



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

March 5, 2018

Re: Animal Welfare Assurance
A3514-01 [OLAW Case S]

Dr. David Amberg
Vice President for Research
State University of New York Upstate Medical University
750 East Adams Street
Syracuse, NY 13210

Dear Dr. Amberg,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your February 28, 2018 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the State University of New York Upstate Medical University. According to the information provided, OLAW understands that expired euthanasia solution had been used on mice on ten separate occasions. No adverse events occurred with the mice due to use of this agent. The drug's expiration date had not been recorded in the controlled substance log.

The corrective action consisted of retraining the laboratory staff on closely monitoring drug expiration dates.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy and suggests that a color code system for identifying expiration dates on drug bottles has been successfully used by many institutions as a way to alert staff about the status of the product. Thank you for informing OLAW about this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair

A3514-S



Institutional Animal Care and Use Committee
PHS Assurance: D16-00318 (formerly A3514-01)

Obtained by Rise for Animals. Uploaded 07/08/2020

February 28, 2018

Axel Wolff, M.S., DVM
National Institutes of Health
Office of Laboratory Animal Welfare
RBL1, Suite 360
6705 Rockledge Drive, MSC 7982
Bethesda, MD 20892-7982

Dear Dr. Wolff,

I am writing to inform you of a recent compliance violation at our institution. During a recent post-approval monitoring visit of Dr. Andras Perl's laboratory, the visitors found a vial of Fatal+ euthanasia solution that had expired on 8/30/17. Records indicated that this solution had been used to euthanize individual mice on 10 separate occasions since the expiration date, all by the same student who claimed to not realize the solution had expired. Unfortunately, the expiration date had not been written on the controlled substance log.

Dr. Perl currently holds two NIH grants (NIH RO1 AIO72648 and NIH RO1 AI122176).

The student stated that all animals appeared to succumb normally with no apparent increased indications of pain or distress. The IACUC believes the student made an honest error in not realizing that the solution had expired. The student, and all other personnel in the Perl laboratory, were retrained on the importance of tracking expiration dates on anesthetics, analgesics, and euthanasia agents administered to animals.

Please let us know if you have any questions or require further information.

Sincerely,

(b) (6)

David Amberg, Ph.D.
Vice President for Research
Institutional Official

c: Dr. Andras Perl

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, February 28, 2018 2:36 PM
To: (b) (6)
Cc: OLAW Division of Compliance Oversight (NIH/OD)
Subject: RE: Incident Report - PHS assurance #D16-00318

Thank you for this report, (b) (6). We will send a response soon.
Axel Wolff

From: (b) (6)@upstate.edu]
Sent: Wednesday, February 28, 2018 2:16 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: Incident Report - PHS assurance #D16-00318

Dr. Axel Wolff, Deputy Director
OLAW
olawdco@mail.nih.gov

Dear Dr. Wolff:

On behalf of our Institutional Official, Dr. David Amberg, I am submitting the attached incident report involving animals used in research at SUNY Upstate Medical University. Our PHS Assurance number is D16-00318.

Please contact us if you need additional information.

Respectfully,

(b) (6)

