



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910

Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817

Telephone: (301) 496-7163
Facsimile: (301) 402-7065

February 5, 2019

Re: Animal Welfare Assurance
#A3325-01 (OLAW Case 1J)

Dr. Steven Goodman
Vice Chancellor for Research
University of Tennessee Health Science Center
910 Madison Avenue — (b) (4)
Memphis, TN 38163

Dear Dr. Goodman,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your January 30, 2019 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Tennessee Health Science Center. According to the information provided, OLAW understands that a visiting scholar was performing animal activities and sustained a mouse bite. It was determined that the individual had not been enrolled in the occupational health screening and was not listed on the protocol.

The corrective action consisted of having the Principal Investigator (PI) and staff undertake online retraining and counseling the PI on the consequences for not following institutional policies.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



Office of the Vice Chancellor for Research
910 Madison Avenue, Suite 608
Memphis, TN 38163
T (901) 448-7125
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A3325-15

Memphis
Knoxville
Chattanooga
Nashville

January 30, 2019

Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health
670b Rockledge Drive, Suite 2500, MSC6910
Bethesda, MD 20892

Dr. Morse or Dr. Wolff:

The University of Tennessee Health Science Center (UTHSC), in accordance with Assurance A3325-01 and PHS Policy IV.F.3, reports noncompliance for participation in animal-related activities by individuals who have not been determined by the IACUC to be appropriately qualified and trained as required by IV.C.1.f. The narrative below describes the findings and actions taken in this matter.

On Friday December 7, 2018 the Institutional Animal Care and Use Committee (IACUC) was made aware that, a Visiting Scholar, working with an on-campus research group, had gone to the UTHSC Occupational Health Office because of a mouse bite he suffered in the Cancer Research Building mouse imaging facility. An investigation revealed that this individual had not been through the Occupational Health screening, and was not associated with an IACUC approved protocol. This incident of non-compliance violated both institutional and IACUC procedures, regarding entry into the vivarium facility and working with live animals.

A quorum of the IACUC examined these findings, during its regular meeting on January 8, 2019. During its deliberations of an appropriate response to this incident, the IACUC took into account the fact that the PI cooperated with the investigation, and immediately took responsibility for this incident upon notification.

Following discussion, the IACUC unanimously voted:

It was determined that the PI and his staff complete the "Refresher: Working with the IACUC" course found in the AALAS Learning Library within 30 days.

This has been completed.

The PI was reminded that any future failure to comply with approved animal protocol, IACUC procedures, or Lab Animal Care Unit procedures, no matter how minor, could be grounds for immediate suspension of their animal research program.

Sincerely,

(b) (6)

Steven R. Goodman PhD
Vice Chancellor for Research

Copied:

(b) (6)

Jeffery Steketee, PhD

IACUC, Chair

(b) (6)

David Hamilton, DVM
AAALACi

Attending Veterinarian

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, January 30, 2019 10:41 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD);
accredit@aaalac.org
Cc: Steketee, Jeffery D; (b) (6) Hamilton, David; (b) (6)
Subject: RE: Incident Report A3325-01

Thank you for providing this report (b) (6) We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Wednesday, January 30, 2019 9:00 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>; accredit@aaalac.org
Cc: Steketee, Jeffery D <jstekete@uthsc.edu>; (b) (6) Hamilton, David
<dhamilt7@uthsc.edu>; (b) (6)
Subject: Incident Report A3325-01

Incident report attached.

Please contact us if additional information is needed.

(b) (6)

(b) (6)