



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive - MSC 7982  
Bethesda, Maryland 20892-7982  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

May 23, 2017

Re: Animal Welfare Assurance  
A3345-01 [OLAW Case V]

Dr. Andrea Giuffrida  
Institutional Official  
University of Texas Health Science Center  
at San Antonio  
7703 Floyd Curl Drive  
San Antonio, TX 78229-3900

Dear Dr. Giuffrida,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your May 18, 2017 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Texas Health Science Center at San Antonio. According to the information provided, OLAW understands that non-pharmaceutical grade Avertin was used as an anesthetic for survival and non-survival procedures.

The corrective action consisted of the Principal Investigator stopping use of the unapproved drug, amending the protocol, counseling the laboratory staff, and reviewing the protocol procedures with the staff. The laboratory was placed under enhanced post-approval monitoring.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy. Please remember to include the species involved in the final report as well as the grant number(s) if the project was PHS/NSF-supported. Thank you for informing OLAW about this matter.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.  
Director  
Division of Compliance Oversight

cc: IACUC Chair

(b) (6)



OLAW Assurance #A3345-01

Date: May 18, 2017

To:

UTHSCSA

From: Institutional Animal Care and Use Committee (IACUC)

Cc: Andrea Giuffrida, Ph.D., Professor, Vice President for Research, Institutional Official (b) (6)

Christopher G. Green, Director, Office of Sponsored Programs  
Director, Division of Compliance, Office of Laboratory Animal Welfare

Subject: Full Committee Review of Possible Noncompliance

Protocol Numbers: 130081AR [REDACTED]

Titles: Regulation and Function of Adiponectin Oligomerization  
[REDACTED]

Dear Principal Investigator,

The Institutional Animal Care and Use Committee reviewed the above referenced report of possible noncompliance on May 3, 2017.

**Summary of Event:** Investigator was using an unapproved non-pharmaceutical grade compound, Avertin, as an anesthetic for both survival and/or non-survival procedures of this protocol.**Summary of actions taken by PI, IACUC or institutional officials prior to IACUC meeting:** The PI stopped all unapproved use of Avertin on all protocols and worked with a DLAR veterinarian to submit an amendment requesting the use of Avertin in protocol 130081AR. Amendments for the request to use of Avertin in the PI's additional protocols is pending. The PI provided an action plan for reviewing his approved IACUC protocols with lab personnel on a regular basis and after any future amendments are approved by the IACUC. This protocol review will also include re-education of lab personnel on the proper use and risk of Avertin administration.**Determination/IACUC Directed Actions:** The Committee determined that the event met the criteria of noncompliance that is serious but not continuing. The Committee determined that the research continues to satisfy the requirements for IACUC approval. The Committee determined that the corrective action plan was acceptable as written and directed the Office of the Institutional Animal Care Program to conduct Post Approval Monitoring of the PI's animal research program and report back to the Committee. The investigator will continue to monitor the study and report to the IACUC according to applicable policies and procedures.**Reporting:** The UTHSCSA IACUC will report these findings to the following entities: IO, UTHSCSA AVPRA, Department Chair, OSP, OLAW

Your response to this determination is due within 30 days from the date of this letter.

Your point of contact on this issue is (b) (6)

Sincerely,

(b) (6)

Please retain this document in your IACUC correspondence file

Institutional Animal Care Program	Mail Code 7822	7703 Floyd Curl Drive	San Antonio, Texas 78229-3900
210.567.8260	Fax 210.567.8242	<a href="http://research.uthscsa.edu/iacuc">http://research.uthscsa.edu/iacuc</a>	

**Wolff, Axel (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, May 22, 2017 7:36 AM  
**To:** (b) (6)  
**Subject:** RE: Report of Noncompliance - UTHSCSA - A3345-01

Thank you for this report. We will respond soon.  
Axel Wolff

**From:** (b) (6)  
**Sent:** Friday, May 19, 2017 6:13 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Subject:** Report of Noncompliance - UTHSCSA - A3345-01

Axel Wolff, DVM  
Director, Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health  
Rockledge 1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7982

Dear Dr. Wolff:

The University of Texas Health San Antonio, in accordance with Assurance A3345-01 and PHS Policy IV.F.3., submits the attached report of noncompliance. If further information is required please contact me directly.

Respectfully,

(b) (6)

**New Research Concierge Service!**

Get help with your submissions! Representatives from the IACP will be available to meet with you on the main (Long) campus!

**Times:** 9:00am to 12:00pm

**Days:** every other Wednesday

**Location:** Library - 2nd Floor Computer Classroom (RM 2.011)

**\*\*Walk-ins Welcome!\*\***

Check our website for details! <http://research.uthscsa.edu/iacuc/>



**UT Health**  
San Antonio