



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892-7982
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

December 4, 2017

Re: Animal Welfare Assurance
A3292-01 [OLAW Case 1U]

Dr. Mark Taubman
CEO, URMC and UR Dentistry
Dean, School of Medicine and Dentistry
University Vice President for Health Sciences
University of Rochester
601 Elmwood Avenue- Box 706
Rochester, NY 14642

Dear Dr. Taubman,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 15, 2017 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the University of Rochester. According to the information provided, OLAW understands that mouse pups were found alive in a freezer as a result of failure to assure death following an apparent unsuccessful euthanasia procedure. The pups were appropriately euthanized upon discovery. The animal activity in question was not supported by PHS funds.

The corrective actions consisted of the Principal Investigator reviewing acceptable euthanasia procedures inclusive of a secondary method for assuring death with laboratory personnel and inspecting the freezers to verify that a secondary method have been performed on all euthanized animals.

Based on the information provided, OLAW is satisfied that appropriate actions have been taken to investigate, correct and prevent recurrence of the noncompliance. We appreciate having been informed about this matter and find no cause for further action by this Office.

Sincerely,

Signature

Neera V. Gopee, DVM, PhD, DACLAM, DABT
Animal Welfare Program Specialist
Division of Compliance Oversight
Office of Laboratory Animal Welfare

cc: IACUC Chair

THE UNIVERSITY COMMITTEE ON ANIMAL RESOURCES

November 15, 2017

Axel Wolff, M.S., D.V.M.
Director, Division of Compliance Oversight
Office of Laboratory Animal Welfare
Rockledge Once, Suite 360
67005 Rockledge Drive – MSC 7982
Bethesda, Maryland 20892 – 7982

Ref: Animal Welfare Assurance No. D16-00188

Dear Dr. Wolff:

I am attaching a report from the University Committee on Animal Resource regarding a non-compliance issue.

Please do not hesitate to contact the Chair of the Committee, Dr. Chris Stodgell, if you wish further information. You can call the UCAR office at [Phone Number] or his email address is chris_stodgell@urmc.rochester.edu

Yours truly,

Signature

Mark B. Taubman, M.D.
CEO, URM and UR Medicine
Dean, School of Medicine and Dentistry
University Vice President for Health Sciences

Attachment

THE UNIVERSITY COMMITTEE ON ANIMAL RESOURCES

TO: Mark Taubman, M.D.
CEO, URM and UR Medicine
Dean, School of Medicine and Dentistry
University Vice President for Health Sciences

FROM: Chris Stodgell, Ph.D.
Chair, University Committee on Animal Resources

DATE: November 15, 2017

SUBJECT: Rodent Euthanasia

During the October 18, 2017, meeting, the University Committee on Animal Resources discussed a serious issue of non-compliance regarding an instance of live mouse pups being found in the morgue cooler/freezer.

It was reported to a veterinarian that live pups belonging to the PI were discovered in the freezer. A staff member properly euthanized the animals when they were brought to their attention. The committee wrote a letter to the investigator requesting an action plan to ensure the situation does not recur.

At the November 15, 2017, meeting, UCAR reviewed the PI's response and action plan. The PI held a special lab meeting to discuss proper euthanasia procedures, including a secondary method. He stated that he has been following up in the freezers to ensure that his animals are properly euthanized by verifying that a secondary form of euthanasia is being performed on all animals.

The animals affected were not listed on an NIH funded protocol.

His action plan was reviewed and approved at the November 15, 2017, meeting, and the committee voted to report this incident of noncompliance to OLAW.

Copy: Secondary Individual

CS/jz

Ward, Joan (NIH/OD) [E]

From: [Redacted] Secondary Individual [Redacted]@URMC.Rochester.edu>
Sent: Monday, November 27, 2017 2:19 PM
To: OLAW Division of Compliance Oversight (NIH/OD)
Subject: Report of Non-Compliance November 2017 (1 of 2)
Attachments: November OLAW Report #1.pdf

To whom it may concern:

Attached you will find a noncompliance report from the University Committee on Animal Resources (UCAR) at the University of Rochester.

Please do not hesitate to contact Dr. Chris Stodgell (Chair of UCAR) or me if you have any questions. Dr. Stodgell's contact information is as follows: his direct line is [Redacted] Phone Number [Redacted] and his email address is Chris_Stodgell@urmc.rochester.edu. Emailing Dr. Stodgell is the best way to contact him.

Thank you for your attention to this matter.

Sincerely,

[Redacted] Secondary Individual [Redacted]
Secretary for University Committee on Animal Resources
University of Rochester, School of Medicine and Dentistry
601 Elmwood Avenue, Box 674
Rochester, New York 14642
Office Phone: [Redacted] Phone Number [Redacted] - Fax Number: [Redacted] Phone Number [Redacted]
[Redacted] Secondary Individual [Redacted]@urc.rochester.edu

UCAR Email: ucar@urmc.rochester.edu

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