



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive B MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

January 31, 2018

Re: Animal Welfare Assurance
A3011-01 [OLAW Case 1L]

Dr. Richard Reeder
Vice President for Research
State University of New York-Stony Brook
S-5422 Melville Library
Stony Brook, NY 11794-3368

Dear Dr. Reeder,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 11, 2018 letter reporting three instances of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at Stony Brook University. According to the information provided, OLAW understands that it was found that three labs did not maintain records of their post-surgical care of, and administration of post-operative analgesics to, rats and mice. It was not noted if these activities were funded by the PHS.

Corrective actions included the PIs assuring that all future work would be properly documented and agreeing to re-educate their staff on this vital function.

OLAW appreciates the prompt consideration of this matter by Stony Brook University, which was consistent with the philosophy of institutional self-regulation. Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate this incident, correct the noncompliance, and prevent recurrence. OLAW concurs that the incident warranted reporting. Please include PHS/NSF funding information in future reports. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Signature

Brent C. Morse, DVM
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC contact



Stony Brook University

The State University of New York

January 11, 2018

Brent Morse, D.V.M.
Acting Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
Rockledge 1, Suite 360, MSC 9782
6705 Rockledge Drive
Bethesda, MD 20892-7982

Re: Assurance # A3011-01; D16-00006

Dear Dr. Morse;

Enclosed please find three events, combined into one report, that Stony Brook University has deemed reportable to your office in accordance with PHS Policy, IV.F.3.

Please contact [Secondary Individual] Assistant Director of Research Compliance, should you have any questions, or require additional information.

Sincerely,

Signature

Richard J. Reeder, PhD
Vice President for Research and Institutional Official

Xc: Stella Tsirka, PhD, IACUC Chair

[Secondary Individual]

[Secondary Individual]

Interim Assistant Vice President for Research Compliance

[Secondary Individual]

Assistant Director for Research Compliance

Stony Brook University

OLAW Reportable Event [per PHS Policy at Section IV.F.3] Animal Welfare Assurance # A3011-01

Type of Report:

- ☐ Follow-up to prior report dated
☐ First report, with intent to follow-up with OLAW
☒ First and final report to OLAW 1.5.18

IACUC # or PIs lab: RL lab, EE lab, DK lab

Species: Mouse, Rat

Description of reportable incident:

At our semi-annual laboratory inspections it was found that three PIs labs, although they were following protocol, they did not maintain records of their post-surgical care and administration of post-operative analgesics.

Corrective Actions:

The IACUC notified the investigators of this violation. All PIs acknowledged and apologized for the violation and assured that all future work will be properly documented with post-operative notations. They agreed to re-educate their staff on this vital function. The investigators were given a copy of "Surgery and Recovery Record" form from the Division of Laboratory Animal Resources (DLAR) for their reference. In addition they were reminded again of the need to re-educate lab members on the need to follow and document all pre-operative, operative and post-operative procedures per the approved IACUC protocol and the requirement monitor and maintain daily records until full recover or termination of the experiment.

Form completed by:

Secondary Individual

**Assistant Director of Research Compliance
Stony Brook University**

Secondary Individual @stonybrook.edu

Phone Number FX: Phone Number

Morse, Brent (NIH/OD) [E]

From: Morse, Brent (NIH/OD) [E]
Sent: Friday, January 19, 2018 3:13 PM
To: Secondary Individual; OLAW Division of Compliance Oversight (NIH/OD); Richard Reeder; Stella Tsirka; Secondary Individual; Ward, Joan (NIH/OD) [E]; Secondary Individual
Subject: RE: Reportable Events to OLAW from Stony Brook University

Thank you for this report. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM
 Acting Director
 Division of Compliance Oversight
 Office of Laboratory Animal Welfare
 National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

From: Secondary Individual [mailto:Secondary Individual@stonybrook.edu]
Sent: Friday, January 19, 2018 9:13 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>; Morse, Brent (NIH/OD) [E] <morseb@mail.nih.gov>; Richard Reeder <richard.j.reeder@stonybrook.edu>; Stella Tsirka <styliani-anna.tsirka@stonybrook.edu>; Secondary Individual @stonybrook.edu>; Ward, Joan (NIH/OD) [E] <wardjoa@od.nih.gov>; Secondary Individual @stonybrookmedicine.edu>
Subject: Reportable Events to OLAW from Stony Brook University

Dear Dr. Morse,

Re:

- **Reportable Events**
- **Assurance #A3011-01; D16-00006**

As required by OLAW, attached below is a signed cover letter from our IO and three reportable events.

All violations were noted at the time of the semi-annual review and do not involve a USDA covered species.

The necessary changes were made by the PI's and reviewed by the IACUC.

If you have any questions please feel free to contact me at any time.

Regards,

Secondary Individual

Assistant Director of Research Compliance
Stony Brook University
W5530 Melville Library
Stony Brook, NY 11794-3368

Voice Phone Number

Secondary Individual@stonybrook.edu

