



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive - MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

May 9, 2017

Re: Animal Welfare Assurance
A3292-01 [OLAW Case 1S]

Mark Taubman, M.D.
Senior Vice President for Health Science
CEO of Medical Center and Strong Hospital
University of Rochester
601 Elmwood Avenue, Box 706
Rochester, NY 14642

Dear Dr. Taubman,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 20, 2017 letter reporting a noncompliance with the PHS Policy on the Humane Care and Use of Laboratory Animals at the University of Rochester Medical Center. According to the information provided it is understood that on April 19, 2017 the University Committee on Animal Resources voted to temporarily restrict a lab staff member's animal access and lab work. This action was prompted by repeat non-compliance by the staff member and failure of the investigator's previous corrective action plan. It was not stated if the animal(s) involved was (were) assigned to an activity that received PHS or NSF funding.

The PI and staff member met with the UCAR Chair and the Attending Veterinarian. The investigator has undergone retraining and will be on probation for the next three months.

OLAW believes that the corrective measure put in place by the University of Rochester Medical Center is consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals. In all future reports please include the species of animal, approximate number of animals involved, a description of the actual noncompliant activity, and whether or not the project was funded by the PHS or NSF. We appreciate being informed of this matter and find no cause for further action by this office.

Sincerely,

Brent C. Morse, DVM
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: UCAR Contact

Mark B. Taubman, MD
CEO, University of Rochester Medical Center and UR Medicine
Dean, School of Medicine and Dentistry
Senior Vice President for Health Sciences



UNIVERSITY of
ROCHESTER
MEDICAL CENTER

MEDICINE of THE HIGHEST ORDER

April 20, 2017

Axel Wolff, M.S., D.V.M.
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
Rockledge Once, Suite 360
67005 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892 – 7982

Ref: Animal Welfare Assurance No. D16-00188

Dear Dr. Wolff:

I am attaching a report from the University Committee on Animal Resource regarding a non-compliance issue.

Please do not hesitate to contact the Chair of the Committee, Dr. Suzanne Stevens, if you wish further information. You can call the UCAR office at [redacted] telephone # [redacted] or her email address is suzanne_stevens@urmc.rochester.edu

Yours truly,

Mark B. Taubman, M.D.
CEO, URM and UR Medicine
Dean, School of Medicine and Dentistry
University Vice President for Health Sciences

Attachment

THE UNIVERSITY COMMITTEE ON ANIMAL RESOURCES

TO: Mark Taubman, M.D.
CEO, URM and UR Medicine
Dean, School of Medicine and Dentistry
University Vice President for Health Sciences

FROM: Suzanne Y. Stevens, Ph.D.
Chair, University Committee on Animal Resources

DATE: April 20, 2017

SUBJECT: Report of Noncompliance

During the April 19, 2017, meeting, the University Committee on Animal Resources discussed an issue of a lab staff member who has accumulated several occurrences of non-compliance since his recent approval to work with animals.

This staff member has recently had issues with animal transport and post-op procedures. The investigator had previously put forth an action plan but recurrences happened. UCAR had notified both the investigator and the staffer that any additional occurrence will result in restricting animal access. There was an additional occurrence, prompting action to be taken by the committee:

The committee voted to suspend this staff member's animal access and lab work until a meeting can be scheduled between the PI, staff member, UCAR chair and attending veterinarian; The investigator will have to undergo retraining with our Compliance officer to help the investigator understand what the university's expectations are regarding the use of animals in research will only perform only perform surgical procedures when supervised by one of the university's veterinarians or a Vet. Tech.; The investigator will remain on probation until the completion of their experiments over the next 3 months, during which time any further incidence of noncompliance can result in the permanent suspension of their animal use privileges at the university. The investigator has agreed to cooperate with UCAR and the Animal Resource Office to ensure complete compliance in the future.

Post Suspension Note: Since the suspension, the investigator and their PI have met with attending veterinarian and UCAR chair and has completed the necessary retraining as required by the UCAR. Furthermore, the investigator understands that he is on probation for the remaining set of experiments over the next 3 months and that any further noncompliance can result in the loss of their ability to work with animals at the university. The investigators access to animals and their ability to work with them has been reinstated on a probationary status.

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