



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE  
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive - MSC 7982  
Bethesda, Maryland 20892-7982  
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive  
Bethesda, Maryland 20817  
Telephone: (301) 496-7163  
Facsimile: (301) 402-7065

April 23, 2018

Re: Animal Welfare Assurance  
#A3047-01 (OLAW Case 2M)

Serpil C. Erzurum, M.D.  
Institutional Official  
Lerner Research Institute  
Cleveland Clinic Foundation  
9500 Euclid Avenue, NB 21  
Cleveland, OH 44195

Dear Dr. Erzurum,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your April 20, 2018 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Cleveland Clinic. According to the information provided, OLAW understands that a mouse was subjected to imaging under anesthesia although this procedure was not described in the approved protocol.

The corrective action consisted of retraining the laboratory member responsible and counseling the individual to only conduct animal activities as approved in the protocol. Data acquired under unapproved conditions will not be used.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.  
Deputy Director  
Office of Laboratory Animal Welfare

cc: IACUC Chair



Serpil C. Erzurum, M.D.  
Chair  
Lerner Research Institute

April 20, 2018

Brent C. Morse, D.V.M.  
Office of Laboratory Animal Welfare  
Rockledge One, Suite 360  
6705 Rockledge Drive  
Bethesda, MD 20817

Dear Dr. Morse:

The Cleveland Clinic Institutional Animal Care and Use Committee (IACUC) concluded that animal research non-compliance occurred in the Cleveland Clinic Animal Care Program. The incident occurred when a member of a research team conducted an imaging study of a mouse. This procedure, involving administration of anesthetics and contrast agents, was not included in the IACUC protocol, but was conducted as the individual was familiar with the procedure from another protocol. The individual involved has since undergone retraining regarding the requirement that only procedures described and approved on a given protocol can be used for animals assigned to that protocol.

The IACUC reviewed this incident at the February 20, 2018 meeting and determined that this was reportable research non-compliance. At that meeting the IACUC also determined that none of the data obtained from this imaging study can be used for any purpose; the PI has agreed to abide by that decision.

The Animal Welfare Assurance number for Cleveland Clinic PHS-funded grants is A3047-01.

Sincerely,

(b) (6)

Serpil C. Erzurum, M.D.  
Alfred Lerner Memorial Chair in Innovative Biomedical Research  
Chair, Cleveland Clinic Lerner Research Institute

SCE/ag

A3047-21  
Obtained by Rise for Animals. Uploaded 07/09/2020

**Morse, Brent (NIH/OD) [E]**

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**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, April 23, 2018 9:32 AM  
**To:** (b) (6) OLAW Division of Compliance Oversight (NIH/OD)  
**Cc:** (b) (6)  
**Subject:** RE: Cleveland Clinic Noncompliance Report - 4/20/2018

Thank you for this report. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
Acting Director  
Division of Compliance Oversight  
Office of Laboratory Animal Welfare  
National Institutes of Health

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**From:** (b) (6)@ccf.org]  
**Sent:** Friday, April 20, 2018 3:07 PM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** 'accredit@AAALAC.org' <accredit@AAALAC.org>; (b) (6)@ccf.org>  
**Subject:** Cleveland Clinic Noncompliance Report - 4/20/2018

Dear Dr. Morse:

Attached please find a noncompliance report from the Subcommittee for Non-compliance of the Cleveland Clinic IACUC, dated April 20, 2018.

Please acknowledge receipt.

Thank you,

(b) (6)



(b) (6)