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# INDIANA UNIVERSITY

OFFICE OF THE VICE PRESIDENT  
FOR RESEARCH

January 23, 2018

Brent Morse, D.V.M., DACLAM  
Director, Division of Compliance Oversight  
OLAW/NIH  
RKL 1, Suite 360, MSC 7982  
6705 Rockledge Drive  
Bethesda, MD 20892-7982  
olawdco@mail.nih.gov

RE: Notice of Non-compliance for Assurance D16-00584 (A 4091-01): Indiana University School of Medicine – Indianapolis.

Dear Dr. Morse:

This letter reports a new incident of non-compliance with PHS Policy and the Guide for the Care and Use of Laboratory Animals at the Indiana University School of Medicine for one of our IACUC protocols covering laboratory mice.

An issue of possible animal welfare was discussed at a SOM IACUC full board committee meeting. Please find a summary of the reported incident below.

The committee discussed a report about 19 rats which were found without food and 14 rats which were found without water inside a LARC housing room. The PI's lab staff are responsible for all husbandry care for the animals in the room. No animals died as a result of the food and water deprivation. To ensure this would not occur in the future, the PI held an emergency lab meeting to address these concerns. The PI stated that the lab has planned additional measures, including double-checking all animals, to ensure that this would not occur again.

After significant time in deliberation and based on their understanding of the facts presented, federal regulations, and expectations, the IACUC committee members determined that this issue qualifies as an animal welfare concern.

This activity is supported by PHS funds (5R01DA007606). The program official is copied on this letter.

Please do not hesitate to contact me if you have any questions after receiving this letter.

Sincerely yours,

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Fred H. Cate  
Vice President for Research  
Distinguished Professor and C. Ben Dutton Professor of Law

cc:

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Robert Considine, Ph.D. (School of Medicine IACUC Chair)

(b) (6)

Megan Losh, M.P.A., CPIA (School of Medicine IACUC Director)

Eric Swank (Executive Director of RIICE)

Roger Sorensen (NIH Program Official: rsorensen@mail.nih.gov)

**Morse, Brent (NIH/OD) [E]**

**From:** OLAW Division of Compliance Oversight (NIH/OD)  
**Sent:** Monday, January 29, 2018 10:29 AM  
**To:** Vice President for Research; OLAW Division of Compliance Oversight (NIH/OD)  
**Cc:** (b) (6) Considine, Robert V.; (b) (6) Losh, Megan; Swank, Eric D.; Sorensen, Roger (NIH/NIDA) [E]  
**Subject:** RE: Notice of Non-compliance for Assurance D16-00584 (A 4091-01): Indiana University School of Medicine—Indianapolis

Thank you for this report. We will send an official response soon.

Regards, Brent Morse

Brent C. Morse, DVM, DACLAM  
 Acting Director  
 Division of Compliance Oversight  
 Office of Laboratory Animal Welfare  
 National Institutes of Health

Please note that this message and any of its attachments are intended for the named recipient(s) only and may contain confidential, protected or privileged information that should not be distributed to unauthorized individuals. If you have received this message in error, please contact the sender.

**From:** Vice President for Research [mailto:vpr@iu.edu]  
**Sent:** Monday, January 29, 2018 10:27 AM  
**To:** OLAW Division of Compliance Oversight (NIH/OD) <olawdco@od.nih.gov>  
**Cc:** (b) (6) @iu.edu>; Considine, Robert V. <rconsidi@iu.edu>; (b) (6) @iupui.edu>; Losh, Megan <meglosh@iu.edu>; Swank, Eric D. <edswank@iu.edu>; Sorensen, Roger (NIH/NIDA) [E] <rsorensen@nida.nih.gov>  
**Subject:** Notice of Non-compliance for Assurance D16-00584 (A 4091-01): Indiana University School of Medicine—Indianapolis

Dear Dr. Morse:

Attached here, please find a report of an instance of non-compliance with PHS Policy at Indiana University School of Medicine—Indianapolis. This activity is supported by PHS funds (5R01DA007606); the program official is copied here.

Thank you,

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