: #R01 DA037229, R01 DA038615; R01 DA038106;
NSF #BCS 1253576
UMN IACUC #34897A

Dear Dr. Wolff,

In keeping with the terms of our assurance, please be informed that we are making a report of non-compliance of an IACUC approved, NIH funded study involving non-human primates. The basis of this report results from a combined semi-annual/post approval monitoring inspection. The report outlined that three non-human primates had undergone a CT scan each as part of anatomical imaging prior to implantation surgeries; however, this anesthetic procedure was not approved in the protocol. The deviations in protocol occurred on 6/26/18, 8/24/18 and 10/11/18.

We want to inform you that we consider this matter closed. A detailed response was received from the laboratory indicating that CT scans have been discontinued until an amendment is submitted and approved by the IACUC. Further, staff will be retrained as to procedures that are currently approved in the protocol.

Sincerely,

(b) (6)

Christopher J. Cramer, Ph.D.
Vice President for Research
Institutional Official, University of Minnesota
101 Pleasant Street, S.E.
Minneapolis, MN 55455

CC: Brian Crooker, Chair, IACUC
Christine Sivula, Interim Institutional Veterinarian

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Monday, January 28, 2019 12:22 PM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: Brian Crooker; Christine Sivula
Subject: RE: UMN IACUC #34897A

Thank you for providing this report (b) (6) We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Monday, January 28, 2019 11:11 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: Brian Crooker <crook001@umn.edu>; Christine Sivula <sivu0003@umn.edu>
Subject: UMN IACUC #34897A

Dear Dr. Wolff,
On behalf of Vice President Christopher J. Cramer, attached please find a report of non-compliance. Please let me know if you have any questions.
Thank you,

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