



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive - MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

December 4, 2017

Re: Animal Welfare Assurance
A3292-01 [OLAW Case 1T]

Dr. Mark Taubman
CEO, URMC and UR Dentistry
Dean, School of Medicine and Dentistry
University Vice President for Health Sciences
University of Rochester
601 Elmwood Avenue- Box 706
Rochester, NY 14642

Dear Dr. Taubman,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 15, 2017 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Rochester. According to the information provided, OLAW understands that an animal care technician failed to provide food and water to several cages of mice resulting the demise of a breeding mouse pair and its litter as a result of food and water deprivation. It was not stated whether the associated animal activity was PHS funded.

The corrective actions consisted of immediately providing food and water to the remaining mice and increasing health monitoring for these animals. The responsible technician was reprimanded, retrained and placed on probation with enhanced oversight by his immediate supervisors.

The establishment and application of policies and practices that are consistent with the provisions of the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Rochester are commendable and avoid the perception of a double standard. We appreciate having been informed about this matter and find no cause for further action by this Office.

Sincerely,

Signature

Neera V. Gopee, DVM, PhD, DACLAM, DABT
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Chair

13292-15

UNIVERSITY OF
ROCHESTER
MEDICAL CENTER

EASTMAN DENTAL CENTER
SCHOOL OF MEDICINE AND DENTISTRY
SCHOOL OF NURSING
STRONG MEMORIAL HOSPITAL
UNIVERSITY MEDICAL FACULTY GROUP

THE UNIVERSITY COMMITTEE ON ANIMAL RESOURCES

November 15, 2017

Axel Wolff, M.S., D.V.M.
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
Rockledge Once, Suite 360
67005 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892 – 7982

Ref: Animal Welfare Assurance No. D16-00188

Dear Dr. Wolff:

I am attaching a report from the University Committee on Animal Resource regarding a non-compliance issue.

Please do not hesitate to contact the Chair of the Committee, Dr. Chris Stodgell, if you wish further information. You can call the UCAR office at [Phone Number] or his email address is chris_stodgell@urmc.rochester.edu

Yours truly,

Signature

Mark B. Taubman, M.D.
CEO, URM and UR Medicine
Dean, School of Medicine and Dentistry
University Vice President for Health Sciences

Attachment

THE UNIVERSITY COMMITTEE ON ANIMAL RESOURCES

TO: Mark Taubman, M.D.
CEO, URM and UR Medicine
Dean, School of Medicine and Dentistry
University Vice President for Health Sciences

FROM: Chris Stodgell, Ph.D.
Chair, University Committee on Animal Resources

DATE: November 15, 2017

SUBJECT: Vivarium Oversight

During the November 15, 2017, meeting, the University Committee on Animal Resources discussed an animal welfare concern raised by an investigator. The investigation of the concern reveals a serious mistake made by a Vivarium employee in which several cages of mice did not receive the necessary food and water. As a result, a breeding pair of mice and their litter were lost.

When the investigator discovered that their mice did not have sufficient food and water, he immediately contacted the Vivarium's Director of Operations to inform him of the situation, at which time, the Director of Vivarium Operations corrected the situation by providing food and water to the remaining mice and placed the animals on rounds to monitor their health. The Director then identified the staff member caring for these mice. The animal care technician in charge of the husbandry for these mice was, reprimanded, retrained, and placed on probation and is currently being closely monitored by his immediate supervisors.

The UCAR discussed this incidence and reviewed an action plan submitted by the Executive Director of the Animal Resource at the November 15, 2017 meeting, and the committee voted to report this incident of noncompliance to OLAW.

Copy: Secondary Individual

CS/jz

Wolff, Axel (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Tuesday, November 28, 2017 9:39 AM
To: Secondary Individual
Subject: RE: Report of Non-Compliance November 2017 (2 of 2)

Thank you for submitting these 2 reports. We will send responses soon.

Axel Wolff, M.S., D.V.M.
Deputy Director, OLAW

From: Secondary Individual [mailto:Secondary Individual@URMC.Rochester.edu]
Sent: Monday, November 27, 2017 2:20 PM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Subject: Report of Non-Compliance November 2017 (2 of 2)

To whom it may concern:

Attached you will find a noncompliance report from the University Committee on Animal Resources (UCAR) at the University of Rochester.

Please do not hesitate to contact Dr. Chris Stodgell (Chair of UCAR) or me if you have any questions. Dr. Stodgell's contact information is as follows: his direct line is Phone Number and his email address is Chris_Stodgell@urmc.rochester.edu. Emailing Dr. Stodgell is the best way to contact him.

Thank you for your attention to this matter.

Sincerely,

Secondary Individual

Secretary for University Committee on Animal Resources
University of Rochester, School of Medicine and Dentistry
601 Elmwood Avenue, Box 674
Rochester, New York 14642

Office Phone: Phone Number - Fax Number: Phone Number

Secondary Individual@urmc.rochester.edu

UCAR Email: ucar@urmc.rochester.edu

Ward, Joan (NIH/OD) [E]

From: Secondary Individual @URMC.Rochester.edu>
Sent: Monday, November 27, 2017 2:20 PM
To: OLAW Division of Compliance Oversight (NIH/OD)
Subject: Report of Non-Compliance November 2017 (2 of 2)
Attachments: November OLAW Report #2.pdf

To whom it may concern:

Attached you will find a noncompliance report from the University Committee on Animal Resources (UCAR) at the University of Rochester.

Please do not hesitate to contact Dr. Chris Stodgell (Chair of UCAR) or me if you have any questions. Dr. Stodgell's contact information is as follows: his direct line is Phone Number and his email address is Chris_Stodgell@urmc.rochester.edu. Emailing Dr. Stodgell is the best way to contact him.

Thank you for your attention to this matter.

Sincerely,

Secondary Individual

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University of Rochester, School of Medicine and Dentistry
601 Elmwood Avenue, Box 674
Rochester, New York 14642
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