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OMB APPROVED  
0579-0036  
Exp.: 10/31/2018

This report is required by law (7 U.S.C. 2143). Failure to report according to the regulations can result in an order to cease and desist and to be subject to penalties as provided for in Section 2150.

Interagency Report Control  
No. 0180-DOA-AN

Fiscal Year 2016

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

ANNUAL REPORT OF RESEARCH FACILITY  
(TYPE OR PRINT)

1. REGISTRATION NUMBER  
23-R-0012

2. HEADQUARTERS RESEARCH FACILITY (Name, address, and telephone number as registered with USDA, include ZIP Code)

GlaxoSmithKline  
709 Swedeland Road, P.O. Box 1539  
King Of Prussia, PA 19406

Telephone 610-270-4800

30 NOV 2017  
30 NOV 2017

3. REPORTING FACILITY (List all locations where animals were housed or used in actual research, testing, teaching, or experimentation, or held for these purposes. Attach additional sheets, if necessary.)

FACILITY LOCATIONS (Sites)

REPORT OF ANIMALS USED BY OR UNDER CONTROL OF RESEARCH FACILITY (Attach additional sheets, if necessary, or use APHIS FORM 7023A.)

| A.<br>Animals Covered By<br>The Animal<br>Welfare Regulations | B.<br>Number of animals<br>being bred,<br>conditioned, or held<br>for use in teaching,<br>testing, experiments,<br>research, or surgery<br>but not yet used for<br>such purposes. | C.<br>Number of animals<br>upon which<br>teaching, research,<br>experiments, or<br>tests were<br>conducted involving<br>no pain, distress, or<br>use of pain-relieving<br>drugs. | D.<br>Number of animals upon<br>which experiments,<br>teaching, research,<br>surgery, or tests were<br>conducted involving<br>accompanying pain or<br>distress to the animals<br>and for which<br>appropriate anesthetic,<br>analgesic, or<br>tranquilizing drugs were<br>used. | E.<br>Number of animals upon which teaching,<br>experiments, research, surgery, or tests were<br>conducted involving accompanying pain or<br>distress to the animals and for which the use of<br>appropriate anesthetic, analgesic, or<br>tranquilizing drugs would have adversely<br>affected the procedures, results, or<br>interpretation of the teaching, research,<br>experiments, surgery, or tests. (An explanation<br>of the procedures producing pain or distress on<br>these animals and the reasons such drugs<br>were not used must be attached to this report.) | F.<br>TOTAL NUMBER<br>OF ANIMALS<br>(Cols. C + D + E) |
|---|---|--|---|--|---|
| 4. Dogs   | 3   | 33   | 18  | 0  | 51  |
| 5. Cats   | 0   | 0  | 0   | 0  | 0   |
| 6. Guinea Pigs  | 7   | 16   | 51  | 0  | 67  |
| 7. Hamsters   | 0   | 0  | 0   | 0  | 0   |
| 8. Rabbits  | 21  | 2  | 110   | 0  | 112   |
| 9. Non-human Primates   | 25  | 133  | 34  | 2  | 169   |
| 10. Sheep   | 0   | 0  | 0   | 0  | 0   |
| 11. Pigs  | 0   | 0  | 0   | 0  | 0   |
| 12. Other Farm Animals  |   |  |   |  | 0   |
| 13. Other Animals   |   |  |   |  | 0   |
|   |   |  |   |  | 0   |
|   |   |  |   |  | 0   |

ASSURANCE STATEMENTS

- 1.) Professionally acceptable standards governing the care, treatment, and use of animals, including appropriate use of anesthetic, analgesic, and tranquilizing drugs, prior to, during, and following actual research, teaching, testing, surgery, or experimentation were followed by this research facility.
- 2.) Each principal investigator has considered alternatives to painful procedures.
- 3.) This facility is adhering to the standards and regulations under the Act, and it has required that exceptions to the standards and regulations be specified and explained by the principal investigator and approved by the Institutional Animal Care and Use Committee (IACUC). A summary of all such exceptions is attached to this annual report. In addition to identifying the IACUC approved exceptions, this summary includes a brief explanation of the exceptions, as well as the species and number of animals affected.
- 4.) The attending veterinarian for this research facility has appropriate authority to ensure the provisions of adequate veterinary care and to oversee the adequacy of other aspects of animal care and use.

CERTIFICATION BY HEADQUARTERS RESEARCH FACILITY OFFICIAL  
(Chief Executive Officer (C.E.O.) or Legally Responsible Institutional Official (L.O.))

I certify that the above is true, correct, and complete (7 U.S.C. Section 2143).

SIGNATURE OF C.E.O. OR L.O.

NAME AND TITLE OF C.E.O. OR L.O. (Type or Print)

DATE SIGNED

(b) (6), (b) (7)(C)

11/20/2017

APHIS FORM 7023A  
JUL 2013

NP 1/3/18



**GlaxoSmithKline**  
709 Swedeland Road  
P.O. Box 1539  
King of Prussia, PA  
19406-0939

Tel. 610 270 4800  
Fax. 610 270 7777  
www.gsk.com

November 16, 2017

Regional Director – Animal Care  
Animal and Plant Health Inspection Service  
920 Main Campus Dr. Suite 200  
Raleigh, NC 27606

Dear Dr. Goldentyer:

Enclosed is our 2016-2017 Annual Report for Registration Number 23-R-0012. We are submitting one report for all of our GSK U.S. facilities. As information, we have six full-time veterinarians on staff at the Pennsylvania sites. Our Chief Institutional Officer is (b) (6), (b) (7)(C). If you have any questions regarding our report, please contact me at (b) (6), (b) (7)(C).

(b) (6), (b) (7)(C)

30 NOV 2017

30 NOV 2017

**APHIS Form 7023 Site List**

The following sites have been reported by the facility.

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Registration Number: 23-R-0012  
Customer Number: 286  
Facility: GlaxoSmithKline  
709 Swedeland Road  
P.O. Box 1539  
King of Prussia, PA 19406-0939  
(610)270-4800

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GlaxoSmithKline (Pennsylvania)  
709 Swedeland Road  
King of Prussia, PA

(b) (7)(F)

30 NOV 2017

30 NOV 2017

**Explanation of Animals Listed in Column E**  
**2016-2017 USDA Annual Report for Registration Number 23-R-0012**

**Nonhuman primates**

Two (2) nonhuman primates are listed in Category E.

Toxicology Study

Two monkeys were part of a toxicology study evaluating novel compounds. The monkeys developed diarrhea with or without blood, vomited and/or had reduced food consumption. The animals appeared bright, alert and reactive. Their diet was modified (i.e. offered Gatorade®) and continued being dosed until completion of the study. Administration of anesthetics, analgesics or sedatives would have confounded the study that was conducted in accordance with US Food and Drug Administration Good Laboratory Practice for Non-clinical Laboratory Studies, 21 CFR Part 5858.



## Inspection Report

Glaxo Smith Kline  
709 Swedeland Road, P.O. Box 1539  
King Of Prussia, PA 19406

Customer ID: **286**  
Certificate: **23-R-0012**  
Site: 001  
GLAXO SMITH KLINE

Type: ROUTINE INSPECTION  
Date: 21-JUN-2017

No non-compliant items identified during this inspection.

The inspection was conducted at the Upper Merion East/West site and the Upper Providence site on June 21-22, 2017.

This inspection and exit interview were conducted with facility representatives.

### Additional Inspectors

Lopinto John, Veterinary Medical Officer

### Prepared By:

MCINTOSH ASHLEY, D V M USDA, APHIS, Animal Care

Date:  
22-JUN-2017

Title: VETERINARY MEDICAL OFFICER 6083

### Received By:

Obtained by Rise for Animals. Uploaded 07/09/2020

Title:  
19-02332\_000157

(b) (6), (b) (7)(C)

Date:  
22-JUN-2017



| Cust No | Cert No   | Site | Site Name         | Inspection |
|---------|-----------|------|-------------------|------------|
| 286     | 23-R-0012 | 001  | GLAXO SMITH KLINE | 21-JUN-17  |

| Count         | Species   |
|---------------|---|
| 000007        | DOMESTIC GUINEA PIG   |
| 000010        | DOMESTIC RABBIT / EUROPEAN RABBIT                             |
| 000013        | RHESUS MACAQUE  |
| 000021        | DOG ADULT   |
| 000145        | CRAB-EATING MACAQUE / LONG-TAILED MACAQUE / CYNOMOLGUS MONKEY |
| <b>000196</b> | <b>Total</b>  |



## Inspection Report

Glaxo Smith Kline  
709 Swedeland Road, P.O. Box 1539  
King Of Prussia, PA 19406

Customer ID: **286**  
Certificate: **23-R-0012**  
Site: 001  
GLAXO SMITH KLINE

Type: ROUTINE INSPECTION  
Date: 02-MAY-2018

No non-compliant items identified during this inspection.

The inspection was conducted at the Upper Merion East/West site. The Upper Providence site is undergoing construction and does not have animals.

This inspection and exit interview were conducted with the Director and facility representatives.

**Prepared By:**

LOPINTO JOHN, D V M      USDA, APHIS, Animal Care

**Date:**  
02-MAY-2018

**Title:** VETERINARY MEDICAL OFFICER 1008

**Received By:**

Obtained by Rise for Animals. Uploaded 07/09/2020

**Title:**  
19-02332\_000159

(b) (6), (b) (7)(C)

**Date:**  
02-MAY-2018



## Species Inspected

| Cust No | Cert No   | Site | Site Name         | Inspection |
|---------|-----------|------|-------------------|------------|
| 286     | 23-R-0012 | 001  | GLAXO SMITH KLINE | 02-MAY-18  |

| Count         | Scientific Name              | Common Name                             |
|---------------|------------------------------|---|
| 000008        | <i>Cavia porcellus</i>       | DOMESTIC GUINEA PIG                     |
| 000098        | <i>Macaca fascicularis</i>   | CRAB-EATING MACAQUE / CYNOMOLGUS MONKEY |
| 000008        | <i>Macaca mulatta</i>        | RHESUS MACAQUE                          |
| 000007        | <i>Oryctolagus cuniculus</i> | DOMESTIC RABBIT / EUROPEAN RABBIT       |
| <b>000121</b> | <b>Total</b>                 |   |