



DEPARTMENT OF HEALTH & HUMAN SERVICES

PUBLIC HEALTH SERVICE
NATIONAL INSTITUTES OF HEALTH

FOR US POSTAL SERVICE DELIVERY:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500, MSC 6910
Bethesda, Maryland 20892-6910
Home Page: <http://grants.nih.gov/grants/olaw/olaw.htm>

FOR EXPRESS MAIL:

Office of Laboratory Animal Welfare
6700B Rockledge Drive, Suite 2500
Bethesda, Maryland 20817
Telephone: (301) 496-7163
Facsimile: (301) 402-7065

November 28, 2018

Re: Animal Welfare Assurance
#A3047-01 (OLAW Case 2R)

Serpil C. Erzurum, M.D.
Institutional Official
Lerner Research Institute
Cleveland Clinic Foundation
9500 Euclid Avenue, NB 21
Cleveland, OH 44195

Dear Dr. Erzurum,

The Office of Laboratory Animal Welfare (OLAW) acknowledges receipt of your November 27, 2018 letter reporting an instance of noncompliance with the PHS Policy on Humane Care and Use of Laboratory Animals at the Cleveland Clinic. According to the information provided, OLAW understands that two mouse cages were kept in a room that had not been approved for animal housing on the protocol.

The corrective action consisted of the Principal Investigator counseling the laboratory staff regarding the content of the approved protocols and labeling all rooms in the laboratory as to whether or not they are approved for animal activities.

Based on its assessment of this explanation, OLAW understands that measures have been implemented to correct and prevent recurrence of this problem. OLAW concurs with the actions taken by the institution to comply with the PHS Policy.

Sincerely,

(b) (6)

Axel Wolff, M.S., D.V.M.
Deputy Director
Office of Laboratory Animal Welfare

cc: IACUC Chair



Serpil C. Erzurum, M.D.
Chair
Lerner Research Institute

November 27, 2018

Brent C. Morse, D.V.M.
Office of Laboratory Animal Welfare
Rockledge One, Suite 360
6705 Rockledge Drive
Bethesda, MD 20817

Dear Dr. Morse:

The Cleveland Clinic Institutional Animal Care and Use Committee (IACUC) concluded that animal research non-compliance occurred in the Cleveland Clinic Animal Care Program. The incident occurred when two mouse cages were placed in a room outside of the animal facility that was not approved for animal research. This room had previously been approved for animal research, but its use was not included on the protocol involved. In response to this incident, the PI has met with his research laboratory to ensure that they understood the protocols on which they are working, and has made a series of administrative changes to minimize potential confusion including labeling all rooms in the laboratory area with respect to whether or not they are approved for animal research.

The IACUC reviewed this incident at the November 15, 2018 meeting and determined that this was reportable research non-compliance, but felt that no further action beyond that already taken by the PI was needed.

The Animal Welfare Assurance number for Cleveland Clinic PHS-funded grants is A3047-01. This protocol was funded internally.

Sincerely,

(b) (7)
A rectangular grey box redacting the signature of Serpil C. Erzurum.

Serpil C. Erzurum, M.D.
Alfred Lerner Memorial Chair in Innovative Biomedical Research
Chair, Cleveland Clinic Lerner Research Institute

SCE/ag

Morse, Brent (NIH/OD) [E]

From: OLAW Division of Compliance Oversight (NIH/OD)
Sent: Wednesday, November 28, 2018 8:11 AM
To: (b) (6) OLAW Division of Compliance Oversight (NIH/OD)
Cc: (b) (6)
Subject: RE: Cleveland Clinic Noncompliance Report - 11/27/2018

Thank you for providing this report (b) (6) We will send an official response soon.

Best regards, Brent Morse

Brent C. Morse, DVM, DACLAM
Director
Division of Compliance Oversight
Office of Laboratory Animal Welfare
National Institutes of Health

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From: (b) (6)
Sent: Wednesday, November 28, 2018 7:22 AM
To: OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>
Cc: (b) (6) accredit@AAALAC.org
Subject: Cleveland Clinic Noncompliance Report - 11/27/2018

Dear Dr. Morse:

Attached please find a noncompliance report from the Subcommittee for Non-compliance of the Cleveland Clinic IACUC, dated November 27, 2018.

Please acknowledge receipt.

Thank you,
(b) (6)

